

**MRD 1/4/01**



To the Honorable Commissioner of Patents

101583789

nal documents or copy thereof.

1. Name of conveying party(ies):

James J. Coleman  
S. David Roh

Additional name(s) of conveying party(ies) attached? ( ) Yes (X) No

2. Name and address of receiving party(ies)

Name: The Board of Trustees of the University of Illinois

Internal Address: 352 Henry Administration Building

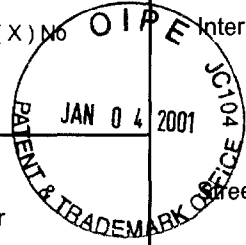
506 South Wright Street

Urbana, Illinois 61801

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached: ( ) Yes (X) No



3. Nature of conveyance:

- (X) Assignment ( ) Merger
- ( ) Security Agreement ( ) Change of Name
- ( ) Other \_\_\_\_\_

Execution Date: November 7, 2000 - November 5, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/660,611

B. Patent No.(s)

Additional numbers attached? ( ) Yes (X) No.

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greer, Burns & Crain, Ltd.

Internal Address: 25th Floor

300 South Wacker Drive

Chicago, Illinois 60606

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached: ( ) Yes (X) No

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) ..... \$ 40.00

(X) Enclosed

(X) Authorized to be charged to deposit account only in the event proper payment is not enclosed.

8. Deposit account number:

07-2069

(Attach duplicate copy of this page if paying by deposit account)

01/16/2001 DNGUYEN 00000097 09660611

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven P. Fallon

Name of Person Signing

Signature

December 22, 2000

Date

Total number of pages including cover sheet, attachments, and document: **3**

1201.64447

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 011415 FRAME: 0206

## ASSIGNMENT

WHEREAS, James J. Coleman, a citizen of the United States, residing at 1094 Bucks Pond Road, Monticello, IL 61856, and S. David Roh, a citizen of the United States, residing at 1262 Butterfly Drive, San Jose, CA 95120 hereinafter referred to as ASSIGNORS, have invented and own a certain invention entitled:

### **CURRENT BIASED DUAL DBR GRATING SEMICONDUCTOR LASER**

for which application for Letters Patent of the United States has been filed on September 13, 2000, and accorded Serial No. 09/660,611;

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, a not-for-profit corporation of the State of Illinois having a place of business at 352 Henry Administration Building, 506 South Wright Street, Urbana, Illinois 61801, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in, to and under said invention and any United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNORS also assign all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and ASSIGNORS will testify as to the same in any interference or litigation related thereto

and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date November 7, 2000

James J. Coleman  
James J. Coleman

State of Illinois )

County of Champaign ) ss:

I hereby certify that James J. Coleman before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this 7<sup>th</sup> day of November, 2000.

(Notarial Seal)



Billie Scales

Notary Public  
My commission expires:

2-10-2003

Date Nov. 5, 2000

S. David Roh

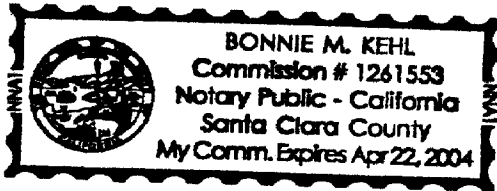
S. David Roh

State of California )

County of Santa Clara ) ss:

I hereby certify that S. David Roh before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this 5 day of November, 2000.

(Notarial Seal)



Bonnie M. Kehl

Notary Public  
My commission expires:

April 22, 2004