

01-23-2001

Altera Docket No. 736.322US01

U.S. Department of Commerce

Patent and Trademark Office



101590366

To the Honorable Commissioner of Patents and Trade

and attached original documents or copy thereof

1. Name of conveying party(ies)

Sergei N. Orlov
Pavel Hamet
Johanne Tremblay

2. Name and address of receiving party(ies):

CORPORATION DU CENTRE DE RECHERCHE DU
CENTRE HOSPITALIER UNIVERSITÉ DE MONTRÉAL
3850 rue St-Urbain
Montréal Québec
H2W 1T8
CANADA

Additional names(s) of conveying party(ies) ☐ Yes ☒ NoAdditional name(s) & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 28 July 2000, 28 July 2000, 28 July 2000

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s)

09/586097

Patent Number(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael B. Lasky
Address: Altera Law Group
10749 Bren Road East, Opus 2
Minneapolis, Minnesota 55343-9056

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41) \$40.00

- ☒ Enclosed – Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to charged to deposit account

8. Deposit Account number: 50-1038

01/22/2001 AAHMED1 00000021 09586097

01 FC:581

40.0000

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. Lasky
Name of Person Signing

Signature

December 28, 2000
Date

Total number of pages including cover sheet, attachments, and document: 3

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on December 28, 2000.

Michael B. Lasky
Name

Signature

ASSIGNMENT

The undersigned, ORLOV, Dr. Sergei N., HAMET, Dr. Pavel, and TREMBLAY, Dr. Johanne whose full post office addresses are: 6525 Wilderton Ave. app.304, Montréal (Québec, H3S 2L4 CANADA; 325 rue Ellerton, Ville Mont-Royal (Québec) H3P 1E1, CANADA; -and- 325, rue Ellerton, Ville Mont-Royal (Québec) H3P 1E1, CANADA

in consideration of one dollar, to us paid by CORPORATION DU CENTRE DE RECHERCHE DU CENTRE HOSPITALIER DE L'UNIVERSITÉ DE MONTRÉAL

(hereinafter referred as the assignee)

whose full post office address is: 3850 St-Urbain, Montréal (Québec) H2W 1T8 CANADA;

receipt of which is hereby acknowledged, and other good and valuable considerations, we do hereby sell and assign (and/or confirm such sale and assignment) to the said assignee all our right, title and interest in the United States, Canada and everywhere else throughout the world, in and to our invention entitled: **USES OF OUBAIN AND OUBAIN-LIKE MOLECULES IN APOPTOSIS RELATED PATHOLOGIES**

as fully described and claimed in the patent application serial no. US 09/586,097 filed on 2 JUNE 2000, in the international application no. PCT/CA00/00659 filed on 2 JUNE 2000 and to all our corresponding right, title and interest in and to any patent which may issue therefor in the United States, Canada or elsewhere.

We further agree to execute all the forms and papers that may be necessary to file continuation, divisional or reissue applications in the United States and to extend patent protection on the above invention worldwide in the name of the Assignee.

It is the express wish of the parties that this Agreement and all related documents be drafted in the English language (c'est la volonté expresse des parties que cette convention et tous les documents y afférant soient rédigés en langue anglaise).

SIGNED this 28 day of July of 2000
at Montreal Canada
City Country

WITNESS

France Leclaire
France Leclaire

Dr. Sergei N. ORLOV
Dr. Sergei N. ORLOV

SIGNED this 28 day of July of 2000at Montreal, Quebec Canada
City Country

WITNESS

Francine Cartier
Francine CartierDr. Pavel HAMET
Dr. Pavel HAMETSIGNED this 28 day of July 2000at Montreal Canada
City Country

WITNESS

France Leclaire
France LeclaireDr. Johanne TREMBLAY
Dr. Johanne TREMBLAY

READ AND APPROVED

SIGNED this 28 day of July of _____at Montreal Canada
City Country

By:

Paul Hamet
Name: Pavel Hamet
Title: Administrateur

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Orlov et al.
Serial No.: 09/586097 Group Art Unit: 1623
Filed: 6/2/00 Docket No.: 736.322US01
Title: USES OF OUBAIN AND OUBAIN-LIKE MOLECULES IN APOPTOSIS RELATED
PATHOLOGIES

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on December 28, 2000.

Michael B. Lasky
Name

Signature

REQUEST FOR CORRECTED ASSIGNMENT RECORDATION

BOX ASSIGNMENT

Commissioner for Patents and Trademarks
Washington D.C. 20231

Dear Sir:

The Notice of Recordation of Assignment Document for the above-identified application is erroneous in the following respect: The first *Assignor's name* is misspelled.

Accordingly, please replace "Sergi" with --Sergei--.

Enclosed are the original Assignment, the original Recordation Form Cover Sheet and the original Notice of Recordation of Assignment Document. Also enclosed is a check for \$40.00 to cover the Assignment Recordation fee. Applicant respectfully requests correction of the records in the Patent and Trademark Office.

Respectfully submitted,

Altera Law Group, LLC
10749 Bren Road East, Opus 2
Minneapolis, MN 55343

Date: December 28, 2000

By: _____

Michael B. Lasky
Reg. No. 29,555



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DECEMBER 11, 2000

PTAS

MICHAEL B. LASKY
ALTERA LAW GROUP
10749 BREN ROAD EAST, OPUS 2
MINNEAPOLIS, MINNESOTA 55343-9056



101491618A

736 320 165 01

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/28/2000

REEL/FRAME: 011158/0222
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ORLOV, SERGI

DOC DATE: 07/28/2000

ASSIGNOR:
HAMET, PAVEL

DOC DATE: 07/28/2000

ASSIGNOR:
TREMBLAY, JOHANNE

DOC DATE: 07/28/2000

ASSIGNEE:
CORPORATION DU CENTRE DE RECHERCHE
DU CENTRE HOSPITALIER DE
L'UNIVERSITE DE MONTREAL
3820 ST-URBAIN
MONTREAL QUEBEC, CANADA H2W 1T8

SERIAL NUMBER: 09586097
PATENT NUMBER:

FILING DATE: 06/02/2000
ISSUE DATE:

**PATENT
REEL: 011438 FRAME: 0650**

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS