

01-10-2001

Docket No.: 2947.1001000

RECORDATIC PAT



101578059

To the Honorable Commissioner of Patents and Trademarks: please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

1. Dawn A. Brooks
2. Christopher J. Rito
3. Anthony J. Shuker
4. Samuel J. Dominianni
5. Alan M. Warshawsky
6. Lynn S. Gossett
7. Donald P. Matthews
8. David A. Hay

Handwritten: 18.28.01

2. Name and address of receiving party(ies)

Name: Eli Lilly and Company

Internal Address: _____

Stamp: DEC 22

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Street Address: Lilly Corporate Center

City: Indianapolis State: Indiana ZIP: 46285

Execution Date: 1.11/16/00; 2.11/16/00; 3.11/27/00; 4.11/17/00
5.11/16/00; 6.11/16/00; 7.11/16/00; 8.11/16/00

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/644,457

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Brook, Esq.

Internal Address: _____

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

- ☒ Enclosed
- ☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
- ☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Theresa A. Devlin

Name of Person Signing

Handwritten Signature: Theresa A. Devlin

Signature

12/20/00

Date

Total number of pages including cover sheet, attachments, and document: [13]

PATENT
REEL: 011399 FRAME: 0793

Multi-JointASSIGNMENT

WHEREAS, we, **Dawn A. Brooks, Christopher J. Rito, Anthony J. Shuker, Samuel J. Dominianni, Alan M. Warshawsky, Lynn S. Gossett, Donald P. Matthews and David A. Hay**, together with co-inventors **Robert J. Ardecky, Pierre-Yves Michellys and John S. Tyhonas**, have invented a certain improvement in **Modulators of Peroxisome Proliferator Activated Receptors** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **August 23, 2000** as Application No. **09/644,457**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, **Eli Lilly and Company** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Indiana**, and having a usual place of business at **Lilly Corporate Center, Indianapolis, Indiana 46285**, desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: Dawn A. Brooks
Dawn A. Brooks

State/Commonwealth
of Indiana
County of Monroe

Then personally appeared before me the above-named **Dawn A. Brooks** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16 day of November, 2000.

(SEAL)

Jennifer A. Lockton Notary Public
Jennifer A. Lockton (print name)

My Commission expires 05/14/08

My County of Residence: Hamilton

Inventor's Signature: _____

Christopher J. Rito

State/Commonwealth

of Indiana

County of Marion

Then personally appeared before me the above-named **Christopher J. Rito** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16 day of November, 2000.

(SEAL)

Jennifer A. Lockton

Notary Public

Jennifer A. Lockton

(print name)

My Commission expires 05 / 14 / 08

My County of residence is Hamilton.

Inventor's Signature: _____

Anthony J. Shuker

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Anthony J. Shuker** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Samuel J. Dominianni
Samuel J. Dominianni

State/Commonwealth

of Indiana

County of Johnson

Then personally appeared before me the above-named **Samuel J. Dominianni** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 17th day of November, 20 .

(SEAL)

Cheryl A. Karres

Notary Public

Cheryl A. Karres

(print name)

My Commission expires 5/10/07

Inventor's Signature: _____

Alan M. Warshawsky
Alan M. Warshawsky

State/Commonwealth

of ~~Marion~~ Indiana

County of Marion

Then personally appeared before me the above-named **Alan M. Warshawsky** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16 day of November, 2000.

(SEAL)

Jennifer A. Lockton

Notary Public

Jennifer A. Lockton

(print name)

My Commission expires 05/14/08

My county of residence is Hamilton.

Inventor's Signature: _____

Lynn S. Gossett

Lynn S. Gossett

State/Commonwealth

of Indiana

County of Marietta

Then personally appeared before me the above-named **Lynn S. Gossett** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16 day of November, 2000.

(SEAL)

Jennifer A. Lockton

Notary Public

Jennifer A. Lockton (print name)

My Commission expires 05/14/08

My county of residence is Hamilton.

Inventor's Signature: _____

Donald P. Matthews

Donald P. Matthews

State/Commonwealth

of Indiana

County of Marietta

Then personally appeared before me the above-named **Donald P. Matthews** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16 day of November, 2000.

(SEAL)

Jennifer A. Lockton

Notary Public

Jennifer A. Lockton (print name)

My Commission expires 05/14/08

My county of residence is Hamilton.

Inventor's Signature: _____

David A. Hay

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **David A. Hay** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16 day of November, 2000.

(SEAL)

Notary Public

Jennifer A. Lockton
(print name)

My Commission expires 05/14/08

my county of residence is Hamilton.

Multi-JointASSIGNMENT

WHEREAS, we, **Dawn A. Brooks, Christopher J. Rito, Anthony J. Shuker, Samuel J. Dominianni, Alan M. Warshawsky, Lynn S. Gossett, Donald P. Matthews and David A. Hay**, together with co-inventors **Robert J. Ardecky, Pierre-Yves Michellys and John S. Tyhonas**, have invented a certain improvement in **Modulators of Peroxisome Proliferator Activated Receptors** described in an application for Letters Patent of the United States, the specification of which:

[] is being executed on even date herewith and is about to be filed in the United States Patent Office;

[X] was filed on **August 23, 2000** as Application No. **09/644,457**;

[] was patented under U.S. Patent No. [] on [].

WHEREAS, **Eli Lilly and Company** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Indiana**, and having a usual place of business at **Lilly Corporate Center, Indianapolis, Indiana 46285**, desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____
Dawn A. Brooks

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named **Dawn A. Brooks** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor's Signature: _____
Christopher J. Rito

State/Commonwealth
of _____

County of _____

Then personally appeared before me the above-named **Christopher J. Rito** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.


(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor's Signature:  _____
Anthony J. Shuker

State/Commonwealth
of Georgia
County of Fulton

Then personally appeared before me the above-named **Anthony J. Shuker** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 27 day of November, 2000.

(SEAL)  Notary Public
SIMATH BENJAMIN (print name)
My Commission expires _____
Notary Public, DeKalb County, Georgia
My Commission Expires July 27, 2002

Inventor's Signature: _____

Samuel J. Dominianni

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Samuel J. Dominianni** and
acknowledged that he/she executed the foregoing instrument as his/her free act and deed this
_____ day of _____, 20_____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Alan M. Warshawsky

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Alan M. Warshawsky** and
acknowledged that he/she executed the foregoing instrument as his/her free act and deed this
_____ day of _____, 20_____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Lynn S. Gossett

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Lynn S. Gossett** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Donald P. Matthews

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Donald P. Matthews** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____
David A. Hay

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named **David A. Hay** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____