

01-26-2001

No.: YOR9 2000 0642

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Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy the

1. Name of conveying party(ies):

Nestor A. Bojarczuk, Jr.  
Eduard Cartier  
Supratik Guha  
Lars-Ake Ragnarsson

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Armonk

State/Prov.: NY

Country: USA

ZIP: 10504

Execution Date: December 14, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

9/755164

If this document is being filed together with a new application, the execution date of the application is: December 14, 2000

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn

Registration No. 34,386

Address: McGinn & Gibb, PLLC

8321 Old Courthouse Rd.

Suite 200

City: Vienna State/Prov.: VA

Country: USA ZIP: 22182-3817

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn

Name of Person Signing

Signature

January 8, 2001

Date

Total number of pages including cover sheet, attachments, and document: 1

PATENT  
REEL: 011450 FRAME: 0317

## ASSIGNMENT

Whereas, we

Inventor and City	(1) Nestor A. Bojarczuk, Jr. County of Dutchess	City of Poughkeepsie and State of New York
	(2) Eduard Cartier County of New York	City of New York and State of New York
	(3) Supratik Guha County of Westchester	City of Chappaqua and State of New York
	(4) Lars-Ake Ragnarsson County of Westchester	City of Katonah and State of New York

have invented certain improvements in

Title      **ALUMINUM NITRIDE AND ALUMINUM OXIDE/ALUMINUM NITRIDE  
HETEROSTRUCTURE GATE DIELECTRIC STACK BASED FIELD EFFECT  
TRANSISTORS AND METHOD FOR FORMING SAME**

Dates That  
Inventors  
Signed the  
Declaration      and have executed, respectively, a United States patent application therefor on  
(1) Dec 15, 2000, (2) Dec 14, 2000, (3) Dec 14, 2000, and (4) Dec 14, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at

Date on 12/15/00

Nestor A. Bojarczuk, Jr. signature  
( Nestor A. Bojarczuk, Jr. ) inventor  
First Name Middle Initial Last Name

City (2) Signed at

Date on 12/14/00

Eduard Cartier signature  
( Eduard Cartier ) inventor  
First Name Middle Initial Last Name

City (3) Signed at

Date on 12/14/00

Supratik Guha signature  
( Supratik Guha ) inventor  
First Name Middle Initial Last Name

City (4) Signed at

Date on 12/14/00

Lars-Ake Ragnarsson signature  
( Lars-Ake Ragnarsson ) inventor  
First Name Middle Initial Last Name