

01-17-2001

FORM PTO-1595
(Rev. 6-93)

To the Honorable Asst. Commissioner for Patents

1. Name of conveying party(ies):

Tomas Pesek; Walter Bolliger

Additional name(s) of conveying parties attached? ☐ Yes
☒ No.

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: November 20, 2000

Name: Sulzer Pumpen AG

Internal Address:

Street Address: Zuercherstrasse 12

City: Winterthur State: Switzerland ZIP: CH-8401

Additional names and addresses attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is: November 20, 2000

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Georg Seka
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*J. Georg Seka
Name of Person Signing

Atty. Reg. No. 24,491

Signature

December 29, 2000
Date

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231SF 1173747 v1
01/16/2001 AAHME1 00000161 201430 09752940

01 FC:581

40.00 CH

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Tomas PESEK

Walter BOLLIGER

hereinafter referred to as "Assignor", is/are the inventor(s) of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: Flow machine for a fluid with a radial
sealing gap between stator parts and a rotor

Date(s) of execution: November 20, 2000

Filing date: _____ Serial No.: _____; and

WHEREAS, Sulzer Pumpen AG, Zürcherstrasse 12
CH-8401 Winterthur, Switzerland,

hereinafter referred to as "Assignee", is desirous of acquiring Assignor's interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their right, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their names on the
dates indicated.

Date: 20.11.2000

Tomas Pešek

Tomas PESEK

Date: 20.11.2000

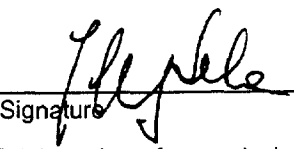
Walter Bolliger

Walter BOLLIGER

Date: _____

Date: _____

SF 217622 v1

FORM PTO-1595 (Rev. 6-93)	Recordation Form Cover Sheet (Patents Only)	U.S. Department of Commerce Patent and Trademark Office
To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof		
1. Name of conveying party(ies): <p style="text-align: center;">Tomas Pesek; Walter Bolliger</p> Additional name(s) of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.	2. Name and address of receiving party(ies) Name: Sulzer Pumpen AG Internal Address: Street Address: Zuercherstrasse 12 City: Winterthur State: Switzerland ZIP: CH-8401 Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: November 20, 2000		
4. Application Number(s) or Patent Numbers. If this document is being filed together with a new application, the execution date of the application is: November 20, 2000 <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> A. Patent Application No(s): </div> <div style="width: 45%;"> B. Patent No(s): </div> </div> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: J. Georg Seka TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor San Francisco, California 94111-3834 (415) 576-0200	6. Total number of applications and patents involved 1 7. Total fee (37 CFR 3.41): -----\$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Fees to Deposit Account <input checked="" type="checkbox"/> Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 20-1430	
DO NOT USE THIS SPACE		
9. Statement and signature. <p style="text-align: center;"><i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i></p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 30%;"> <u>J. Georg Seka</u> Name of Person Signing Atty. Reg. No. 24,491 </div> <div style="width: 30%; text-align: center;">  Signature </div> <div style="width: 30%; text-align: right;"> <u>December 29, 2000</u> Date </div> </div> <p style="text-align: right;">Total number of pages including cover sheet, attachments and document 3</p>		
10. Change Correspondence Address to that of Part 5? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
OMB No. 0651-0011 (exp. 4/94)		
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Mail documents to be recorded with required cover to: <div style="text-align: center; margin-top: 10px;"> Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231 </div>		

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RECORDED: 12/29/2000

 PATENT
 REEL: 011420 FRAME: 0703