

01-11-2001

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TO THE ASSISTANT COMMISSIONER FOR I

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Documents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

1. Masanori Ando
2. Kenji Kamada
3. Kohei Kadono
4. Koji Ohta
5. Keiko Tawa
6. Takeyuki Tanaka

Additional name(s) of conveying party(ies) attached?
() Yes (X) No

2. Name and address of receiving party(ies):

Name: SECRETARY, AGENCY OF INDUSTRIAL
SCIENCE AND TECHNOLOGY
Internal Address:
Street Address: 3-1, Kasumigaseki 1-chome, Chiyoda-
ku, Tokyo-to, Japan
City: **State:** **ZIP:**

Additional name(s) of receiving party(ies) attached?
() Yes (X) No

3. Nature of conveyance:

- (X) Assignment
() Merger
() Security Agreement
() Change of Name
() Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

1. December 7, 2000
2. December 7, 2000
3. December 7, 2000
4. December 7, 2000
5. December 7, 2000
6. December 7, 2000

4. Application number(s) or Patent number(s):

- (X) Application(s) filed herewith
Execution Date(s):
1. December 7, 2000
2. December 7, 2000
3. December 7, 2000
4. December 7, 2000
5. December 7, 2000
6. December 7, 2000

() Patent Application No.:
Filing Date:

() Patent No.:
Issue Date:

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel E. Altman
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995
Internal Address: Sixteenth Floor
Street Address: 620 Newport Center Drive
City: Newport Beach **State:** CA **ZIP:** 92660
Attorney's Docket No.: SAEGU71.001AUS

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- (X) Enclosed
(X) Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Daniel E. Altman
Name of Person Signing

Daniel Altman
Signature

21 Dec 2000
Date

34,115
Registration No.

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

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PATENT
REEL: 011405 FRAME: 0473

JCS572 U.S. PTO
09/746475
12/21/00

ASSIGNMENTWHEREAS, I/WE (1) Masanori ANDO,a Japanese citizen, residing at c/o Osaka National Research Institute of Agency of Industrial Science and Technology, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka-fu, Japan(2) Kenji KAMADA,a Japanese citizen, residing at c/o Osaka National Research Institute of Agency of Industrial Science and Technology, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka-fu, Japan(3) Kohei KADONO,a Japanese citizen, residing at c/o Osaka National Research Institute of Agency of Industrial Science and Technology, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka-fu, Japan(4) Koji OHTA,a Japanese citizen, residing at c/o Osaka National Research Institute of Agency of Industrial Science and Technology, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka-fu, Japan(5) Keiko TAWA,a Japanese citizen, residing at c/o Osaka National Research Institute of Agency of Industrial Science and Technology, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka-fu, Japan(6) Takeyuki TANAKA,a Japanese citizen, residing at c/o Osaka National Research Institute of Agency of Industrial Science and Technology, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka-fu, Japan

(7) _____,

a _____ citizen, residing at _____

(8) _____,

a _____ citizen, residing at _____

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in OPTICAL POWER LIMITING MATERIAL

the specification of which:

(a) ☒ is attached hereto; or

(b) was filed on _____ as Application
No. _____ or Express Mail No., as Application No. not
yet known _____ and was amended
on _____ (if applicable); or

(c) was described and claimed in PCT International Application No.
_____ filed on _____
and as amended under PCT Article 19 on _____
(if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, SECRETARY, AGENCY OF INDUSTRIAL SCIENCE AND TECHNOLOGY,

3-1, Kasumigaseki 1-chome, Chiyoda-ku, Tokyo-to,
with its principal place of business at Japan,
(hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said
improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby
acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these
presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and
assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to
and under said improvements, and any Patent Applications in the United States of America and all divisions,
renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon
and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor
hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for
said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this
instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors,
legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal
proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths
and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and
enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 7th day of December, 2000

Masanori Ando
Signature of Masanori ANDO

This 7th day of December, 2000

Kenji Kamada
Signature of Kenji KAMADA

This 7th day of December, 2000

Kohei Kadono
Signature of Kohei KADONO

This 7th day of December, 2000

Koji Ohta
Signature of Koji OHTA

This 7th day of December, 2000

Keiko Tawa
Signature of Keiko TAWA

This 7th day of December, 2000

Takeyuki Tanaka
Signature of Takeyuki TANAKA

This _____ day of _____, 20____

Signature of _____

This _____ day of _____, 20____

Signature of _____

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