

01-19-2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Hiroaki Ono, Takashi Miyazawa, Toru Tabei,  
Yasuo Shirasaki, Isao Yamaguichi and  
Kazunobu Nagasaki

Additional names of conveying parties attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: **Nippon Kayaku Kabushiki Kaisha**

Address: **11-2, Fujimi 1-chome**

**Chiyoda-ku, Tokyo 102-0071 Japan**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other: **Document previously recorded at reel  
010424 frame 0586 contained an error in  
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Execution date for Correction: **September 28, 2000**

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

**09/403,464**

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

**Kevin S. Lemack  
Nields & Lemack  
176 East Main Street, Suite 8  
Westborough, MA 01581**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: **14-0930**

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Kevin S. Lemack**

Name of person signing

Signature

1/2/01  
Date

Attorney Docket No.: **441P062**

Total number of pages including cover sheet, attachments and document: **9**

**PATENT**  
**REEL: 011432 FRAME: 0445**

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10-20-2000



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): <b>10-3-00</b> <b>Hiroaki Ono, Takashi Miyazawa, Toru Tabei, Yasuo Shirasaki, Isao Yamaguichi and Kazunobu Nagasaki</b></p> <p>Additional names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		<p>2. Name and address of receiving party(ies):</p> <p>Name: <b>Nippon Kayaku Kabushiki Kaisha</b></p> <p>Address: <b>11-2, Fujimi 1-chome Chiyoda-ku, Tokyo 102-0071 Japan</b></p> <p>Additional name(s) &amp; address(cs) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution date for Correction: <b>September 28, 2000</b></p>		

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A. Patent Application No.(s)  
**09/403,464**

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning this document should be mailed:</p> <p><b>Kevin S. Lemack Nields &amp; Lemack 176 East Main Street, Suite 8 Westborough, MA 01581</b></p>	<p>6. Total number of applications and patents involved: <b>1</b></p>
	<p>7. Total fee (37 CFR 3.41): <b>\$40.00</b></p> <p><input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account</p>
	<p>8. Deposit account number: <b>14-0930</b></p>

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10/19/2000 GTDN11 00000156 09403464  
1 FC:581 40.00 DP

9. Statement and signature.

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Kevin S. Lemack  
Name of person signing

[Signature]  
Signature

10/11/00  
Date

Attorney Docket No.: **441P062**

Total number of pages including cover sheet, attachments and document: **7**

12-13-1999

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MKN 11-22-99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Hiroaki Ono, Takashi Miyazawa, Toru Tabei,  
Yasuo Shirasaki, Isao Yamaguchi and Kazunobu  
Nagasaki

Additional names of conveying parties attached? ☐ Yes ☒ No

Nature of conveyance:

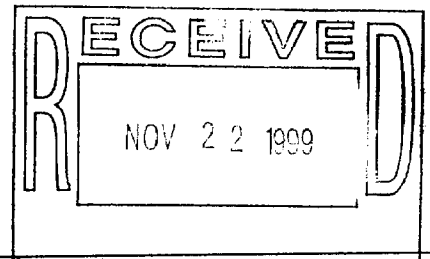
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution date: November 12, 1999

## 2. Name and address of receiving party(ies):

Name: Nippon Kayaku Kabushiki Kaisha

Address: 11-2, Fujimi 1-chome  
Chiyoda-ku, Tokyo 102-0071 Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/403,463

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Kevin S. Lemack  
Nields, Lemack & Dingman  
176 East Main Street, Suite 8  
Westborough, MA 01581

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

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## 8. Deposit account number: 14-0930

12/08/1999 TTON11 00000080 09403463

01 FC:581

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin S. Lemack  
Name of person signing

Signature

Nov. 18, 1999  
Date

Attorney Docket No.: 441P062

Total number of pages including cover sheet, attachments and document: 4

PATENT  
REEL: 011432 FRAME: 0447

(ATTORNEY DOCKET NO. 441P062) H.O. 9/26/00

# ASSIGNMENT

Page 1 of 3 T.T. 9/28/00

Serial No. <sup>4</sup> 09/403,46~~X~~, Filed October 21, 1999  
(based on PCT International Application No. PCT/JP98/01877 filed April 23, 1998) Y.S. 9/27/00  
I.Y. 9/27/00  
K.N. 9/26/00

WHEREAS, Hiroaki ONO, Takashi MIYAZAWA, Toru Tabei, Yasuo SHIRASAKI, Isao YAMAGUCHI and Kazunobu NAGASAKI

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in

"WATER-SOLUBLE TRISAZO COMPOUNDS, AQUEOUS INK COMPOSITION AND COLORED ARTICLE" H.O. 9/26/00

for which an application for Letters Patent of the United States of America has been executed by the undersigned on November 12, 1999; and T.T. 9/28/00  
Y.S. 9/27/00  
I.Y. 9/27/00  
K.N. 9/26/00

WHEREAS,

Nippon Kayaku Kabushiki Kaisha

(a joint-stock company duly organized and existing under the laws of Japan), of

11-2, Fujimi 1-chome, Chiyoda-ku, Tokyo 102-0071 JAPAN

(assignee),

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of **Nields, Lemack & Dingman of 176 East Main Street, Suite 8, Westboro, Massachusetts 01581 U. S. A.** the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

*In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).*

Date November 12, 1999, Name of Inventor Hiroaki Ono  
Hiroaki ONO

Date November 12, 1999, Name of Inventor Takashi Miyazawa  
Takashi MIYAZAWA

Date November 12, 1999, Name of Inventor Toru Tabei  
Toru TABEL

Date November 12, 1999, Name of Inventor Yasuo Shirasaki  
Yasuo SHIRASAKI

Date November 12, 1999, Name of Inventor Isao Yamaguchi  
Isao YAMAGUCHI

Date November 12, 1999, Name of Inventor Kazunobu Nagasaki  
Kazunobu NAGASAKI