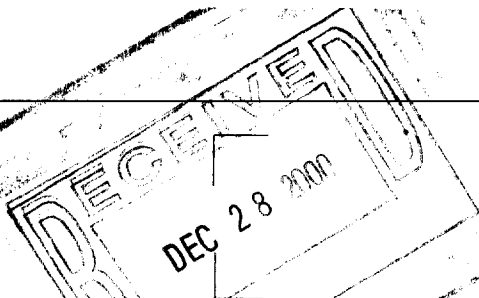


FORM PTO-1019A  
Expires 06/30/99  
OMB 0651-0027



01-30-2001



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Name (line 1)  Biotechnology and Biological Sciences Research Council  11102000

Name (line 2)

**Second Party**

Execution Date  
Month Day Year

Name (line 1)  Roslin Institute (Edinburgh)  11132000

Name (line 2)

09538867

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1)  Geron Corporation

Name (line 2)  a Delaware Corporation

Address (line 1)  230 Constitution Drive

Address (line 2)

Address (line 3)  Menlo Park  CA, US  94025  
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name  David J. Earp

Address (line 1)  Geron Corporation

Address (line 2)  230 Constitution Drive

Address (line 3)  Menlo Park, CA 94025

Address (line 4)

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**PATENT**  
**REEL: 011460 FRAME: 0707**

PATENT

## Correspondent Name and Address

Area Code and Telephone Number

(650) 473-7721

Name

David J. Earp

Address (line 1)

Geron Corporation

Address (line 2)

230 Constitution Drive

Address (line 3)

Menlo Park, CA 94025

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document  
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## Application Number(s) or Patent Number(s)

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## Patent Application Number(s)

## Patent Number(s)

09538867

If this document is being filed together with a new Patent Application, enter the date the patent application was  
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Month Day Year

## Patent Cooperation Treaty (PCT)

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indicated herein.

David J. Earp

Name of Person Signing

Signature

Date

DEC. 28, 2000

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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) King, Timothy James

Execution Date  
Month Day Year

10302000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Geron Bio-Med Limited

12152000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

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Enter additional Receiving Party(ies)

Name (line 1)

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Address (line 1)

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Address (line 3)

City

State/Country

Zip Code

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Address (line 1)

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Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)



## ASSIGNATION

# COPY

This is an assignment by Biotechnology and Biological Sciences Research Council, whose principal office is at Polaris House, North Star Avenue, Swindon SN2 1UH, England ("BBSRC"); the Roslin Institute (Edinburgh) of Roslin Biotechnology Centre, Roslin, Midlothian EH25 9PS, Scotland ("the Institute"); Geron Bio-Med Limited, a company incorporated in Scotland (Registered No. 179263) and having its Registered Office at Roslin Biotechnology Centre, Roslin, Midlothian EH25 9PS, Scotland ("Bio-Med"); and Timothy James King of Midlothian, Scotland ("the Inventor"), in favor of Geron Corporation, a Delaware corporation having a principal place of business at 230 Constitution Drive, Menlo Park, California 94025, USA ("Geron"). Collectively, the BBSRC, the Roslin Institute, Bio-Med and the Inventor are hereby referred to as the ASSIGNORS.

### WHEREAS:

- (A) The Inventor has created certain new and useful improvements directed towards a strategy for maintaining pregnancy, such inventions being described in the following patent applications:

<i>Patent Application No.</i>	<i>Title</i>	<i>Filing Date</i>
U.S. Patent Application No. 09/538,867	A Strategy for Maintaining Pregnancy	29 March 2000
UK Patent Application No. 0007297.5		24 March 2000

- (B) Said inventions were created by the Inventor in his capacity as an employee of the BBSRC based at the Roslin Institute and in circumstances such that the Inventor's rights to the Inventions belong ab initio to the BBSRC by virtue of the provisions of the UK Patents Act 1977.
- (C) The inventions were created in the course of (i) a research project funded by Geron Bio-Med Limited pursuant to a Research Agreement entered into between the Institute and Geron Bio-Med Limited dated 7<sup>th</sup> April 1998 and (ii) a research project funded by Geron pursuant to a Research and License Agreement entered into between the Institute, Geron and Geron Bio-Med Limited dated 30<sup>th</sup> April and 3<sup>rd</sup> May 1999 ("the Research Agreements"). Pursuant to the Research Agreements, and as agreed between Geron and Geron Bio-Med, all rights in and to the inventions and the patent applications belong to Geron.

