01-12-2001



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09/797,333

Docket No. AUS920000742US1

RECORDATION FORM COVER SHEET
PATENTS

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

In place of Form PTO-1

Express Mail No. EL356874396US

Hanh Kim Le, William James Morrison, Rebecca Lynn Roberts, and Leland James Wiesehuegel 2. Name and address of receiving party(ies):

International Business Machines
Corporation
New Orchard Road
Armonk. New York 10504

| | Armonk, New York 10504 |
|---|--|
| 3. Nature of conveyance: _X_ Assignment Execution Date: (1) 12/20/00; (2)12/2 | 20/00; (3) 12/19/00; and (4)12/20/00 |
| 4. Application number(s) or patent number If this document is being filed toget execution date of the application is | her with a new application, the |
| A. Patent Application(s) 5. Name and address of party to whom correspondence concerning document should be mailed: David A. Mims, Jr. IBM Corporation Intellectual Property Law Internal Zip 4054 11400 Burnet Road Austin, TX 78758 (512) 823-0950 | 6. Total number of applications and patents involved:1 |
| 9. Statement and signature. To the best of my knowledge and belicorrect and any attached copy is a to the best of my knowledge. Duke W. Yee | ef, the foregoing information is true and rue copy of the original document. Signature 12/21/00 Date number of pages including cover sheet: 5 |

Total number of pages including

PATENT REEL: 011408 FRAME: 0298

P. 02

IBM DOCKET NO. AUS920000742US1 Express Mail No. EL356874396US

ASSIGNMENT

Whereas, we,

(1) Hanh Kim La County of Travis of <u>Augtin</u>, and State of Texas,

(2) William James Morrison County of Relknap

of <u>Gilmanton</u>, and State of New Hampshire,

(3) Rebecca Lynn Roberts County of Travis

of <u>Austin</u>, and Stare of <u>Texas</u>,

and

(4) <u>Loland James Wiesehuegel</u> of <u>Austin</u>, County of Travis

and State of Texas.

have invented certain improvements in

Electronic Auction Method and System for Generating Off-Increment Proxy Bids

and executed, respectively, a United States patent application therefore on (Dates Inventors Signed Declaration) (1) December 20 . 2000, and (2)

. 2000, and (3) December 19 . 2000, and (4)

. December 20 . 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IRM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at AUSTIN on Dec. 20 , 2000. Hanksimle Hank Rim Le

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PORE 02

IBM DOCKET NO. AUS920000742US1

| and | (2) | Signed | ar | on | | 2000 |
|-----|-----|--------|----|----|--|------|
|-----|-----|--------|----|----|--|------|

William James Morrison

and (3) Signed at Austin on 12/19

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PAGE 03

IBM DOCKET NO. AUS920000742US1 Express Mail No. EL356874396US

Y E E I G N M B N L

| Whereas, we, | | | | | |
|--|--|--|--|--|--|
| (1) <u>Hanh Kim Le</u> County of <u>Travis</u> | of <u>Austin</u> , and State of <u>Texas</u> , | | | | |
| and (2) <u>William James Morrison</u> County of <u>Belknap</u> | of <u>Gilmanton</u> , and State of <u>New Hampshire</u> , | | | | |
| and (3) Rebecca Lynn Roberts County of Travis | of <u>Austin</u> , and State of <u>Texas</u> , | | | | |
| and (4) <u>Leland James Wieschuegel</u> County of <u>Travis</u> | of <u>Austin</u> , and State of <u>Texas</u> , | | | | |
| have invented certain improvements | | | | | |
| Electronic Austion Method and Syst | em for Generating Off-Increment Proxy Bids | | | | |
| and executed, respectively, a United States patent application therefore on (Dates Inventors Signed Declaration) (1), 2000, and (2), 2000, and (3), 2000, and (4), 2000. | | | | | |
| Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore; | | | | | |
| Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any States application, and we request the Commissioner of Patents to issue any States application, and we request the Commissioner of Patents to issue any States application and we hereby agree that IBM may apply for IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM. | | | | | |
| (1) Signed at on | , 2000. | | | | |
| | Hanh Kim Le | | | | |

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PATENT REEL: 011408 FRAME: 0301

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| and | (2) | Signed | at | Gilmanton, A | VH on D. | ecember 20. | 2000. | |
|-----|-----|-----------------|----|--------------|----------|-------------|-------|----------------------------------|
| | | | | | | William | fame | Monson William James Morrison |
| and | (3) | Signeđ | æc | | _ on | | 2000. | • |
| and | (4) | Sign e d | at | | on | | 2000. | Rebecca Lynn Roberts |
| | | | | | | | Le | land James Wiesehuegel |

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PATENT REEL: 011408 FRAME: 0302