

MRD RECC  
12-29-00

01-16-2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Docket No.: 6727/1G846-US1



To the Honorable Commissioner of Patents and Trademarks...

101582265

prints or copy thereof.

1. Name of conveying party(ies):

Yariv ARIDOR; Michael FACTOR; Tamar ELIAM; Assaf SCHUSTER; Avi TEPERMAN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: Dec. 7, 2000; Dec. 18, 2000;  
Dec. 1, 2000; Dec. 24, 2000; Dec. 10, 2000

2. Name and address of receiving party(ies):

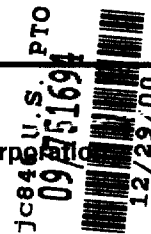
Name: **International Business Machines Corporation**

Internal Address: **New Orchard Road**

Street Address:

City: **Armonk** State: **New York** Zip: **10504**

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
Dec. 7, 2000; Dec. 18, 2000; Dec. 1, 2000; Dec. 24, 2000; Dec. 10, 2000

A. Patent Application No.(s)

09751694

B. Patent No.

**EXPRESS MAIL CERTIFICATE**  
Date: 12/29/00 Table No. 67191820  
I hereby certify that, on the date indicated above, this paper or  
No. was deposited with the U.S. Postal Service & that it was  
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Patents, Washington, DC 20231 by "Express Mail Post Office  
to Addressee" service.

DB Peck  
Signature

Additional numbers attached? ☐ Yes ☒ No (Name/Print)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: S. Peter Ludwig

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

S. Peter Ludwig (25,351)  
Name of Person Signing

Signature

December 27, 2000  
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 011411 FRAME: 0757**

UNITED STATES

## ASSIGNMENT

WHEREAS, the below named inventors, ASSIGNORS, have made a certain new and useful invention in:

**SPECULATIVE CACHING OF INDIVIDUAL  
FIELDS IN A DISTRIBUTED OBJECT SYSTEM**

for which a patent application specification is attached hereto and which is being executed concurrently herewith,

and WHEREAS, INTERNATIONAL BUSINESS MACHINES CORPORATION a U.S. company having a place of business at New Orchard Road, Armonk, New York, NY 10504, U.S.A., ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all the divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from the said United States application under the patent laws of the United States, the International Convention for the Protection of Industrial Property, or any other International agreement or the domestic laws of the country in which any such application is filed, as may be applicable, and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof.

ASSIGNORS authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to the issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNORS covenant and agree that they have full right to convey the entire interest herein assigned, and have not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNORS further covenant and agree that they will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

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Inventor's signature Yariv Aridor Date Dec 7, 2000

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Citizenship: Israel

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Inventor's signature Michael Factor Date Dec 18, 2000

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Citizenship: Israel

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Full name of third joint inventor: Tamar EILAM

Inventor's signature T. Eilam Date 11/12/00

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Full name of fourth joint inventor: Assaf SCHUSTER

Inventor's signature Assaf Schuster Date 24/12/2000

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Inventor's signature A. Teperman Date 10/12/2000

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