

01-10-2001

ket No. 32151-00179

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101577029

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hsu Shau Ku

Additional name(s) of conveying party(ies)
attached? Yes ☒ No ☒

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: August 22, 2000

2. Name and address of receiving party(ies):

Minka Lighting, Inc.

Street Address:

1151 W. Bradford Circle
Corona, California 91270Additional name(s) & address(es)
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

29/128,649 filed August 29, 2000

Additional numbers attached? Yes ☒ No ☒5. Name and address of party to whom
correspondence concerning document should
be mailed:Russell N. Rippamonti, Esq.
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-27996. Total number of applications and patents
involved: One (1)

7. Total fee (37 C.F.R. 3.41):....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit
account

8. Deposit account number:

10-0447

(Attach duplicate copy of this page if
paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and
any attached copy is a true copy of the original document.

Russell N. Rippamonti

12/22/00

Name of Person Signing Signature (Reg.No. 39,521)

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office, Box Assignments, CG-4
1213 Jefferson Davis Hwy., Suite 320, Washington, D.C. 20231I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail
in an envelope addressed to: U.S. Patent and Trademark Office, Box Assignments, CG-4, 1213 Jefferson Davis
Hwy., Suite 320, Washington, D.C. 20231

on December 22, 2000

Signature: Carla Elkins

ASSIGNMENT

WHEREAS, I, Hsu Shau Ku, have designed and invented certain new and useful improvements in the following:

LIGHT GLOBE WITH INTERNAL OPTIC

for which I have made application for United States Letters Patent, the said application having been executed by me concurrently herewith on August 22, 2000;

WHEREAS, MINKA LIGHTING, INC., a corporation organized and existing under the laws of the State of California, having its principal place of business at 1151 West Bradford Circle, Corona, California 91720 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring my entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives my entire right, title and interest in and to said invention and in and to said applications and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuation-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility

models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

I hereby further agree that I will communicate to said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

I further authorize and direct my attorneys to insert below* the serial number and filing date of said application now identified as Case Docket No. 32151-179 as soon as the same shall have been made known to them by the United States Patent Office.

*Serial Number: 29/128,649

Filing Date: 08/29/00

Please address all correspondence and direct all telephone calls to:

Russell N. Rippamonti., Esq.
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
214/855-4500
214/855-4300 (fax)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAMED INVENTOR(S)

1	Hsu Shau Ku	<i>Hsu Shau Ku</i>	<i>Aug/2-00</i>
	Full Name	Inventor's Signature	Date
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