

01-22-2001



y Docket No. 014940-001211US

FORM PTO-1595		
173	U.S. Department of Commerce	
To the Honorable Asst. Commissioned Paterus. Frease record un	Patent and Trademark Office	
	2. Name and address of copy thereof	
1. Name of conveying party(les): / · /2 · O/	Name and address of receiving party(ies)	
Todd Beine, Paul Mitalas, Brian Pheiffer, Denis Zaccarin,	Name: ONI Systems Corp.	
Michael E. Durling, Hon Wah Chin	Internal Address: 166 Revisions Destruction	
Additional name (a) of assurantes at the total of the same	Internal Address: 166 Baypointe Parkway	
Additional name(s) of conveying parties attached? ☐ Yes ☒ No.	Street Address:	
Nature of conveyance:	City: San Jose State: CA ZIP: 95134	
o. Hataro or convoyance.	City. Sall Jose State: CA ZIP: 95134	
— , congriment — Merger	Additional names and addresses attached? ☐Yes ☒ No	
☐ Security Agreement ☐ Change of Name	/ Neutronial figures and addresses attached? Lifes M 140	
— Grange of Name		
☐ Other:		
— Outor.		
Execution Date: January 2-5, 2001		
Excouncil Date. Calidaly 2-0, 2001		
Application Number(s) or Patent Numbers.		
If this document is being filed together with a new application, the ex	vecution date of the application is:	
and application, the co	modulon date of the application is.	
A. Patent Application No(s): 09/653,628	B. Patent No(s):	
	Tatom Holof.	
Additional numbers attached? [TYes ⊠ No	
Auditional numbers attached: L1 165 L21 NO		
5. Name and address of party to whom correspondence	Total number of applications and patents involved: _ 1_	
concerning document should be mailed:	o. Total number of applications and patents involved	
Name:	7. Total fee (37 CFR 3.41):\$40.00	
Daniel D. Tagliaferri		
TOWNSEND AND TOWNSEND AND CREW LLP	☐ Enclosed ☑ Charge Fees to Deposit Account	
Two Embarcadero Center, 8th Floor	Eliototo Eli	
San Francisco, California 94111-3884	☑ Charge any additional fees associated with this paper or	
(415) 576-0200	during the pendency of this application, or credit any	
	overpayment, to deposit account.	
, 100 Jan 200 Mai 200 E00 100 000 000 000 000 000 000 000 0	8. Deposit account number: 20-1430	
1	o. Deposit account number. 20 1100	
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9. Statement and signature.		
	up and correct and any attached copy is a true of copy of the	
To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the		
original document		
January 8, 2001_		
Daniel D. Tagliaterri Signature // Date		
Name of Person Signing	<i>U U</i>	
Atty Reg. No. 43,178		
Total number of pages including cover sheet, attachments and document: _5_		
Total number of pages including cover sheet,		
10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No		
Do not detach this portion		
Mail documents to be recorded with required cover to: Asst. Commissioner for Patents		
Box: Assignments Washington, D.C. 20231		
Machinator	1 1) C. 2023 1	

SF 1175769 v1

Attorney Docket No.: 14940-001211US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Todd Beine, of 5126 Selinda Way, Los Gatos, CA 95032, Paul Mitalas, of 1041 Iris Ave, Sunnyvale, CA 94086, Brian Pheiffer, of 171 Kerry Drive, Santa Clara, CA 95050, Denis Zaccarin, of 781 Durshire Way, Sunnyvale, CA 94087, Michael E. Durling, of 311 DeSoto Drive, Los Gatos, CA 95032, Hon Wah Chin, of 3281 Greer Road, Palo Alto, CA 94303, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

OPTICAL POWER MANAGEMENT IN AN OPTICAL

NETWORK

Date(s) of execution of Declaration: January 2 - 5 ,2001

Filing Date:

September 1, 2000

Application No.:

09/653,628

WHEREAS, ONI Systems Corp., a corporation of the state of California, located at 166 Baypointe Parkway, San Jose, CA, 95134, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same:

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Attorney Docket No.: 14940-001211US Page 2
STATE OF CALIFORNIA COUNTY OF Santa Clara On January 3 201, before me, Brenda (ce Broon, personally appeared to be the person whose name is subscribed to the within instrument, and acknowledged to me that he she executed the same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS me hand and official seel. BRENDA LEE BREON Z COMM. # 1274384 SANTA CLARA COUNTY O COMM. EXP. SEPT. 15, 2004 My Commission Expires: Sept. 15, 2004
Dated:
STATE OF CALIFORNIA SS. COUNTY OF Santa Clara On Lower 4 2001, before me, Brends Breen, personally appeared paul Mitals, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal BRENDA LEE BREON Z COMM. # 1274384 O SANTA CLARA COUNTY O SANTA CLARA COUNTY O COMM. EXP. SEPT. 15, 2004

My Commission Expires: Sept. 15, 2004

Assignment

PATENT REEL: 011448 FRAME: 0605

Assignment Attorney Docket No.: 14940-001211US Page 3
Dated: 1/3/01, Brian Pheiffer
STATE OF CALIFORNIA COUNTY OF Santa Class On January 3 2011, before me, Branda Lee Bruss, personally appeared person, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he she executed the same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal. BRENDA LEE BREON COMM. # 1274364 SE NOTARY PUBLIC CALIFORNIA DO SANTA CLARA COUNTY OCOMM. EXP. SEPT. 15, 2004 My Commission Expires: Sept. 15, 2004
Dated: String 3, 2009 Denis Zaccarin
STATE OF CALIFORNIA COUNTY OF Sands Clara On Lorus 2 2001, before me Brends in Bress, Notice personally appeared to be the person whose name is subscribed to the within instrument, and acknowledged to me that he same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal. BRENDA LEE BREON Z COMM. # 1274364 OF SANTA CLARA COUNTY COMM. EXP. SEPT. 15, 2004

My Commission Expires: Lept. 15, 2004

NOTARY PUBLIC

COMM. EXP. SEPT. 15, 2004

My Commission Expires: Sept. 15, 2004

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Assignment Attorney Docket No.: 14940-001211US Page 4
Dated: January 5, 01 Michael E. Durling
STATE OF CALIFORNIA COUNTY OF Sinta Clara On January 5 2661, before me, Brenda Lee Breen, Wetany, personally appeared Michael E. Durling, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he take executed
the same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal.
BRENDA LEE BREON Z COMM. # 1274364 NOTARY PUBLIC-CALIFORNIA O SANTA CLARA COUNTY COMM. EXP. SEPT. 16, 2004 T My Commission Expires:
Dated: Jan 2, 2001 Hon Wah Chin
STATE OF CALIFORNIA COUNTY OF Santa Clara On January 2, 2001, before me, Branda Lee Bran, Notary Public Hon Wah Chin, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal. BRENDA LEE BREON Z COMM. # 1274364 SANTA CLARA COUNTY CO COMM. EXP. SEPT. 15, 2004
My Commission Expires: Sept. 15, 2004 SF 1131753 vl

PATENT
RECORDED: 01/12/2001 REEL: 011448 FRAME: 0607