

RECORDATION FORM COVER  
PATENTS ONLY

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P-1001

COMMERCE  
Mark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> Prince Corporation, Prince Technology Corporation, Johnson Controls Interiors Technology Corporation		<b>2. Name and address of receiving party(ies)</b> Name: Johnson Controls Technology Company Internal Address:  Street Address: 650 Waverly	
Additional name(s) of conveying party(ies) attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		City: Holland State: MI ZIP: 49423 Additional name(s) of receiving party(ies) attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
<b>3. Nature of conveyance:</b> <input type="checkbox"/> Assignment <input checked="" type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> 2 Change of Names Other _____ Execution Dates: 10/01/98, 11/08/99, 12/31/00			
<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is A. Patent Application No. 09/058,645 B. Patent No.(s) Additional numbers attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Name: <u>Gunther J. Evanina</u> Address: <u>Price, Heneveld, Cooper,</u> <u>DeWitt &amp; Litton</u>  Street Address: <u>Post Office Box 2567</u>  City: <u>Grand Rapids</u> State: <u>MI</u> ZIP: <u>49501</u>		<div style="text-align: right; border: 1px solid black; width: 30px; margin: 0 auto;">1</div> <b>6. Total number of applications and patents involved:</b>  <b>7. Total fee (37 C.F.R. § 3.41).....\$ 40.00</b> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account  <b>8. Deposit Account number:</b> <u>16-2463</u> (Attach duplicate copy of this page if paying by deposit account)	
<div style="display: flex; justify-content: space-between;"><div>01/30/2001 DBYRNE 00000173 09058645</div><div>DO NOT USE THIS SPACE</div></div> <div style="display: flex; justify-content: space-between;"><div>01 FC:581 40.00 DP</div><div></div></div> <div><b>9. Statement and signature.</b> <b><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></b>  <div style="display: flex; justify-content: space-between;"><div><u>Gunther J. Evanina</u> Name of Person Signing</div><div><u></u> Signature</div><div><u>January 18, 2001</u> Date</div></div></div> <div style="text-align: right; border: 1px solid black; width: 30px; margin: 0 auto;">2</div> <div>Total number of pages including cover sheet, attachments, and document:</div>			

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

<b>615</b> <b>MICHIGAN DEPARTMENT OF CONSUMER AND INDUSTRY SERVICES</b> <b>CORPORATION, SECURITIES AND LAND DEVELOPMENT BUREAU</b>											
Date Received <b>NOV 25 1998</b>		(FOR BUREAU USE ONLY)									
ADJUSTED PURSUANT TO TELEPHONE AUTHORIZATION											
<table border="1"> <tr> <td colspan="3">Name Nanette Salawage</td> </tr> <tr> <td colspan="3">Address 49200 Halyard Drive</td> </tr> <tr> <td>City Plymouth</td> <td>State Michigan</td> <td>Zip Code 48170</td> </tr> </table>			Name Nanette Salawage			Address 49200 Halyard Drive			City Plymouth	State Michigan	Zip Code 48170
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<b>FILED</b> <b>DEC 01 1998</b> Administrator MI DEPARTMENT OF CONSUMER & INDUSTRY SERVICES CORPORATION, SECURITIES & LAND DEVELOPMENT BUREAU 11/25/1998 BMS/TELL Trans 01017644 028963 051191 Total \$10.00 Corps Org & Filing & LLC art											
EFFECTIVE DATE:											

Document will be returned to the name and address you enter above.

## CERTIFICATE OF AMENDMENT TO THE ARTICLES OF INCORPORATION

For use by Domestic Profit and Nonprofit Corporations

(Please read information and instructions on the last page)

Pursuant to the provisions of Act 284, Public Acts of 1972 (profit corporations), or Act 162, Public Acts of 1982 (nonprofit corporations), the undersigned corporation executes the following Certificate:

1. The present name of the corporation is: Prince Corporation

2. The identification number assigned by the Bureau is:

076-963

3. The location of the registered office is:

One Prince Center, Holland

(Street Address)

(City)

Michigan

49423

(ZIP Code)

4. Articles I and IV of the Articles of Incorporation is hereby amended to read as follows:

The name of the corporation is: Prince Technology Corporation

The address of the registered office is: 49200 Halyard Drive  
Plymouth, Michigan 48170

The name of the resident agent at the registered office is:  
David Di Rita

5. (For amendments adopted by unanimous consent of incorporators before the first meeting of the board of directors or trustees.)

