

01-25-2001

Docket No. 26284-B/JPW/GJG



FORM PTO-1595 (Substitute)

(Rev. 8-95)

1.7.01

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):  
Koji Nakanishi, Dawen Huang,  
Seok-Ki Choi and Robert Goodnow  
Additional name(s) of conveying party(ies) attached?  
 Yes  No

2. Name and address of receiving party(ies):  
The Trustees of Columbia University  
Name: in the City of New York  
Internal Address: \_\_\_\_\_  
Street Address: West 116th Street and Broadway  
City/State/Zip: New York, New York 10027  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date(s): Feb. 5, Feb. 9, Feb. 23,  
and February 22, 1995

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):  
A. Patent Application No.(s) U.S. Serial No.:  
08/376,924, filed: January 23, 1995

B. Patent No.(s) 6,001,824  
issued: December 14, 1999  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: John P. White  
Internal Address: COOPER & DUNHAM LLP  
Street Address: 1185 Avenue of the Americas  
City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR §3.41): ..... \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
03-3125

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9 Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
John P. White  
Name of Person Signing  
Signature Reg. No. 28,678  
December 28, 2000  
Date

Total Number of pages including cover sheet, attachments and document: 5

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.  
John P. White  
Reg. No. 28,678  
Date 12/28/00

OMB No 0651-0011 (exp 4/94)

Mail documents to be recorded with required cover sheet information to:  
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01/24/2001 AAHMED1 00000059 033125 08376924  
01 FC:581 40.00 LH

PATENT  
REEL: 011446 FRAME: 0438

# Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Koji Nakanishi, Danwen Huang, Seok-Ki Choi and Robert Goodnow, residing at 560 Riverside Drive, Apt. 9J, New York, New York 10027; 535 West 113th Street, Apt. 1, New York, New York 10025; 29 Garden Street, Apt. 218, Cambridge, Massachusetts 02138; and 119 Bellevue Avenue, Apt. 5B, Upper Montclair, New Jersey 07043, respectively,

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York  
New York having a place of business at West 116th Street & Broadway  
in the County of New York and State of New York 10027

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on Feb. 5, 1995, Feb. 9, 1995, Feb. 22, 1995 and Feb. 22, 1995 and is entitled

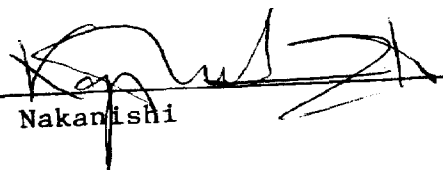
BUTYRYL-TYROSINLY SPERMINE, ANALOGS THEREOF AND METHODS OF PREPARING AND USING SAME (U.S. Serial No. 08/376,924; filed January 23, 1995) now U.S. Patent No. 6,001,824, issued on December 14, 1999

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

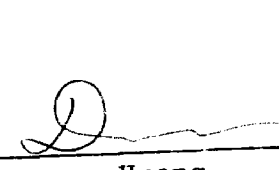
Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

**Covenant** with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 2/22 1995  [L.S.]  
Koji Nakanishi

Witness: Kimberly D. Gamble  
Kimberly D. Gamble  
116 Amsterdam Ave  
New York NY 10027

 [L.S.]  
Danwen Huang

Date: 2/22 1995  
Witness: Kimberly D. Gamble  
116 Amsterdam Ave  
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Kimberly D. Gamble

*Seok-Ki Choi*

[L.S.]

Date: Feb 5 / 1995 1995 Seok-Ki Choi

Witness: Ned Bowden - Ned Bowden

Department of Chemistry

12 Oxford St., Box H9

Cambridge, MA 02138

[L.S.]

Date: \_\_\_\_\_ 1995 Aristotle Kalivretenos

Witness: \_\_\_\_\_

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[L.S.]

Date: \_\_\_\_\_ 1995 Robert Goodnow

Witness: \_\_\_\_\_

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Date: \_\_\_\_\_ 1995 Seok-Ki Choi \_\_\_\_\_ [L.S.]

Witness: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_ 1995 Aristotle Kalivretenos \_\_\_\_\_ [L.S.]

Witness: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date: Feb. 9 1995 Robert Goodnow \_\_\_\_\_ [L.S.]

Witness: Carl Bruce Frank  
12-23 Burbank St.  
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