

01-11-2001

To the Honorable Commissioner of Pa



ed original documents or copy thereof.

101580294

1. Name of Conveying party(ies):

Scott E. Boatman
David G. Burton
Michael C. Hoffa
Maggie A.Z. Hupcey
David A. Drewes, Jr.
David R. Lessard

MS
12.11.00

Additional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies)

Name: Cook IncorporatedStreet Address: 925 South Curry PikeCity: Bloomington State: IN ZIP: 47402

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 30, 2000Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.

B. Patent No.

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence involved concerning document should be mailed:

Name: Anton P. NessStreet Address: P.O. Box 2269City: Bloomington State: IN ZIP: 47402

6. Total number of applications and patents

17. Total fee (37 CFR 3.41) \$40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 13-2528

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anton P. Ness

Name of Person Signing

Signature

December 7, 2000

Date

Total number of pages including cover sheet, attachments, and document 7

2. Additional name(s) and address(es)
of receiving party(ies):

Sabin Corporation
3800 Constitution Avenue
Bloomington, IN 47402

CERTIFICATE OF MAILING I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
Date: <u>December 7, 2000</u>	Pamm Garwood (Typed or printed name of person mailing paper or fee) <u>Pamm Garwood</u> (Signature of person mailing paper or fee)

ASSIGNMENT AND AGREEMENT

For value received, We, Scott E. Boatman, residing at 920 E. University Street, Bloomington, IN 47401, David G. Burton, residing at 3215 S. Market Place, Apt. F3, Bloomington, IN 47403, Michael C. Hoffa, residing at 2421 North Skyline Drive, Bloomington, IN 47404, and Maggie A.Z. Hupcey, residing at ~~3400 South Sare Road, Apt. 1016, Bloomington, IN 47404~~, hereby sell, assign, and transfer to Cook Incorporated, a corporation of the State of Indiana, having an office at 925 South Curry Pike, Bloomington, IN, 47403, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively "Cook,"; and

We, David A. Drewes, Jr., residing at 5021 Autumn Circle, Bloomington, IN 47404, and David R. Lessard, 4301 Chadwick Court, Bloomington, IN 47401, hereby sell, assign, and transfer to SABIN CORPORATION, a corporation of the State of Indiana, having an office at 3800 Constitution Avenue, Bloomington, IN 47402, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively "Sabin",

the entire right, title and interest, for the United States of America, in and to certain inventions related to "MEDICAL DEVICE INCLUDING IMPROVED EXPANDABLE BALLOON" described in U.S. Provisional Application Serial No. 60/154,675, filed on September 17, 1999 and described in U.S. Patent Application Serial No. 09/663,747 filed on September 15, 2000, and all the rights and privileges in said application and under any and all Letters Patent or any continuation, division, renewal, or substitute thereof, and any reissue or re-examination thereof that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Cook and Sabin, either jointly or individually, the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent,

that may be granted in said countries foreign to the United States for said inventions.

We authorize Cook and Sabin, either jointly or individually, to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cook and Sabin, either jointly or individually, to apply for patent or

I/We request that any and all patents for said inventions be issued to Cook and Sabin, either jointly or individually, in the United States and in all countries foreign to the United States, or to such nominees as Cook and Sabin, either jointly or individually, may designate.

I/We agree that, when requested, I/we shall, without charge to Cook and Sabin, either jointly or individually, but at their expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: November 27, 2000

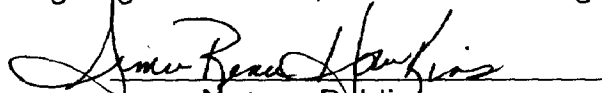

Scott E. Boatman

United States of America)

State of Indiana) ss:

County of Monroe)

On this 27 day of November, 2000, before me personally came Scott E. Boatman, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

My Commission Expires: 04-12-08

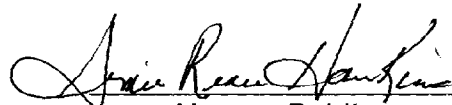
Date: NOVEMBER 27, 2000
David G. Burton

United States of America)

State of Indiana) ss:

County of Monroe)

On this 27 day of November, 2000, before me personally came David G. Burton, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

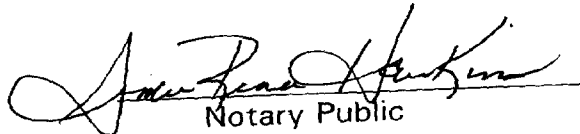

Notary PublicMy Commission Expires: 04-12-08Date: November 27, 2000
Michael C. Hoffa

United States of America)

State of Indiana) ss:

County of Monroe)

On this 27 day of November, 2000, before me personally came Michael C. Hoffa, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary PublicMy Commission Expires: 04-12-08

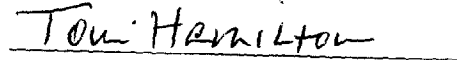

Date: 11-21-2000
David R. Lessard

United States of America)

State of Indiana) ss:

County of Monroe)

On this 21ST day of NOVEMBER, 2000, before me personally came David R. Lessard, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary PublicMy Commission Expires: 3-21-2008Date: 11-21-00
David A. Drewes, Jr.

United States of America)

State of Indiana) ss:

County of Monroe)

On this 21ST day of NOVEMBER, 2000, before me personally came David A. Drewes, Jr., to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary PublicMy Commission Expires: 3-21-2008

Date: November 30, 2000
Maggie A.Z. Hupcey

United States of America)

State of Indiana) ss:

County of Monroe)

On this 30th day of November, 2000, before me personally came Maggie A.Z. Hupcey, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

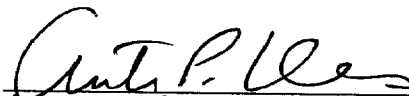

Notary Public

KELLI N. COLEMAN
Notary Public of New Jersey
My Commission Expires: 2/3/2005

Please address all correspondence to:

Anton P. Ness
Patent Attorney
P.O. Box 2269
Bloomington, IN 47402-2269
(812) 330-1824

Respectfully,



Attorney for Applicant
Anton P. Ness
Reg. No. 28,453

Date: December 7, 2000