The foregoing amendment to the Articles of Incorporation was duly adopted on the \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, in accordance with the provisions of the Act by the unanimous consent of the incorporator(s) before the first meeting of the Board of Directors or Trustees.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

(Signature)

(Signature)

(Type or Print Name)

(Type or Print Name)

(Signature)

(Signature)

(Type or Print Name)

(Type or Print Name)

6. (For profit corporations, and for nonprofit corporations whose articles state the corporation is organized on a stock or on a membership basis.)

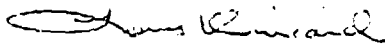
The foregoing amendment to the Articles of Incorporation was duly adopted on the 1st day of

October, 19 98 by the shareholders if a profit corporation, or by the shareholders or members if a nonprofit corporation (check one of the following)

- ☒ at a meeting. The necessary votes were cast in favor of the amendment.
- ☐ by written consent of the shareholders or members having not less than the minimum number of votes required by statute in accordance with Section 407(1) and (2) of the Act if a nonprofit corporation, or Section 407(1) of the Act if a profit corporation. Written notice to shareholders or members who have not consented in writing has been given. (Note: Written consent by less than all of the shareholders or members is permitted only if such provision appears in the Articles of Incorporation.)
- ☐ by written consent of all the shareholders or members entitled to vote in accordance with section 407(3) of the Act if a nonprofit corporation, or Section 407(2) of the Act if a profit corporation.

Signed this 1st day of October, 19 98

By



(Signature of President, Vice-President, Chairperson or Vice-Chairperson)

Louis Kincaid - Vice President

(Type or Print Name)

(Type or Print Title)

CALS.515 (Rev. 10/99)

MICHIGAN DEPARTMENT OF CONSUMER & INDUSTRY SERVICES CORPORATION, SECURITIES AND LAND DEVELOPMENT BUREAU		
Date Received	(FOR BUREAU USE ONLY)	
This document is effective on the date filed, unless a subsequent effective date within 90 days after received date is stated in the document.		
Name Nanette Salawage		
Address 49200 Halyard Drive		
City Plymouth	State MI	Zip Code 48170
EFFECTIVE DATE:		

FILED

DEC 16 1999

Administrator

CORP. SECURITIES &amp; LAND DEV. BUREAU

12/18/1999 KNOTSON  
Trans. 0173176

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Total \$10,00

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**CERTIFICATE OF AMENDMENT TO THE ARTICLES OF INCORPORATION****For use by Domestic Profit and Nonprofit Corporations**

(Please read information and instructions on the last page)

Pursuant to the provisions of Act 284, Public Acts of 1972 (profit corporations), or Act 162, Public Acts of 1982 (nonprofit corporations), the undersigned corporation executes the following Certificate:

1. The present name of the corporation is: Prince Technology Corporation

2. The identification number assigned by the Bureau is:

026-96 3

3. Article 1 of the Articles of Incorporation is hereby amended to read as follows:

The name of the corporation is: Johnson Controls Interiors Technology Corp.

**COMPLETE ONLY ONE OF THE FOLLOWING:****4. (For amendments adopted by unanimous consent of incorporators before the first meeting of the board of directors or trustees.)**

The foregoing amendment to the Articles of Incorporation was duly adopted on the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, in accordance with the provisions of the Act by the unanimous consent of the incorporator(s) before the first meeting of the Board of Directors or Trustees.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Type or Print Name)

\_\_\_\_\_  
(Type or Print Name)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Type or Print Name)

\_\_\_\_\_  
(Type or Print Name)

**5. (For profit and nonprofit corporations whose Articles state the corporation is organized on a stock or on a membership basis.)**

The foregoing amendment to the Articles of Incorporation was duly adopted on the 8th day of

November, 1999 by the shareholders if a profit corporation, or by the shareholders or members if a nonprofit corporation (check one of the following)

☒ at a meeting the necessary votes were cast in favor of the amendment.

☐ by written consent of the shareholders or members having not less than the minimum number of votes required by statute in accordance with Section 407(1) and (2) of the Act if a nonprofit corporation, or Section 407(1) of the Act if a profit corporation. Written notice to shareholders or members who have not consented in writing has been given. (Note: Written consent by less than all of the shareholders or members is permitted only if such provision appears in the Articles of Incorporation.)

☐ by written consent of all the shareholders or members entitled to vote in accordance with section 407(3) of the Act if a nonprofit corporation, or Section 407(2) of the Act if a profit corporation.

☐ by the board of a profit corporation pursuant to section 611(2).

