

01-29-2001

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027



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# RECORDATION FORM COVER SHEET PATENTS ONLY

1-18-01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
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Reel #  Frame #

## Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

## Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Name (line 1)  Christopher J. Stakutis
- Name (line 2)
- Execution Date  
Month Day Year  
01112001

## Second Party

- Name (line 1)  Kevin M. Stearns
- Name (line 2)
- Execution Date  
Month Day Year  
01112001

09687668

## Receiving Party

- ☐ Mark if additional names of receiving parties attached

- Name (line 1)  International Business Machines Corporation
- Name (line 2)
- Address (line 1)  New Orchard Road
- Address (line 2)
- Address (line 3)  Armonk  New York  10504
- City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT  
REEL: 011452 FRAME: 0220



**Correspondent Name and Address**

Area Code and Telephone Number

617-439-2717

Name David J. Powsner

Address (line 1) Nutter, McClennen & Fish,

Address (line 2) One International Place

Address (line 3) Boston, MA 02110-2699

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09687668

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 141449

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David J. Powsner

Name of Person Signing

Signature

Date

1/12/01

**ASSIGNMENT**

WHEREAS, we, **Christopher J. Stakutis, of 85 Partridge Lane, Concord, Massachusetts, 01742** and **Kevin M. Stearns, of 272 Albion Street, Apartment 17, Wakefield, Massachusetts, 01880**, having invented certain new and useful improvements in **LOW OVERHEAD METHODS AND APPARATUS FOR SHARED ACCESS STORAGE DEVICE**, for which we have made application for United States Letters Patent, said application filed October 13, 2000 and accorded U.S. Serial No. 09/687,668; and

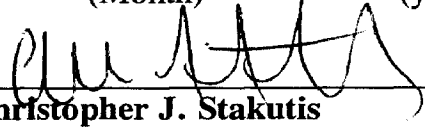
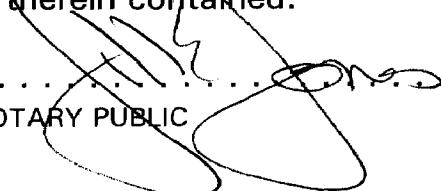
WHEREAS, **International Business Machines Corporation**, a New York corporation, having a place of business at New Orchard Road, Armonk, New York, 10504, and its successors and assigns (collectively hereinafter called "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said applications and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, we do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said applications and all patents which may granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and

any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, I hereto set my hand and seal at	
<u>WESTFORD MA</u> (City, State)	this <u>11<sup>th</sup></u> day of <u>JANUARY</u> , <u>19 2001</u> (Day) (Month) (year)
	x <u></u> Christopher J. Stakutis
STATE OF <u>MASSACHUSETTS</u>	SS.
COUNTY OF <u>MIDDLESEX</u>	
Before me this <u>11<sup>th</sup></u> day of <u>JANUARY</u> , <u>19 2001</u> , personally appeared Christopher J. Stakutis known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.	
	<u></u> ..... NOTARY PUBLIC
[Notary's Seal Here]	My Commission Expires August 23, 2002
My Commission Expires:	

IN WITNESS WHEREOF, I hereto set my hand and seal at

WEEKERO MA this 11<sup>th</sup> day of JANUARY, 192001  
(City, State) (Day) (Month) (year)

x

Kevin M. Stearns

STATE OF MASSACHUSETTS

SS.

COUNTY OF MIDDLESEX

Before me this 11<sup>th</sup> day of JANUARY, 192001, personally appeared Kevin M. Stearns known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

.....  
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

My Commission Expires August 23, 2002

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