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To the Honorable Commissioner of F

hed original documents or copy thereof.

1. Name of conveying party(ies):

1) Stephen Yao Ching Chen, 2) Jerry Wayne Goldsmith,  
3) Kalpana Shyam, and 4) Julie Ann Watts

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

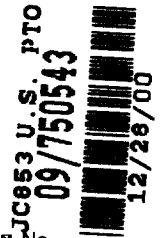
- ☒ Assignment ☐ Merger  
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☐ Other \_\_\_\_\_

Execution Date 1) December 13, 2000; 2) December 15, 2000;  
3) December 13, 2000; 4) December 19, 2000

2. Name and address of receiving party(ies):

International Business Machines Corporation  
Armonk, New York 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 28, 2000

A. Patent Application No.(s):

B. Patent No.(s):

09/750543

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

DAVID W. VICTOR, ESQ.  
KONRAD RAYNES & VICTOR LLP  
1180 S. Beverly Drive, Suite 501  
Los Angeles, CA 90035

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41).....\$ 40

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0585

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

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December 28, 2000  
Date

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**PATENT**  
**REEL: 011424 FRAME: 0620**

ASSIGNMENT

Whereas, we

INVENTOR  
AND CITY

(1) Stephen Yao Ching Chen  
County of Santa Clara  
(2) Jerry Wayne Goldsmith  
County of Santa Clara  
(3) Kalpana Shyam  
County of Santa Clara  
(4) Julie Ann Watts  
County of Santa Clara

of Saratoga  
and State of California  
of Morgan Hill  
and State of California  
of Los Altos  
and State of California  
of Morgan Hill  
and State of California

have invented certain improvement in

TITLE

METHOD, SYSTEM, AND PROGRAM FOR OPTIMISTIC CONCURRENCY CONTROL FOR  
SCROLLABLE CURSORS IN A DATABASE

DATES THAT  
INVENTORS  
SIGNED THE  
DECLARATION

and executed, respectively, a United States patent application therefore on

(1) 12-13, 2000, (2) 12-15, 2000, (3) 12-3, 2000, and (4) 12-19, 2000

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE

(1) at San Jose  
on 12-13, 2000,

Stephen Yao Ching Chen  
Stephen Yao Ching Chen SIGNATURE  
INVENTOR

CITY  
DATE

(2) at San Jose  
on 12-15, 2000,

Jerry Wayne Goldsmith  
Jerry Wayne Goldsmith SIGNATURE  
INVENTOR

CITY  
DATE

(3) at San Jose, CA  
on 12-13, 2000,

Kalpna Shyam  
Kalpana Shyam SIGNATURE  
INVENTOR

CITY  
DATE

(4) at San Jose, CA  
on 12-19, 2000,

Julie Ann Watts  
Julie Ann Watts SIGNATURE  
INVENTOR