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and original documents or copy thereof.

1. Name of conveying party(ies):

Kip T. Bautista  
David J. Haan  
David H. Mordaunt

1-16-01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 10/25/2000, 12/6/2000 & 12/5/2000

2. Name and address of receiving party(ies):

Name: COHERENT, INC.

Internal Address: 5100 Patrick Henry Drive

Street Address: 5100 Patrick Henry Drive

City, State and Zip: Santa Clara, California 95054

Additional Name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/669,703

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael A. Stallman

Internal Address: Stallman & Pollock LLP  
Street Address: 2001 Ferry Building  
City, State, ZIP: San Francisco, California 94111  
Telephone: (415) 433-4150  
Facsimile: (415) 433-8716

Attorney Docket No. COHM-4090

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

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Michael A. Stallman, Reg. No. 29,444  
Name of Person Signing

Signature

January 2, 2001  
Date

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01/25/2001 AAHME1 00000154 09669703  
01 FC:581 40.00 DP

## ASSIGNMENT BY INVENTORS

**THIS ASSIGNMENT**, made this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ by Kip T. Bautista; David J. Haan; and David H. Mordaunt (hereinafter referred to as Assignors), residing at 3624 Ross Avenue, San Jose, California 95124; 673 Alberta Avenue, Sunnyvale, California 94087; and 32116 Summit Road, Los Gatos, California 95033, respectively;

**WHEREAS**, Assignors have invented certain new and useful improvements in **FIBER MANAGEMENT PACKAGE**, set forth in a Patent application for Letters Patent of the United States, already filed on September 26, 2000 as U.S. application Serial No. 09/669,703 filed herewith; and

**WHEREAS**, COHERENT INC., a corporation organized under and pursuant to the laws of Delaware having its principal place of business at 5100 Patrick Henry Drive, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon.

**NOW, THEREFORE**, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which

Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

**AND** for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

**AND** for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

**AND** Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to

comply with the rules of the United States Patent and Trademark Office for recordation of this document:

LIMBACH & LIMBACH L.L.P.

Karl A. Limbach	18,689	Charles P. Sammut	28,901	Charles L. Hamilton	42,624
George C. Limbach	19,305	Mark C. Pickering	36,239	Andrew V. Smith	43,132
John K. Uilkema	20,282	Patricia Coleman James	37,155	Eric N. Hoover	37,355
Neil A. Smith	25,441	Kathleen A. Frost	37,326	Frank J. Mycroft	P-46,946
Veronica Colby Devitt	29,375	Alan A. Limbach	39,749	Parisa Jorjani	P-46,813
Ronald L. Yin	27,607	Douglas C. Limbach	35,249	Robert M. McConnell	46,912
Gerald T. Sekimura	30,103	Seong-Kun Oh*		J. Thomas McCarthy	22,420
Michael A. Stallman	29,444	Cameron A. King	41,897	Joel G. Ackerman	24,307
Philip A. Girard	28,848	Kyla L. Harriel	41,816	Roger S. Sampson	44,314
Michael J. Pollock	29,098	Mayumi Maeda	40,075	Susan M. Schmitt	34,427
Stephen M. Everett	30,050	Michael R. Ward	38,651	Edward B. Weller	37,468
Alfred A. Equitz	30,922	* recognition under 37 CFR 10.9(b)			

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Date: 10.25.2000

*Kip T. Bautista*  
Kip T. Bautista

State of )

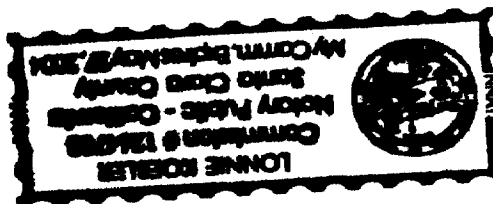
) ss.

County of )

On January 2, 2001, before me, *Bonnie Koehler*, personally appeared *Kip T. Bautista* ☐ Personally known to me ☒ proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

*Bonnie Koehler*



Date: November 6, 2000 <sup>DH</sup>  
~~OCTOBER 12, 2000~~ <sup>sk</sup>

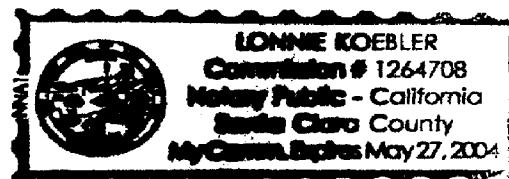
David J. Haan  
David J. Haan

State of California )  
County of Santa Clara ) ss.

On November 6, 2000, before me, Lonnie Koebler <sup>Notary Public</sup>, personally appeared David J. Haan ☐ Personally known to me ☒ proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand an official seal.

Lonnie Koebler, Notary Public



Date: 12/5/00  
~~10/12/2000~~

David H. Mordaunt  
David H. Mordaunt

State of California )  
County of Santa Clara ) ss.

On Dec. 5, 2000, before me, Lonnie Koebler, personally appeared David Mordaunt ☐ Personally known to me ☒ proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand an official seal.

Lonnie Koebler

