

01-25-2001

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings → → → ▼

To the Honorable Commissioner of

101593529

he attached original documents or copy thereof.

1. Name of conveying party(ies):

Andreas Mallek

1.8.01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: CARRIER CORPORATION

Internal Address: INTELLECTUAL PROPERTY

DEPT.

Street Address: ONE CARRIER PLACE

City: FARMINGTON State: CT ZIP: 06034-4015

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: December 11, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/692,864

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: FREDERICK A. GOETTEL, JR.

Internal Address: CARRIER CORPORATION

INTELLECTUAL PROPERTY DEPT.

Street Address: P O BOX 4800

City: SYRACUSE State: NY ZIP: 13221-4800

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)

(Order # 1372)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frederick A. Goettel, Jr.

Name of Person Signing

Signature

Date

3

Total number of pages including cover sheet, attachments, and document:

01/24/2001 AAHMED1 00000173 030835 09692064

documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01 FC:581

40.00 CH

(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 011444 FRAME: 0484

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, I: **Andreas MALLEK**, residing at Lindenstr 62, 71272 Renningen, Germany, a citizen of Germany, being the inventor of a certain invention entitled "AIR CONDITIONER FOR PASSENGER TRANSPORTATION VEHICLES" (Carrier Docket No.: 9890), for which German patent application serial number 200 90 332.0 was filed on the 23th day of May, 2000, and being the owner of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware, USA, corporation with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015 USA, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, except Germany, and all divisions, reissues, continuations, and extensions thereof. I hereby authorize and request the United States Commissioner of Patents and Trademarks and the issuing authorities in other countries to issue all Letters Patent maturing from said application to said CARRIER CORPORATION, as Assignee.

AND, I hereby covenant and agree that I shall at any time, upon request, without further compensation, testify in any interference proceeding, execute all divisional, continuing or reissue applications, make all rightful oaths and generally

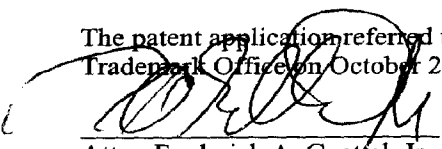
execute and deliver any and all papers or instruments that may be necessary or desirable to secure said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this _____ day of 11. Dez., 2000.



Andreas MALLEK

The patent application referred to in the foregoing instrument was filed in the United States Patent and Trademark Office on October 23, 2000, and was assigned Serial Number 09/692,864.



Atty: Frederick A. Goettel, Jr.
Reg. No. 25,139

1/3/01
Date