### NOW THEREFORE IT IS AGREED AS FOLLOWS:

#### 1. DEFINITIONS

In this Assignment (including the Recitals) unless the context otherwise requires:

**Invention** means the invention relating to a strategy for maintaining pregnancy which is the subject of the Patent Applications;

**Intellectual Property Rights** means all present and future and other relevant intellectual property rights relating to the Invention, and all applications and rights to apply for registration of such rights anywhere in the world and the right to sue for any and all past infringements of such rights;

**Patent Applications** means the United States Patent Application Serial No. 09/538,867 and United Kingdom Patent Application Serial No. 0007297.5.

2. **ASSIGNATION**

The BBSRC, the Roslin Institute, Bio-Med and the Inventor by their execution of this Assignment, hereby assign to Geron Corporation (the Assignee), all property, right, title, and interest in and to the Invention, the Patent Applications and the Intellectual Property Rights, including all statutory and common law rights.


3. **UNDERTAKING**


Each of the Assignors hereby irrevocably undertake at the request and cost of the Assignee to do and execute all such further documents, forms and authorisations as may be required to vest its full property, right, title and interest in and to the Inventions, the Patent Applications and any other Intellectual Property Rights in the Assignee absolutely, including but not limited to registration of the Assignee's title as proprietor at relevant patent offices and trade mark registries anywhere in the world and, for this purpose, each of the Assignors hereby irrevocably appoints the Assignee to act as their attorney with the power to do and execute any such documents, forms and authorizations on their behalf.

4. **GOVERNING LAW**

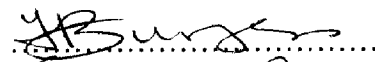
This Assignment shall be governed by and construed in accordance with the laws of Scotland and the parties hereby prorogate the exclusive jurisdiction of the Scottish Courts: **IN WITNESS WHEREOF** these presents on this and the preceding page are executed as follows:

SUBSCRIBED for and on behalf of  
BIOTECHNOLOGY AND BIOLOGICAL  
SCIENCES RESEARCH COUNCIL by **SCOTT**  
**M. LAWRIE**, Authorised Signatory, at **SWINDON**  
on 10/11/2000 before the following Witness:

..... Witness  
**MARY M. D. H.** Full Name  
**8 CLYDE GARDENS** Address  
**WINDYBUSH, SWINDON**

  
.....  
For and on behalf of Biotechnology and  
Biological Sciences Research Council

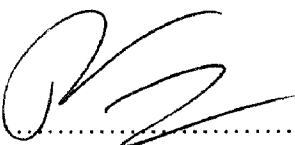
SUBSCRIBED for and on behalf of ROSLIN  
INSTITUTE (EDINBURGH) by  
**JOHN WITHMANS**, Authorised Signatory, at  
on 10/11/2000 before the following Witness:

..... Witness  
**FRANCES BURGESS** Full Name  
**8 SKELTIE WIR AVENUE** Address  
**BONNYRICK, EH19 3PX**

  
.....  
For and on behalf of Roslin Institute (Edinburgh)

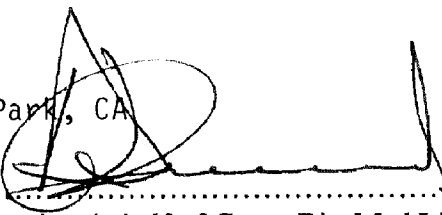
SIGNED by Timothy James King at Roslin  
on 3 Oct 2000 before the following Witness:

Olivia Murphy..... Witness  
VIVIAN S. MURPHY..... Full Name  
ROSLIN INST..... Address  
ROSLIN MIDLOTHIAN.....

  
.....  
Timothy James King

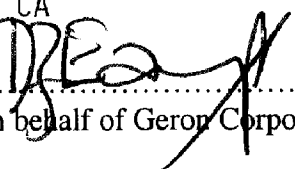
SUBSCRIBED for and on behalf of GERON  
BIO-MED LIMITED by David L. Greenwood  
....., Authorised Signatory, at Menlo Park, CA  
on 15 Dec 2000 before the following Witness:

Makausky..... Witness  
Karen Makausky..... Full Name  
230 Constitution Drive..... Address  
Menlo Park, CA 94025.....

  
.....  
For and on behalf of Geron Bio-Med Limited

SUBSCRIBED for and on behalf of GERON  
CORPORATION by David J. Earp  
....., Authorised Signatory, at Menlo Park, CA  
on 15 Dec 2000 before the following Witness:

Makausky..... Witness  
Karen Makausky..... Full Name  
230 Constitution Drive..... Address  
Menlo Park, CA 94025.....

  
.....  
For and on behalf of Geron Corporation