**Profit Corporations**

Signed this 8th day of November, 1999

By Stacy L. Fox  
(Signature of an authorized officer or agent)

Stacy L. Fox, Secretary  
(Type or Print Name)

**Nonprofit Corporations**

Signed this \_\_\_\_\_ day of \_\_\_\_\_

By \_\_\_\_\_  
(Signature of President, Vice-President, Chairperson or Vice-Chairperson)

\_\_\_\_\_  
(Type or Print Name)

\_\_\_\_\_  
(Type or Print Title)

C&amp;S 550j (Rev. 10/00)

MICHIGAN DEPARTMENT OF CONSUMER & INDUSTRY SERVICES  
BUREAU OF COMMERCIAL SERVICES

Data Received

DEC 18 2000

(FOR BUREAU USE ONLY)

ADJUSTED PURCHASER  
TO INFORMATION

FILED

DEC 18 2000

This document is effective on the date filed, unless a  
subsequent effective date within 90 days after received  
date is stated in the document.

Name

Johnson Controls, Inc. Attn: Scott C. Hess, Tax Counsel

Address

5757 N. Greenbay Avenue

City

State

Zip Code

Milwaukee

Wisconsin

53201

Administrator  
BUREAU OF COMMERCIAL SERVICES

EFFECTIVE DATE: 12/31/2000

Expiration date for now assumed names: December 31,

Expiration date for transferred assumed names appear in Item 6

Document will be returned to the name and address you enter above.  
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## CERTIFICATE OF MERGER

Cross Entity Merger for use by Profit Corporations, Limited Liability Companies  
and Limited PartnershipsPursuant to the provisions of Act 284, Public Acts of 1972 (profit corporations), Act 23, Public Acts of 1993  
(limited liability companies) and Act 213, Public Acts of 1982 (limited partnerships), the undersigned entities execute the  
following Certificate of Merger:

1. The Plan of Merger (Consolidation) is as follows:

a. The name of each constituent entity and its identification number is:

Johnson Controls Technology Company

368977

Johnson Controls Interiors Technology Corporation

026963

b. The name of the surviving (new) entity and its identification number is:

Johnson Controls Technology Company

368977

Corporations and Limited Liability Companies provide the street address of the survivor's principal place of business:

650 Waverly, Holland, Michigan 49423

2. (Complete only if an effective date is desired other than the date of filing. The date must be no more than 90 days after  
the receipt of this document in this office.)

The merger (consolidation) shall be effective on the 31st day of December, 2000.

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## 3. Complete for Profit Corporations only

For each constituent stock corporation, state:

Name of corporation	Designation and number of outstanding shares in each class or series	Indicate class or series of shares entitled to vote	Indicate class or series entitled to vote as a class
Johnson Controls Technology Company	1,000 Common	Common	N/A
Johnson Controls Interiors Technology Corporation	100 Common	Common	N/A

If the number of shares is subject to change prior to the effective date of the merger or consolidation, the manner in which the change may occur is as follows:

The manner and basis of converting shares are as follows:

In connection with the Merger each share of outstanding Johnson Controls Technology Company common stock shall be converted to 0.1 shares of common stock, 0.5 shares of Class A preferred stock and 0.4 shares of Class B preferred stock; each share of outstanding Johnson Controls Interiors Corporation common stock shall be converted into 0.3 shares of Class B preferred stock.

The amendments to the Articles, or a restatement of the Articles, of the surviving corporation to be effected by the merger are as follows:

A Certificate of Amendment with respect to Johnson Controls Technology Company's Articles of Incorporation is being filed concurrently with this Certificate of Merger in order to create the Class A (voting) and Class B (nonvoting) preferred stock.

The Plan of Merger will be furnished by the surviving profit corporation, on request and without cost, to any shareholder of any constituent profit corporation.

The merger is permitted by the state or country under whose law it is incorporated and each foreign corporation has complied with that law in effecting the merger.

(Complete either Section (a) or (b) for each corporation)

- a) The Plan of Merger was approved by the majority consent of the incorporators of \_\_\_\_\_, a Michigan corporation which has not commenced business, has not issued any shares, and has not elected a Board of Directors.

(Signature of Incorporator)

(Type or Print Name)

(Signature of Incorporator)

(Type or Print Name)

(Signature of Incorporator)

(Type or Print Name)

(Signature of Incorporator)

(Type or Print Name)

- b) The plan of merger was approved by:

☐ the Board of Directors of \_\_\_\_\_, the surviving Michigan corporation, without approval of the shareholders in accordance with Section 703a of the Act.

☒ the Board of Directors and the shareholders of the following Michigan corporation(s) in accordance with Section 703a of the Act.

Johnson Controls Interiors Technology Corporation

Johnson Controls Technology Company

By

(Signature of Authorized Officer or Agent)

William Kohler, Secretary

(Type or Print Name)

Johnson Controls Technology Company

(Name of Corporation)

By

(Signature of Authorized Officer or Agent)

Timothy Sullivan, Assistant Secretary

(Type or Print Name)

Johnson Controls Interiors Technology Corporation

(Name of Corporation)

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