

Patent

Docket No.: CDST-CD/C021-1D (CT/C021-D1)

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01-17-2001



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OFFICE

**IN THE UNITED**  
Inventor(s): John M. Macaulay

Serial No.: 09/141,697

Group Art Unit:

Filed: 08/28/98

Examiner:

Title: GATED FILAMENT STRUCTURES FOR FIELD EMISSION DISPLAY

Patent No.:

Issued:

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
Sir:

**CHANGE OF NAME OF ASSIGNEE**

**1. Particulars of assignment**

An assignment has been recorded in the Patent and Trademark Office for this invention on 06/19/95.

Reel 7509

Frame 0519

(if available, the PTO finds it useful if a copy of the assignment is also sent)

☒ A copy of the recorded assignment is attached.

**2. Old Name and Address of Assignee**

The old name of the assignee shown on the above assignment is

Silicon Video Corporation

(type or print old name of Assignee)

10460 Bubb Road, Cupertino, CA 95014

(type or print old address of Assignee)

**3. New Name and Address of Assignee**

The new name and address of the assignee is

Candescent Technologies Corporation

(type or print new name of Assignee)

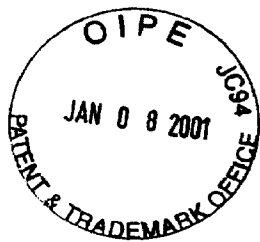
6580 Via del Oro, San Jose, CA 95119

(type or print new address of Assignee)

01/16/2001 MTHA11 00000212 09141697

01 FC:581

40.00 00



#### 4. Proof of Change of Name

Proof of change of name is established by the attached

☒ copy of the certificate of the Secretary of State of California,  
(type name of state)  
showing the name change.

☒ copy of the certificate of the name change (certificate of ownership and merger) from the following authority:

Harry A. Marshall  
CEO

Steve L. Berson  
Assistant Secretary

(type or print name of authority)

check, if applicable

☐ Because the certificate is not in the English language, it is accompanied by a verified signed by the translator.

#### 5. Change of patent maintenance fee address

(complete, if applicable)

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

#### 6. Payment of Fees

The full fee due in connection with this communication is \_  
provided as follows:

☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.

☒ A check in the amount of \$40.00

☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060


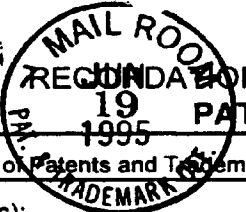

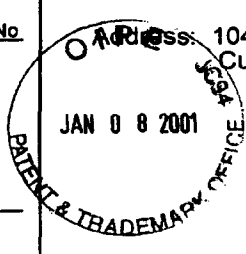
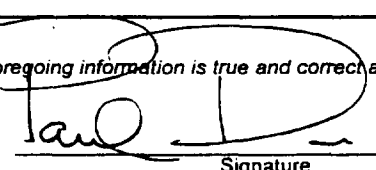
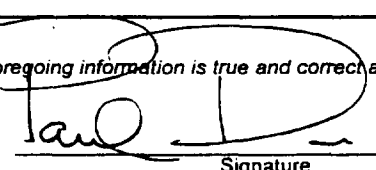
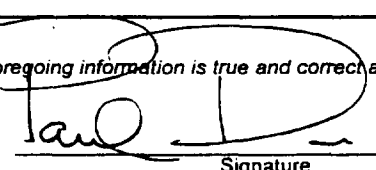
Respectfully submitted,

