

01-10-2001

ER SHEET

U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner

101576977

he attached original documents or copy thereof

## 1. Name of conveying party(ies):

Mark R. Jaworowski  
Michael A. KryzmanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: December 19, 2000

## 2. Name and address of receiving party(ies)

Name: UNITED TECHNOLOGIES CORPORATION

Internal Address: United Technologies Building  
Hartford, CT 06101

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 19, 2000

## A. Patent Application No.(s)

12/26/2000 EFLORES 00000021 09741470

02 FC:581

40.00 DP

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry L. Kelmachter

Internal Address: BACHMAN &amp; LaPOINTE, P.C.

900 Chapel Street, Suite 1201

New Haven, CT 06510-2802

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

02-0184

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry L. Kelmachter

Name of Person Signing

Signature

December 19, 2000

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20534PATENT  
REEL: 011404 FRAME: 0202

**ASSIGNMENT**

WHEREAS, We, Mark R. Jaworowski, of 369 Woodhaven Road, Glastonbury, CT 06033, and Michael A. Kryzman, of 34 Hammick Road, West Hartford, CT 06107, have invented certain improvements in a COMPOUND, NON-CHROMIUM CONVERSION COATINGS FOR ALUMINUM ALLOYS, identified as Attorney Docket No. 00-691, and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application

filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set  
their hand and seal on the date after their signature.

(L.S.) ✓ Mark R. Jaworski  
Mark R. Jaworski

✓ 12/19/00  
Date

(L.S.) ✓ Michael A. Kryzman  
Michael A. Kryzman

✓ 12/19/00  
Date