| PTO-1595 10160 | 1794 | Department of Commerce Patent and Trademar EET | |
|--|---|---|--|
| To the Honorable Commissioner of Patents and Trademarks | | Attorney Docket No. 26798-0007 | |
| Please record the attached original document(s) or copy(ies) the 1. Name of the conveying parties | | e and address of the receiving party(ies): | |
| Cheng-Tsung Ni | 19 | MOSEL VITELIC INC. 9, Li Hsin Road cience-based Industrial Park | |
| | | sinchu, Taiwan, R.O.C/ | |
| 3. Nature of conveyance: X Assignment Merger Security Agreement Change of Name Execution Date: February 23, 2000 | Adëli [] א | Ional Names(s) and Address(55) Attached es [X] No JAN 2 2001 | |
| 4. Application Number(s) or Patent Number(s) | | | |
| If this document is being filed together with a new applicati A. U.S. Patent Application No.: 09/514,455 | | te of the application is: | |
| 1. 0.0.1 doin Appiousion 10. 00014,400 | | | |
| 5. Name and address of party to whom correspondence | Additiona | I Numbers Attached? [] Yes [X] No | |
| should be mailed: | 6. Total number | of Applications and Patents involved | |
| 275 Middlefield Road Menlo Park, CA 94025-3506 Telephone direct: (650) 324-7100 Facsimile: (650) 324-0638 | (Attached dupl 8. [X] Please | to charge to Deposit Acct # <u>08-1641</u> icate copy if paying by Deposit Account) Charge Deposit Account No. 08-1641 for a the fees paid above, or credit any overpa | |
| DO NO | OT USE THIS SPAC | E | |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing infordocument. Lucas S. Chang Reg. No. 36,102 Name of Person Signing | ally | | |
| T Mail documents to be recorded with required cover sheet inform | | s including cover sheet, attachments, and documen | |
| Assistant Commissioner for Patents Box Assignments Washington, DC 20231 Public burden reporting for this sample cover sheet is estimated to averag and gathering the data needed and completing and reviewing the sample Trademark Office, Office of Information Systems, PK2-1000C, Washington (0651-0011), Washington, DC 20503. | e about 30 minutes per cover sheet. Send com | ments regarding this burden estimate to the U.S. Patent and | |
| DO1 DBYRNE 00000111 09514455 B1 40.00 DP | | | |

REEL: 011470 FRAME: 0126

ASSIGNMENT

S. 1

This Assignment is made by Assignor, Cheng-Tsung Ni, having a principal address of 2F, No. 29, Alley 25, Lane 734, Sec. 2, Chung-Hsin Road, Chu-Tung, Hsinchu, Taiwan R.O.C. to MOSEL VITELIC INC., a Taiwan corporation, having a principal place of business of No. 1 Creation Road 1, Science-based Industrial Park, Hsinchu, Taiwan R.O.C., Assignee.

WHEREAS, Assignor have invented a new and useful invention entitled MOS TRANSISTORS HAVING RAISED SOURCE AND DRAIN AND INTERCONNECTS, for which an application for United States Letters Patent is attached hereto; and

WHEREAS, Assignor believes that he is the original, first inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby does sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign

- 1 -

HEWM #188760 v02.PA (41NC021.DOC)

HEWM Docket No. 26798-0007

PATENT REEL: 011470 FRAME: 0127 applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the interest assigned herein to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the assignment herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the dates written hereinbelow.

Cheng-Tsung Ki Assignor

Name: Cheng-Tsung Ni

- 2 -

Date

HEWM #188760 v02.PA (41NC02!.DOC)

