

01-11-2001

ICE



101583045

To the Honorable Commissioner of Patents and Trademarks, I hereby record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Brian David Allison  
Scott D. Clark  
Joseph A. Kirscht

Additional name(s) of conveying party(ies) attached?  
☐ Yes  
☒ No

2. Name and address of receiving party(ies):  
Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**

City: **Armonk** State: **New York** ZIP: **10504**

Additional name(s) & address(es) attached: ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: December 8, 2000

4. Application number(s) or patent number(s):

09735411

If this document is being filed together with a new application, the execution date of the application is: December 8, 2000

a. Patent Application No.(s)

**ROC9-2000-0226-US1**

b.

Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joan Pennington**

Internal Address: **Unit 1804**

Street Address:

**535 North Michigan Avenue**

City: **Chicago** State: **IL** ZIP: **60611**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)..... **\$ 40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

**09-0465 of IBM Corporation**

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Joan Pennington**  
Name of Person Signing

Signature

Date

Total number of pages: 3

## **ASSIGNMENT**

Whereas, we

- |     |  |   |
|-----|--|---|
| (1) | Brian David Allison<br>County of Olmsted | City of Rochester,<br>and Minnesota,          |
| (2) | Scott D. Clark<br>County of Olmsted      | City of Rochester,<br>and State of Minnesota, |
| (3) | Joseph A. Kirscht<br>County of Olmsted   | City of Rochester,<br>and State of Minnesota, |

have invented certain improvements in

### **METHOD AND APPARATUS FOR IMPLEMENTING LOCKING OF NON-DATA PAGE OPERATIONS**

and have executed, respectively, a United States patent application therefor on

Dates

Inventors

Signed

Declar-

ation

(1)	December 08	, 2000	(2)	December 08	, 2000
(3)	December 08	, 2000			

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**Signed**

Inventor (1)	at <u>Rochester, MN</u>	<u>Brian David Allison</u>	Inventor Signature
		(Brian David Allison)	
		First Name      Last Name	
	on <u>December 08</u> , 2000.		
Inventor (2)	at <u>Rochester, MN</u>	<u>Scott D. Clark</u>	Inventor Signature
		(Scott D. Clark)	
		First Name      Last Name	
	on <u>December 08</u> , 2000.		
Inventor (3)	at <u>Rochester, MN</u>	<u>Joseph A. Kirscht</u>	Inventor Signature
		(Joseph A. Kirscht)	
		First Name      Last Name	
	on <u>December 08</u> , 2000.		