Date: 1/2/01

By: John P. Wagner, Jr.  
Reg. No. 35,398

40-581

RE 1

FORM PTO 95		07-13-1995		DEPARTMENT OF COMMERCE and Trademark Office				
								
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.								
<p>① Name of conveying party(ies): John M. Macaulay</p> <p>Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No</p>			<p>② Name and address of receiving party(ies): Name: <u>Silicon Video Corporation</u> Address: <u>10460 Bubb Road</u> <u>Cupertino, California 95014</u></p> <p></p> <p>Additional name(s) &amp; address(es) attached? [ ] Yes [X] No</p>					
<p>③ Nature of conveyance: [X] Assignment [ ] Merger [ ] Security Agreement [ ] Change of Name</p> <p>Other: _____</p> <p>Execution Date: <u>March 23, 1995</u></p>								
<p>④ Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is: _____</p> <table border="1"><tr><td>A. Patent Application No.(s) <u>08/383,410</u></td><td>B. Patent No.(s)  </td></tr></table> <p>Additional numbers attached? [ ] Yes [X] No</p>						A. Patent Application No.(s) <u>08/383,410</u>	B. Patent No.(s) 	
A. Patent Application No.(s) <u>08/383,410</u>	B. Patent No.(s) 							
<p>⑤ Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Paul Davis</u></p> <p>Address: <u>Haynes &amp; Davis</u> <u>2180 Sand Hill Road, Suite 310</u> <u>Menlo Park, California 94025-6935</u></p>			<p>⑥ Total number of applications and patents involved: <u>1(one)</u></p> <p>Total fee (37 CFR 3.41):\$ <u>40.00</u></p> <p>[X] Enclosed [ ] Authorized to be charged to deposit account, if necessary</p> <p>⑧ Deposit account number: <u>08-1405</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>					
DO NOT USE THIS SPACE								
<p>9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <table border="0"><tr><td><u>Paul Davis</u> Registration No. 29,294</td><td> Signature</td><td><u>6/15/95</u> Date</td></tr></table> <p>Total number of pages including cover sheet, attachments, and document: <u>3</u></p>						<u>Paul Davis</u> Registration No. 29,294	 Signature	<u>6/15/95</u> Date
<u>Paul Davis</u> Registration No. 29,294	 Signature	<u>6/15/95</u> Date						
Do not detach this portion								
<p>Mail documents to be recorded with required cover sheet information to:</p> <p style="text-align: center;">Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231</p> <p>Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.</p>								



ATTORNEY DOCKET NO.: 08/383,410

**SOLE TO CORPORATE ASSIGNMENT**

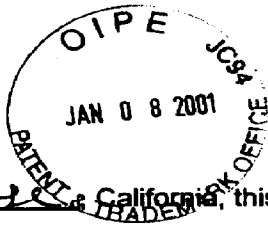
WHEREAS, the undersigned sole inventor, John M. Macaulay, (hereinafter "Inventor"), residing at 114 Greenmeadow Way, Palo Alto, California 94306 has invented the following:

**GATED FILAMENT STRUCTURES FOR A FIELD EMISSION DISPLAY**

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention on March 23<sup>rd</sup>, 1995.

WHEREAS, Silicon Video Corporation, (hereinafter termed "Assignee"), a corporation of the State of California, having a place of business at 10460 Bubb Road, Cupertino, California 95014 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, the Inventor does sell, assign, transfer and set over unto Assignee, their successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefore, not only for, to, and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to Silicon Video Corporation their successors and assigns, in accordance with this Assignment.



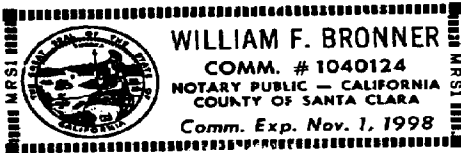
WITNESS MY HAND AT San Jose, California, this 23<sup>rd</sup> day of March, 19 95.

John M. Macaulay  
John M. Macaulay

State of CALIFORNIA  
County of SANTA CLARA

On 23 MARCH 1995, 1995, before me WILLIAM F. BRONNER, a Notary Public, State of California, personally appeared John M. Macaulay, who is known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which he acted, executed the instrument.

WITNESS my hand and official seal.



William F. Bronner  
Notary Signature



# State of California

SECRETARY OF STATE

A480016

## CORPORATION DIVISION

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the annexed transcript has been compared with the corporate record on file in this office, of which it purports to be a copy, and that same is full, true and correct.

*IN WITNESS WHEREOF*, I execute  
this certificate and affix the Great  
Seal of the State of California this

AUG 14 1996



*Bill Jones*

Secretary of State



Certificate of Amendment of  
Articles of Incorporation of

**SILICON VIDEO CORPORATION**

**ENDORSED - FILED**  
In the office of the Secretary of State  
of the State of California

**AUG 14 1996**

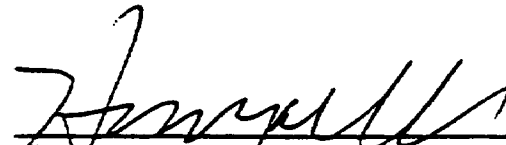
BILL JONES, Secretary of State

HARRY A. MARSHALL and STEVEN L. BERSON certify that:

1. They are the President and Assistant Secretary, respectively, of Silicon Video Corporation, a California corporation.
2. Article I of the Articles of Incorporation of this corporation is amended to read as follows:  
  
"The name of this corporation is Candescent Technologies Corporation"
3. The foregoing Amendment of Articles of Incorporation has been duly approved by the Board of Directors.
4. The foregoing Amendment of Articles of Incorporation has been duly approved by the required vote of shareholders in accordance with Section 902 of the Corporations Code. The total number of outstanding shares of the corporation is 36,348,097. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote was more than 50%.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: August 9, 1996

  
\_\_\_\_\_  
Harry A. Marshall, President

  
\_\_\_\_\_  
Steven L. Berson, Assistant Secretary