

22908

PATENT TRADEMARK OFFICE

01-30-2001



101598885

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New **1-11-01**
☐ Resubmission (Non-Recordation)
☐ Document ID #
☐ Correction of PTO Error
☐ Reel # Frame #
☐ Corrective Document
☐ Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date
Month Day Year
08 21 2000

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year
08 21 2000

Name (line 2)

Receiving Party

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Enter for the first Receiving Party only.

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

(312) 715-1000

Name Binal J. Patel

Address (line 1) Banner & Witcoff, Ltd.

Address (line 2) Ten South Wacker Drive

Address (line 3) Suite 3000

Address (line 4) Chicago, Illinois 60606

Pages

Enter the total number of pages of the attached conveyance document including any attachments

9

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/565,274

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number as not been assigned.

PCT

PCT

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PCT

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PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

19-0733

Authorization to charge additional fees:

Yes ☒

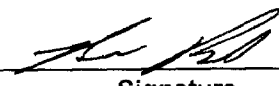
No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Binal J. Patel

Name of Person Signing


Signature

1-11-01

Date

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

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Name (line 2)

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Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, we, Trudy K. Anthony-Hoppe, Lou Harvey, Denis Lavoie and John D. Skovron (hereinafter referred to as ASSIGNORS), having post office addresses of 12020 199th Ct. NE, Woodinville, WA 98072, 465 King Street, Cohasset, MA 02025, 2233 Sunset Avenue SW, Seattle, WA 98116, and 2606 131st Place NE, Bellevue, WA 98005, respectively, are the joint inventors of an invention entitled "ADVISOR REFERRAL TOOL," as described and claimed in the specification forming part of an application for United States letters patent as filed on May 4, 2000, and assigned Serial No. 09/565,274;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

WITNESS my hand and seal this 21 day of August, 2000.

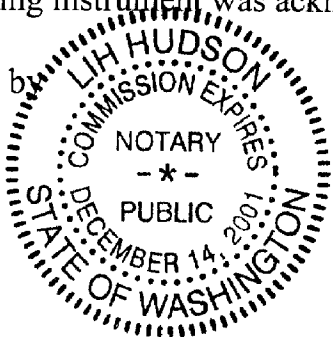
Trudy K. Anthony-Hoppe
Trudy K. Anthony-Hoppe

State of Washington

County of King

The foregoing instrument was acknowledged before me this 21 day of

August, 2000 by



Lih Hudson
NOTARY PUBLIC

WITNESS my hand and seal this 21st day of August, 2000.

Louis S. Harvey
Louis S. Harvey

State of _____

County of _____

The foregoing instrument was acknowledged before me this _____ day of

_____, 2000 by

NOTARY PUBLIC

WITNESS my hand and seal this ____ day of _____, 2000.

Denis Lavoie

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2000 by

NOTARY PUBLIC

WITNESS my hand and seal this 21 day of August, 2000.

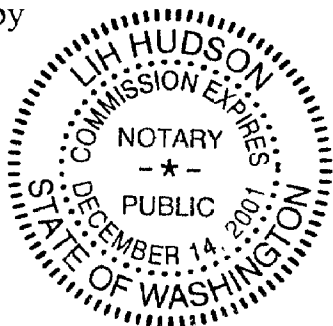
John D. Skovron

State of Washington

County of King

The foregoing instrument was acknowledged before me this 21 day of August, 2000 by

NOTARY PUBLIC



ASSIGNMENT

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NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

WITNESS my hand and seal this ____ day of _____, 2000.

Trudy K. Anthony-Hoppe

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2000 by

NOTARY PUBLIC

WITNESS my hand and seal this ____ day of _____, 2000.

Louis S. Harvey

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2000 by

NOTARY PUBLIC

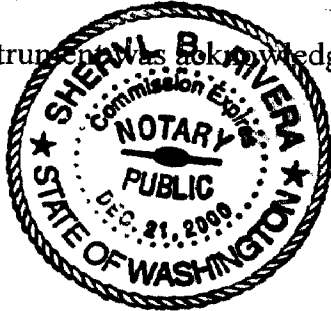
WITNESS my hand and seal this 7th day of September, 2000.

Denis Lavoie
Denis Lavoie

State of WA

County of King

The foregoing instrument was acknowledged before me this 7th day of Sept, 2000 by



[Signature]
NOTARY PUBLIC

WITNESS my hand and seal this ____ day of _____, 2000.

John D. Skovron

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2000 by

NOTARY PUBLIC