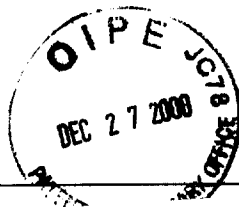


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PATENTS ONLY MRD 12/27/00



Attorney Docket: 1692CIP
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Patent & Trademark Office

To the Assistant Commissioner of Patent

01-12-2001

al documents or copy thereof.



1. Name of conveying party(ies):

Jialing Yang
Simon Cao
Jiyong Ma
Christopher Lin

Additional name(s) of conveying
party(ies) attached ☐ Yes ☒ No

101580960

of receiving party(ies):

* Name: **Avanex Corporation**
*
* Street Address: **40919 Encyclopedia Circle**
* City: **Fremont** State: **CA** ZIP: **94538**
*
* Additional name(s) & address(es) attached?
* ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Dated: December 8, 2000
December 11, 2000
December 13, 2000
December 8, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/689,998 filed October 11, 2000

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: **Joseph A. Sawyer, Jr.**
Internal
Address: **Sawyer Law Group LLP**
Street
Address: **P.O. Box 51418**
Palo Alto, California 94303

* 6. Total Number of applications and patents
* involved: **One**

* 7. Total fee (37 CFR 3.41).....\$ **40.00**
* ☐ Enclosed
* ☒ Authorized to be charged to
* deposit account

* 8. Deposit Account No: 02-2120 (Sawyer Law Group LLP)
* (Attach copy of this page)

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01 FC:581

40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.

12-18-00
Date

Michele Liu
Michele Liu, Reg. No. 44,875

Total number of pages including cover sheet, attachments, and documents: 2

PATENT
REEL: 011407 FRAME: 0221

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I/we, the undersigned, Jialing Yang; Simon Cao; Jiyong Ma; and Christopher Lin, do hereby sell, assign, and transfer unto **AVANEX Corporation**, having a principal place of business at 40919 Encyclopedia Circle, Fremont, California 94538 ("Assignee"), its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent,

[] which has been executed by the undersigned concurrently herewith,
 [X] which was filed on October 11, 2000 and assigned serial no. 09/689,998,

and is entitled:

METHOD AND SYSTEM FOR TESTING A TUNABLE CHROMATIC DISPERSION, DISPERSION SLOPE, AND POLARIZATION MODE DISPERSION COMPENSATOR UTILIZING A VIRTUALLY IMAGED PHASED ARRAY

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Signed:

Name: Jialing Yang
 Jialing Yang

Date: 12/8/00

Name: Simon Cao
 Simon Cao

Date: 12/11/00

Name: Jiyong Ma
 Jiyong Ma

Date: 12/13/00

Name: Christopher Lin
 Christopher Lin

Date: 12/18/00