

01-18-2001

U.S. DEPARTMENT OF COMMERCE
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HEET

To the Honorable Commissioner of F

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Attached original documents or copy thereof.

1. Name of conveying party(ies):

Sun-Chueh Kao

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: October 30, 2000

2. Name and address of receiving party(ies):

Name: **Univation Technologies, LLC**Street Address: **5555 San Felipe, Suite 1950**City: **Houston** State: **Texas** ZIP: **77056**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/687,734

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Lisa Kimes Jones**
Internal Address: **Univation Technologies LLC.**
Mailing Address: **5555 San Felipe, Suite 1950**
City: **Houston** State: **Texas** ZIP: **77056**

6. Total number of applications and patents involved: [1]

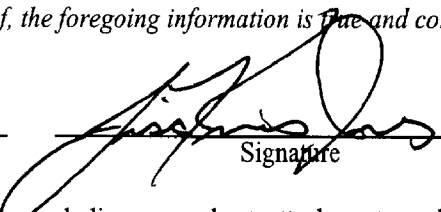
7. Total fee (37 CFR 1.41): **\$40.00**☒ Authorized to be charged to deposit account8. Deposit account number: **50-0589**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true Copy of the original document.

Lisa Kimes Jones
Name of Person Signing


Signature

December 21, 2000
Date

Total number of pages including cover sheet, attachments, and document: [3]

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS
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01/18/2001 MTHA11 00000020 500589 09687734

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ASSIGNMENT OF INVENTION, PRIORITY RIGHTS AND RIGHTS TO APPLY FOR PATENTS

I, the undersigned, Paul D. Whaley, HEREBY STATE UNDER OATH that I am the original and first inventor or co-inventor of an invention for A Method For Preparing Catalyst System And Its Use In A Polymerization Process as described and/or claimed in my application for Letters Patent in the United States of America, executed on the _____ day(s) of _____, 2000, given the Serial No. 09/687,734, and filed on October 13, 2000, with the Family Number 2000U026.

NOW, THEREFORE, in consideration of my employment by Union Carbide Corporation, manufacturers, a corporation organized and existing under the laws of the State of New York, United States of America and having an office at 39 Old Ridgebury Road, Danbury, State of Connecticut, 06817-0001, United States of America, and having as its fifty-percent-owned joint venture, UNIVATION TECHNOLOGIES, LLC, technology licensors, a company organized and existing under the laws of the State of Delaware, United States of America, and having an office at 5555 San Felipe, Suite 1950, Houston, Texas, 77056, United States of America,

I DO HEREBY ASSIGN AND TRANSFER, without any restrictions, reservations or limitations, my entire right, title, and interest in and to said invention and application unto, as the Assignee, the aforesaid UNIVATION TECHNOLOGIES, LLC, its successors, assigns and nominees, and the entire right, title and interest in and to all patents which may be granted thereon, and all divisions, continuations, continuations-in-part, reissues, reexaminations and extensions thereof;

AND ALL PRIORITY RIGHTS derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property, for any and all member countries of the aforesaid International Convention;

AND THE SOLE RIGHT to file such applications under the Patent Laws of any country of the world in its name and/or mine, and the sole right to have patents granted on said applications in its name and/or mine to the full end of the term for which said patents may be granted as fully and entirely as the same would have been held by me had this assignment not been made, and to enforce said patents with my right to sue for and recover accrued profits or damages for any and all infringements thereof;

AND AUTHORIZE the above-named Assignee to insert in this instrument the execution date, serial number and filing date of said application;

AND AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue all patents on said invention to said UNIVATION TECHNOLOGIES, LLC as Assignee of the entire right, title and interest, and covenant that I have full right so to do, and agree that I will communicate to said Corporation or its representatives any facts known to me respecting said invention and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Corporation, its successors, assigns, and nominees, to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE

October 30, 2000Sun-Chueh Kao

(LS)

Sun-Chueh Kao

Barbara Muller

Witness