

01-12-2001

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To the Honorable Commissioner of Patents

Original documents or copy thereof.

1. Name of conveying party(ies):

**Zhenan Bao (1)**  
**Edwin Arthur Chandross (2)**  
**Xiaochen Linda Chen (3)**  
**John A. Rogers (4)**  
**Marcus Weldon (4)**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: (1) **12/04/2000** (2) **12/08/2000**  
(3) **12/07/2000** (4) **12/15/2000**

2. Name and address of receiving party(ies):

Name: **Lucent Technologies Inc.**

Internal Address: \_\_\_\_\_

Street Address: **600 Mountain Avenue, P. O. Box 636**

City: **Murray Hill** State: **NJ** Zip: **07974-0636**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: :

A. Patent Application No.(s)

B. Patent No. (s)

**09/659550**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Internal Address: **Room 3C-512**

Street Address: **600 Mountain Avenue**

**P. O. Box 636**

City: **Murray Hill**, State: **New Jersey**, Zip: **07974-0636**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **\$40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **12-2325**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Scott J. Rittman** Reg. No. **39010**

Signature

Date

**December 18, 2000**

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: **7**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D. C. 20231

## ASSIGNMENT AND AGREEMENT

For value received, we, Zhenan Bao, of North Plainfield in the County of Somerset and the State of New Jersey, and Edwin Arthur Chandross, of Murray Hill in the County of Union and the State of New Jersey, and Xiaochen Linda Chen, of Wappingers Falls in the County of Dutch and the State of New York, and John A. Rogers, of New Providence in the County of Union and the State of New Jersey, and Marcus Weldon, of Summit in the County of Union and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Process For Fabricating Patterned, Functionalized Particles And Article Formed From Particles** described in an application for Letters Patent of the United States, Serial No. 09/659550, filed September 11, 2000, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
\_\_\_\_\_  
Zhenan Bao


Date: Dec. 4, 2000

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 4<sup>th</sup> day of December, 2000, before me personally came, Zhenan Bao to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

**SHEILA R. COLE**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 10/18/2005**

Edwin Arthur Chandross  
Edwin Arthur Chandross

Date: 8 Dec 2000

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 8<sup>th</sup> day of December, 2000, before me personally came, Edwin Arthur Chandross to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public

ANGELINA CAGLIOSTRO  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 1/21/2004

Linda Chen

Xiaochen Linda Chen

Date: 12/07/00

United States of America )

State of New York ) ss.:County of Dutchess )

On this 14<sup>th</sup> day of December, 20 000, before me personally came, Xiaochen Linda Chen to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Virginia M. May  
Notary Public

VIRGINIA M. MAY  
Notary Public, State of New York  
Qualified in Dutchess County  
No. 4920227  
Certificate Filed Orange County  
My Commission Expires February 16, 18 2002

John A. Rogers  
John A. Rogers

Date: 12/15/00

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 15<sup>th</sup> day of December, 2000, before me personally came, John A. Rogers to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public

**ANGELINA CAGLIOSTRO**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 1/21/2004**

  
\_\_\_\_\_  
Marcus Weldon

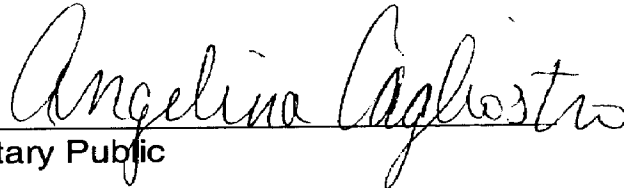
Date: 12/15/00

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 15<sup>th</sup> day of December, 20 00, before  
me personally came, Marcus Weldon to me known to be the individual described  
in and who executed the foregoing instrument, and acknowledged execution of  
the same.

  
\_\_\_\_\_  
Notary Public

ANGELINA CAGLIOSTRO  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 1/21/2004

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
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