

01-23-2001

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101591137

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **1.5.01**
Samuel J. Danishefsky, Jennifer R. Allen, Govindawami Ragupathi, Philip O. Livingston and Lawrence Williams
Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies)
Name: **Sloan Kettering Institute for Cancer Research**
Internal Address:
Street Address: **1275 York Avenue**
City: **New York** State: **NY** ZIP: **10021**

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other
Execution Date: **11/13/00, 11/15/00, 11/24/00, 12/4/00, 12/14/00**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/641,742

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Karoline K.M. Shair, Ph.D.**
Internal Address: **Choate, Hall & Stewart**
Street Address: **Exchange Place
53 State Street**
City: **Boston** State: **MA** ZIP: **02109**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) **\$40.00**
 Enclosed

Authorized to be charged to deposit account

8. Deposit account number: **03-1721**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karoline K.M. Shair, Ph.D.

Karoline K. M. Shair 01/02/01

Name of Person Signing

Signature

Date

Reg. No. 44,332

Total number of pages including cover sheet, attachments, and document: 9

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

2003080-0054
3208226_1.DOC

01/19/2001 DNGUYEN 00000099 09641742

01 FC:581

40.00 DP

PATENT
REEL: 011438 FRAME: 0589

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Samuel J. Danishefsky, Jennifer R. Allen, Govindaswami Ragupathi, Philip O. Livingston and Lawrence Williams**, hereby


Sell, assign and transfer to **SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH** having a place of business at **1275 York Avenue, New York, New York 10021**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed August 18, 2000, Serial No. 09/641,742, entitled "**NOVEL GLYCONJUGATES, GLYCOAMINO ACIDS, INTERMEDIATES THERETO, AND USES THEREOF**" and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

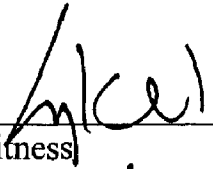
IN WITNESS WHEREOF, this _____ day of _____, 2000.


Samuel J. Danishefsky

Samuel Echok
Witness

11/29/00
Date

510 E 82nd
NY C 10028
Address


Witness

12/21/00
Date

623 West End Ave #3B
NY NY 10024
Address

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Jennifer R. Allen

Witness

Date

Address

Witness

Date

Address

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Govindaswami Ragupathi
Govindaswami Ragupathi

Scampbell Echols
Witness
510 E. 82nd St
NYC 10028
Address

12/4/00
Date

lylcel
Witness
623 West End Ave #3B
NY NY 10024
Address

12/4/00
Date

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Philip Livingston
Philip O. Livingston

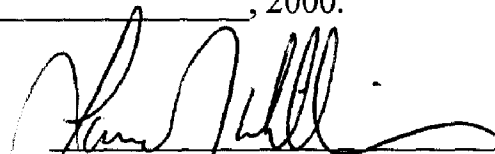
Scampbell Echols
Witness
510 E - 82nd
NYC 10028
Address

12/14/00
Date

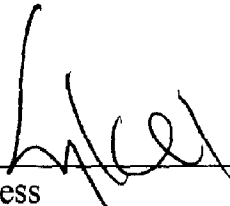
lylcel
Witness
623 West End Ave #3B
New York, NY. 10024
Address

12/4/00
Date

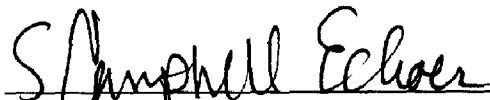
IN WITNESS WHEREOF, this _____ day of _____, 2000.


Lawrence Williams

Date 11-13-00


Witness

623 West End Ave 3B
New York, NY 10024
Address


Witness

510 E-82nd
NYC 10028
Address

Date 11/13/00

* I authorize Choate, Hall & Stewart to fill in the serial number, if necessary.

3158776

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Samuel J. Danishefsky, Jennifer R. Allen, Govindaswami Ragupathi, Philip O. Livingston and Lawrence Williams**, hereby

Sell, assign and transfer to **SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH** having a place of business at **1275 York Avenue, New York, New York 10021**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed August 18, 2000, Serial No. 09/641,742, entitled "**NOVEL GLYCONJUGATES, GLYCOAMINO ACIDS, INTERMEDIATES THERETO, AND USES THEREOF**" and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Samuel J. Danishefsky

Witness

Date

Address

Witness

Date

Address

IN WITNESS WHEREOF, this 15 day of November, 2000.

J.R. Allen
Jennifer R. Allen

11/15/2000
Date

Christopher Gallo
Witness
Lilly Corporate Center
Indianapolis, IN 46285
Address

James J. Phillips
Witness
Lilly Corp. Center
Indianapolis, IN 46285
Address

11-15-2000
Date

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Govindaswami Ragupathi

Witness

Date

Address

Witness

Date

Address

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Philip O. Livingston

Witness

Date

Address

Witness

Date

Address

IN WITNESS WHEREOF, this _____ day of _____, 2000.

Lawrence Williams

Witness

Date

Address

Witness

Date

Address

* I authorize Choate, Hall & Stewart to fill in the serial number, if necessary.

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