

01-25-2001

Substitute Form PTO-1595  
orney Docket No.: 12005-002001

RECORDA



101593422

1-16-01

PA

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

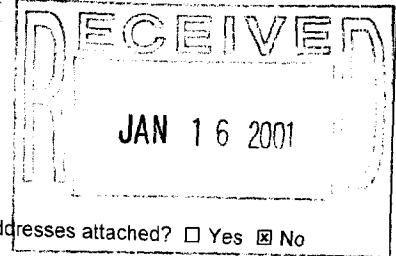
Mien-Chie Hung  
Weiya Xia  
Yiu-Keung Lau

Additional name(s) attached?  Yes  No

2. Name and address of receiving party(ies):

Kuo Kwang Biotech Corporation  
3, Sec. 1, Tan Shin Road  
Tantzu, Taichung 42703  
TAIWAN

Additional names/addresses attached?  Yes  No



3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other:

Execution Date:

Mien-chie Hung - 8/21/00  
Weiya Xia - 8/21/00  
Yiu-Keung Lau - 8/25/00

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

09/637,190

B. Patent No(s):

Additional numbers attached?  Yes  No

5. Name/address of party to whom correspondence concerning document should be mailed:

JOHN T. LI  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- Enclosed
- Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

John T. Li  
Reg. No. 44,210  
Name of Person Signing

Signature

*John T. Li*

Date

1-10-01

Total number of pages including coversheet, attachments and document: 4

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

January 10, 2001  
Date of Deposit

*Jeanine Mecherkany*  
Signature

Jeanine Mecherkany  
Typed Name of Person Signing Certificate

01/24/2001 6TDH11 00000233 09637190  
01 FC:581 40.00 CP

PATENT  
REEL: 011444 FRAME: 0333

## ASSIGNMENT

For valuable consideration, we, MIEN-CHIE HUNG of Houston, TX, WEIYA XIA of Houston, TX and YIU-KEUNG LAU of Houston, TX, hereby assign to Kuo Kwang Biotech Corporation, a Taiwan corporation having a place of business at: 3, Sec. 1, Tan Shin Road, Tantz, Taichung 42703, Taiwan and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled PREDICTING SURVIVAL OF PATIENTS WITH SQUAMOUS CELL CARCINOMA, filed August 11, 2000, and assigned U.S. Serial Number 09/637,190, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at MD Anderson,  
this 21<sup>st</sup> day of August, 2000.

Mien-chie Hung L.S.  
Mien-chie Hung

My N. Dy 8-21-00  
Witness Date

Ashley May 8-21-00  
Witness Date

IN WITNESS WHEREOF, I hereto set my hand and seal at MD Anderson,  
this 21<sup>st</sup> day of August, 2000.

Weiya Xia L.S.  
Weiya Xia

My N. Dy 8-21-00  
Witness Date

Ashley May 8-21-00  
Witness Date

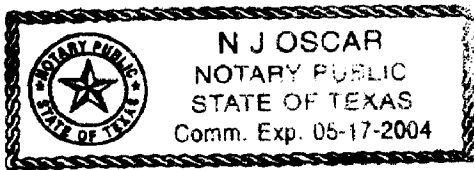
IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Yiu-Keung Lau L.S.

\_\_\_\_\_  
Witness Date

\_\_\_\_\_  
Witness Date

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Oscar  
Notary

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Mien-chie Hung L.S.

\_\_\_\_\_  
Witness Date

\_\_\_\_\_  
Witness Date

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Weiya Xia L.S.

\_\_\_\_\_  
Witness Date

\_\_\_\_\_  
Witness Date

IN WITNESS WHEREOF, I hereto set my hand and seal at Bank of America  
this ~~8<sup>th</sup>~~ <sup>25<sup>th</sup></sup> day of August, 2000.  
Yiu-Keung Lau Yiu-Keung Lau Yiu-Keung Lau L.S.

[Signature]  
Witness Date 8/25/00

[Signature]  
Witness Date 8-25-00

20118339.doc

