

01-19-2001



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PATENT

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10-17-00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Jonathan A. Weinstock

Execution Date
Month Day Year
09/05/00

Name (line 2)

Second Party

Name (line 1) William H. Blum

Execution Date
Month Day Year
09/06/00

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) General Instrument Corporation

Name (line 2)

Address (line 1) 101 Tournament Drive

Address (line 2)

Address (line 3) Horsham PA U.S.A. 19044

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011431 FRAME: 0280

Correspondent Name and Address

Area Code and Telephone Number

610-346-7112

Name Wendy W. Koba, Esq.

Address (line 1) PO Box 556

Address (line 2) Springtown PA 18081

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/588,387

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

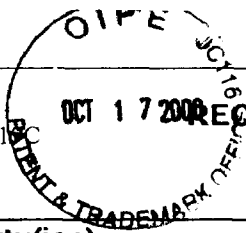
Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Wendy W. Koba, Esq.
Name of Person Signing

Wendy W. Koba
Signature

10/17/00
Date



OCT 17 2000

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Nicholas D. Lee

Execution Date
Month Day Year

10/06/00

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Clive E. Holborow

08/30/00

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Richard R. Dziekan

08/28/00

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

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ASSIGNMENT AND AGREEMENT

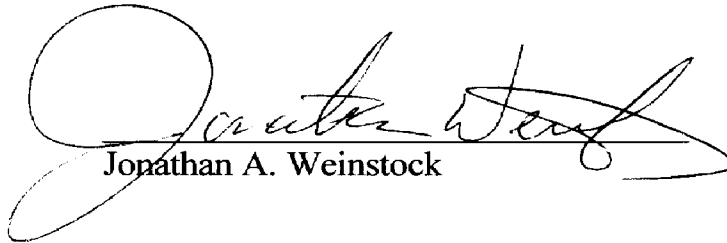
For value received, we, Jonathan A. Weinstock of Doylestown in the County of Bucks and State of Pennsylvania, William H. Blum of Harleysville in the County of Montgomery and State of Pennsylvania, Nicholas D. Lee of King of Prussia in the County of Montgomery and State of Pennsylvania, Clive E. Holborow of San Diego in the County of San Diego and State of California, and Richard R. Dziekan of North Wales in the County of Montgomery and State of Pennsylvania, hereby sell, assign and transfer to General Instrument Corporation, a corporation of the State of Delaware, having an office at 101 Tournament Drive, Horsham, Pennsylvania 19044, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Monitoring System For A Hybrid Fiber Cable Network** described in an application for Letters Patent of the United States, Serial No.09/588,387, filed June 6, 2000 and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to General Instrument Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize General Instrument Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of General Instrument Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to General Instrument Corp. in the United States and in all countries foreign to the United States, or to such nominees as General Instrument Corp. they may designate.

We agree that, when requested, we shall, without charge to General Instrument Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Jonathan A. Weinstock

Date: 9/5/02

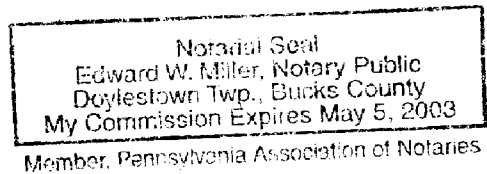
United States of America)

State of PA) ss.:

County of Bucks)

On this 5th day of Sept., 2002, before me personally came Jonathan A. Weinstock, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



William H. Blum
William H. Blum

Date: 9/6/00


United States of America)
~~Commw.~~ Pennsylvania) ss.:

County of Montgomery)

On this 6th day of September, 2000, before me personally came William H. Blum, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Kimberlee A. Nilsen
Notary Public

NOTARIAL SEAL
KIMBERLEE A. NILSEN, Notary Public
City of Philadelphia, Phila. County
My Commission Expires May 5, 2001


Nicholas D. Lee

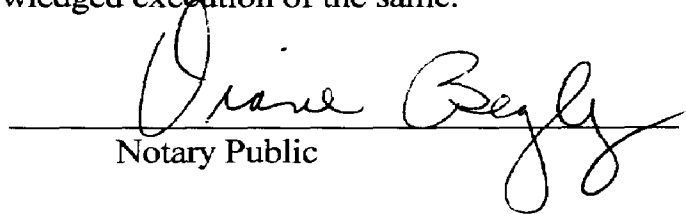
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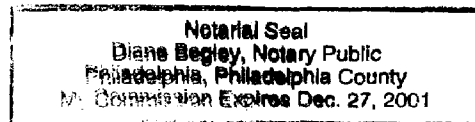
United States of America)

State of PA) ss.:

County of Phila)

On this 6 day of OCT, 2000, before me personally came Nicholas D. Lee, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



Member, Pennsylvania Association of Notaries

ACKNOWLEDGMENT

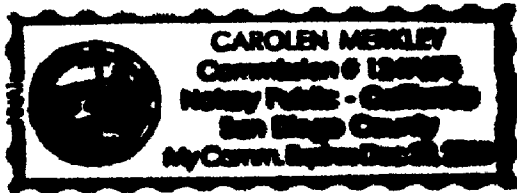
D2234

Clive E. Holborow
Clive E. Holborow

Date: 8/30/00

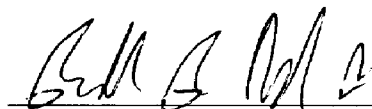
State of California)
)
County of San Diego)

On 30 August 2000, before me, Carolen Merkley, personally appeared Clive E. Holborow, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Carolen Merkley

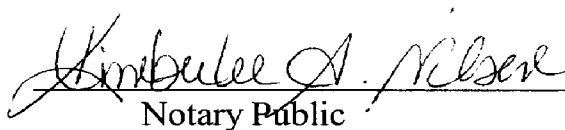


Richard R. Dziekan

Date: 8/28/2000

United States of America)
Commw.)
~~State~~ of Pennsylvania) ss.:
County of Montgomery)

On this 28th day of August, 2000, before me personally came Richard R. Dziekan, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public





**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DECEMBER 18, 2000

PTAS

WENDY W. KAHN
PO BOX 556
SPRINGTOWN, PA 18081



101497111A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 101497111

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. PLEASE PROVIDE A PROPERTY NUMBER

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS