

01-31-2001



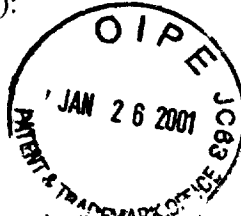
101601388

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

**Recordation Form Cover Sheet**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):  
**Kuo-Fen Lee**  
**Wylie W. Vale**  
**Tracy L. Bale**



2. Name and address of receiving party(ies):  
Name: **Research Development Foundation**  
Address: **402 North Division Street**  
**Carson City, NV 89703**

Additional names of conveying party(ies) attached?  
☒ NO ☐ YES

3. Nature of Conveyance: ☒ Assignment ☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ OTHER \_\_\_\_\_
- Additional names & addresses attached?  
☒ NO ☐ YES

Execution Date(s): January 17, 2001 and January 18, 2001

4. Application number(s) or patent number(s): **09/714,692**  
Additional numbers attached? ☒ NO ☐ YES

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: **Dr. Benjamin Adler**  
**ADLER & ASSOCIATES**  
Address: **8011 Candle Ln.**  
**Houston, Texas 77071**

6. Total number of applications and patents involved: **1**

7. Total Fee (37 CFR 3.41):.....**\$40.00** ☒ enclosed ☐ authorized to charge deposit account

8. Deposit Account number: \_\_\_\_\_ (duplicate copy attached if paying by deposit account)

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Benjamin Aaron Adler Ph.D., J.D.**  
Name of person signing

Signature

**1/22/01**  
Date

Total number of pages comprising cover sheet: **1**

01/30/2001 GTOM11 00000135 09714692

01 FC:581

40.00 DP

## ASSIGNMENT

WHEREAS, we, **Kuo-Fen Lee**, of 13269 Mango Drive, Del Mar, CA 92014, **Wylie W. Vale**, 1643 Valdes, La Jolla, CA 92307, and **Tracy L. Bale**, 7780 Prairie Shadow Road, San Diego, CA 92126, (hereinafter collectively called "ASSIGNORS"), are co-inventors and owners of certain new and useful improvements in ***Corticotropin Releasing Factor Receptor 2 (CRFR2) Deficient Mice And Uses Thereof***, an application for United States Letters Patent having been filed on November 16, 2000 and given United States Serial Number 09/714,692;

WHEREAS, the **Research Development Foundation** (hereinafter called "ASSIGNEE") a non-profit organization duly organized and existing under and by virtue of the laws of the state of Nevada, and having a place of business at 402 North Division Street, Carson City, Nevada 89703, is desirous of acquiring and ASSIGNORS are desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above;

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors and assigns the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for us and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other

lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 1-18-01

  
Kuo-Fen Lee

STATE OF \_\_\_\_\_:

COUNTY OF \_\_\_\_\_:

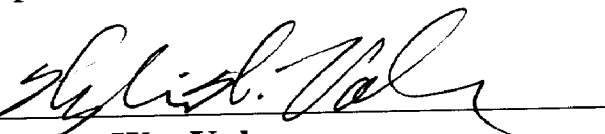
Before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared \_\_\_\_\_ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

*see attached certificate*

My Commission Expires:

DATE: 1-18-01

  
Wylie W. Vale

STATE OF \_\_\_\_\_:

COUNTY OF \_\_\_\_\_:

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared \_\_\_\_\_ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

*see attached certificate*

My Commission Expires:

DATE: 1-17-01

Tracy L. Bale  
Tracy L. Bale

STATE OF \_\_\_\_\_:

COUNTY OF \_\_\_\_\_:

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared \_\_\_\_\_ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

*see  
attached  
certificate  
jt*

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

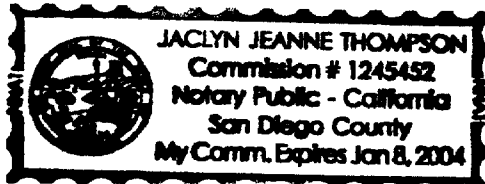
State of California

County of San Diego

On January 17, 2001 before me, Jaclyn Jeanne Thompson,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Tracy L. Bale,  
Name(s) of Signer(s)

☒ personally known to me – OR – ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Jaclyn Jeanne Thompson  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Patent Assignment 09/714,692

Document Date: 1-17-01 Number of Pages: 1

Signer(s) Other Than Named Above: Wylew Vale & Kuo-Fen Lee

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Tracy L. Bale

- ☐ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

self

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

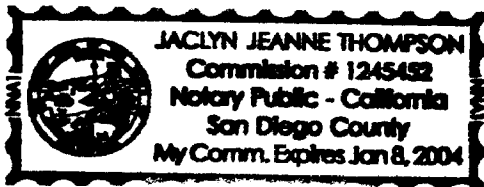
State of California

County of San Diego

On January 18, 2001 before me, Jaclyn Jeanne Thompson  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Kuo-Fen Lee and Wylie W. Vale  
Name(s) of Signer(s)

☒ personally known to me – OR – ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Jaclyn Jeanne Thompson  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Patent Assignment 09/714,692

Document Date: 1-18-01 Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: Tracy L. Bule

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Kuo-Fen Lee

- ☐ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

self

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: Wylie W. Vale

- ☐ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

self

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here