

01-24-2001

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BOX ASSIGNMENTS



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R SHEET
ENTS ONLYTO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. FAWKES, David M.

3.

5.

7.

2. THETFORD, Dean

4.

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: AVECIA LIMITED

ADDRESS: Hexagon House, Blackley, Manchester, M9 8ZS, United Kingdom

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

- ☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☒ ORIGINAL ☐ FACSIMILE/PHOTOCOPY
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: August 25, 2000

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) August 25, 2000

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1* INVENTOR If not in Item 1	B. PATENT NO(S)	M#	1* INVENTOR If not in Item 1
NEW APPLICATION	274352				
5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed: Pillsbury Madison & Sutro LLP Intellectual Property Group 1100 New York Avenue, NW Ninth Floor Washington, DC 20005-3918			6. NUMBER INVOLVED: APPLNS 0 + PATS 0 = TOTAL 1		
5.5 ATTY DKT: PMS 274352 MATTER NO.			7. AMOUNT OF FEE ENCLOSED: (Code 581) ABOVE TOTAL x \$40 = \$40		
SMC60297UST CLIENT REF.			8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975 274352		
			UNDER ORDER NO 70662 dup. sheet not required		
			CLIENT NO. MATTER NO.		

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

Attorney: Paul N. Kokulis

Reg. No. 16773

Atty/Sec: PNK/sdm

Date: October 30, 2000

FAX: (202) 822-0944

TEL: (202) 861-3503

FILE WITH PTO RETURN RECEIPT (PAT-103A)

10. Total number of pages including this cover sheet, attachments and document (do not file dup. Cover sheet)

3

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PAT-114X 11/1999

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

David Melville Fawkes & Dean Thetford

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, Avecia Limited, a company created and existing under the laws of England and Wales, having its Head Office at Hexagon House, Blackley, Manchester, M9 8ZS, United Kingdom, and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: Amine Dispersants

and which is found in

- (a) ___ U.S. patent application executed on even date herewith;
- (b) ___ U.S. patent application executed on _____;
- (c) ___ U.S. application serial no. filed on;
- (d) _X_ International application no. PCT/GB99/01201 filed on 20/04/1999;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

25 day of August, 2000

David M Fawkes.

David Melville Fawkes

WITNESS: [Signature]

WITNESS: [Signature]

DATE: 25th August 2000

DATE: 25th AUGUST 2000

☒ Additional page(s) are attached hereto.

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25 day of August, 2000

Dean Thetford
Dean Thetford

WITNESS: [Signature]

DATE: 25th August 2000

WITNESS: [Signature]

DATE: 25th AUGUST 2000

☐ Additional page(s) are attached hereto.
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