To the Honorable Commissioner of Patents  1. Name of conveying party(ies):     James J. Coleman     S. David Roh  Additional name(s) of conveying party(ies) attached? () Yes (X) No  1. Name of conveying party(ies):     James J. Coleman     S. David Roh  Additional name(s) of conveying party(ies) attached? () Yes (X) No  1. Name and address of receiving party(ies)     Name: The Board of Trustees of the University of Illinois     Name: The Board of Trustees of the University of Illinois     So South Wright Street     Urbana, Illinois 61801  2. Name and Address: 352 Henry Administration Building     So South Wright Street     Urbana, Illinois 61801  3. Nature of conveyance:     (X) Assignment     () Change of Name     () Other     Execution Date: November 7, 2000 - November 5, 2000  4. Application number(s) or patent number(s):     If this document is being filed together with a new application, the execution date of the application is:     A. Patent Application No.(s)     09/660,611  Additional numbers attached? () Yes (X) No.  5. Name and address of party to whom correspondence concerning document should be mailed:     Name: Greer, Burns & Crain, Ltd. Internal Address: 25th Floor     300 South Wacker Drive  Total fee (37 CFR 3.41)	FORM <b>PTO-159</b> 5	RECORE 01	16 2001	ET	
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James J. Coleman S. David Roh  Additional name(s) of conveying party(ies) attached? ( ) Yes ( X ) Mo  JAN 0 1  3. Nature of conveyance: ( X ) Assignment ( ) Change of Name ( ) Other Execution Date: November 7, 2000 - November 5, 2000  4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s)  09/660,611  Additional numbers attached? ( ) Yes ( X ) No.  5. Name and address of party to whom correspondence concerning document should be mailted: Name: Greer, Burns & Crain, Ltd. Internal Address: 25th Floor 300 South Warder Drive  Name: The Board of Trustees of the University of Illinois 1508 South Wright Street 1608 South Wright Street 1707  Urbana, Illinois 61801  City:	To the Honorable Commissioner of Patents		1583789	nal documen	ts or copy thereof.
S. David Roh  Additional name(s) of conveying party(ies) attached? ( ) Yes ( X ) bo O I Posterial Address: 352 Henry Administration Building 506 South Wright Street  2001 2 Urbana, Illinois 61801  3. Nature of conveyance: ( X ) Assignment ( ) Change of Name ( ) Other Execution Date: November 7, 2000 - November 5, 2000  4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s)  09/660,611  Additional numbers attached? ( ) Yes ( X ) No.  5. Name and address of party to whom correspondence concerning document should be mailed: Name: Greer, Burns & Crain, Ltd. Internal Address: 25th Floor 300 South Wacker Drive		•		of receiving pa	rty(ies)
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## **ASSIGNMENT**

WHEREAS, James J. Coleman, a citizen of the United States, residing at 1094 Bucks Pond Road, Monticello, IL 61856, and S. David Roh, a citizen of the United States, residing at 1262 Butterfly Drive, San Jose, CA 95120 hereinafter referred to as ASSIGNORS, have invented and own a certain invention entitled:

## CURRENT BIASED DUAL DBR GRATING SEMICONDUCTOR LASER

for which application for Letters Patent of the United States has been filed on September 13, 2000, and accorded Serial No. \_09/660,611\_\_\_\_;

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, a not-for-profit corporation of the State of Illinois having a place of business at 352 Henry Administration Building, 506 South Wright Street, Urbana, Illinois 61801, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in, to and under said invention and any United States Letters Patent to be obtained therefor:

## NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNORS also assign all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and ASSIGNORS will testify as to the same in any interference or litigation related thereto

PATENT REEL: 011415 FRAME: 0207 and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date November 7,2000	James J Coleman
State of Illinois	James J. Coleman
State of Illinois ) ss: County of Champsign )	
I hereby certify that James J. Coleman before that the foregoing Assignment was duly signand voluntary act on this day of	re me personally appeared and acknowledged and sealed and delivered by him as his free working as his free 2000.
(Notarial Seal)  OFFICIAL SEAL BILLIE SCALES NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 2-10-2003	Notary Public My commission expires:
Date Nov. 5, 2000  State of California  County of SantaClara  ss:	S. David Roh
	e personally appeared and acknowledged that sealed and delivered by him as his free and comber, 2000.
(Notarial Seal)	Notary Public My commission expires:
BONNIE M. KEHL Commission # 1261553 Notary Public - California Santa Clara County My Comm. Expires Apr 22, 2004	April 22,2004

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**RECORDED: 01/04/2001**