23/2/2000

HEWM Docket No. 26798-0007

PATENT REEL: 011470 FRAME: 0128 Attorney Docket No. 26798-0007

CERTIFICATE OF MAILING BY EXPRESS MAIL

| | | Certification u | nder 37 CFR 1.10 | | |
|-----------------|--|-------------------------|------------------------|---|--|
| | Aailing Date: January 22, 2001 | | Express Mail Label No. | | |
| | | | | e "Express Mail Post Office to Addressee" | |
| D.C. 20231. | aber Number under 37 CFR 1.10, on the a | oove stated date addres | \land . | Patents, Box Assignments, Washington, | |
| Name: | Vineta T. Tufono | Signature: | 40 Tulon | | |
| | | · | | | |
| | | | U | | |
| | | | | | |
| | IN THE UNITED | STATES PA | TENT AND TRADEN | IARK OFFICE | |
| In re Applicati | on of | | : | | |
| | | | | | |
| | Cheng-Tsung Ni | | : | | |
| | 5 5 | | - | | |
| Application No | o: 09/514,455 | | : Group Art Unit: | 2813 | |
| | - | | · , | | |
| Filed: | February 25, 2000 | | : Examiner: | T. Nguyen | |
| | - | | | 0.1 | |
| Title: | MOS TRANSISTORS HAVING RAISED SOURCE AND DRAIN | | | | |
| U.S. Patent and | d Trademark Office | | | | |
| | | | | | |
| Washington, D | C 20231 | | | | |
| Sir: | | | | | |
| | | TRANSM | ITTAL LETTER | | |
| | | | | | |

Transmitted herewith for filing in the above-entitled patent application are the following:

- 1. Recordation Form Cover Sheet (1 page)
- 2. Assignment (2 pages)
- 3. Check for the amount of \$40.00.
- 4. Return Receipt Postcard
- [X] Please charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-1641. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,

Lucas S. Chang Attorney for Applicants Reg. No. 36,102

Date: January 22, 2001 Heller Ehrman White & McAuliffe LLP 275 Middlefield Road Menlo Park, CA 94025-3506 Direct Dial: (650)324-7100

275260 v01.SV (5W#401!.DOC) 1/22/01 2:01 PM (26798.0007)

> PATENT REEL: 011470 FRAME: 0129

Attorney Docket No. 26798-0007

CERTIFICATE OF MAILING BY EXPRESS MAIL

| | | rtification under 37 CFR 1.10 | | | | |
|-------------|---|--|---------------------|--|--|--|
| I hereby ce | Mailing Date: <u>January 22, 2001</u> rtify that the application/correspondence attached hereto is | Express Mail Label N being deposited with the United States Postal Serv | | | | |
| under the a | bove Label Number under 37 CFR 1.10, on the above state | | | | | |
| D.C. 2023 | 1. Name: <u>Vineta T. Tufono</u> Signatu | re: Unite 7. Julos | w | | | |
| | | 0 | | | | |
| | | | | | | |
| | IN THE UNITED STAT | SES PATENT AND TRADE | MARK OFFICE | | | |
| In re App | plication of | : | | | | |
| | Cheng-Tsung Ni | : | | | | |
| Applicati | ion No: 09/514,455 | : Group Art Unit: | 2813 | | | |
| | | - | | | | |
| Filed: | February 25, 2000 | : Examiner: | T. Nguyen | | | |
| Title: | MOS TRANSISTORS HAVING | RAISED SOURCE AND DRAIN | | | | |
| U.S. Pate | ent and Trademark Office | | | | | |
| Washing | ton, DC 20231 | | | | | |
| Sir: | | | | | | |
| | <u>1</u> | RANSMITTAL LETTER | | | | |
| Transmit | ted herewith for filing in the above-entitled | l patent application are the following | 2: | | | |
| | 1. Recordation Form Cover Sheet (1 pa | age) | | | | |
| | 2. Assignment (2 pages) | | | | | |
| | 3. Check for the amount of \$40.00. | | | | | |
| | 4. Return Receipt Postcard | | | | | |
| [X] | lease charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-16 This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed. | | | | | |
| | | Respectfully submitted | ¹ , 2, 2 | | | |
| | | Respectfully submitted | -19 | | | |
| | | | | | | |

Lucas S. Chang Attorney for Applicants Reg. No. 36,102

Date: January 22, 2001 Heller Ehrman White & McAuliffe LLP 275 Middlefield Road Menlo Park, CA 94025-3506 Direct Dial: (650)324-7100

RECORDED: 01/23/2001

275260 v01.SV (5W#4011.DOC) 1/22/01 2:01 PM (26798.0007)

ı.

PATENT REEL: 011470 FRAME: 0130

.

)