

02-01-2001

ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commission

101602662

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jens Baltersee
Gunnar Fock
Peter Schulz-RittichAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: October 6, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket AdministratorInternal Address: Room 3C-512Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 07974-06366. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eli Weiss

Name of Person Signing

J. Baltersee 1-1-1

Signature

01/08/2000

Date

Total number of pages including cover sheet, attachments, and document: 9Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 011472 FRAME: 0470



File No. 1764 for 2000

J. Baltersee 1-1-1

ASSIGNMENT AND AGREEMENT


For value received, we, Jens Baltersee, of Koeln in the County of Fed. Rep. of Germany, and Gunnar Fock, of Aachen in the County of Fed. Rep. of Germany, and Peter Schulz-Rittich, of Aachen in the County of Fed. Rep. of Germany, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Adaptive Code-Tracking Receiver For Direct-Sequence Code-Division Multiple Access (CDMA) Communications Over Multipath Fading Channels And Method For Signal Processing In A Rake Receiver** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Jens Baltersee

Date: 6th day of October, 2000

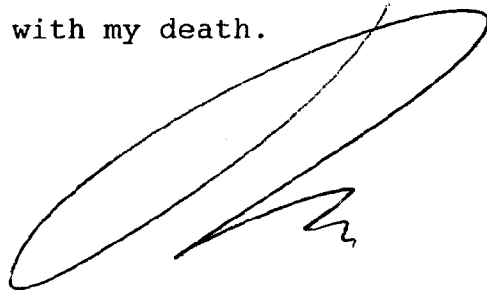
On this _____ day of _____, 20____, before me personally came, Jens Baltersee to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

On this 6th day of October, 2000, before me personally came, JENS BALTERSEE, born 25.04.1971, address: 50937 Köln-Sülz, Münstereifeler Straße 23, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

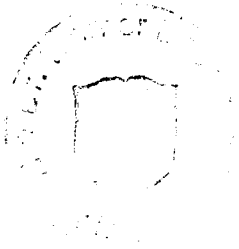
He identified himself by identity card.

My function as notary expires with my death.



(Dr. Becker)

NOTARY PUBLIC



Gunnar Fock
Gunnar Fock

Date: 6th day of October, 2000

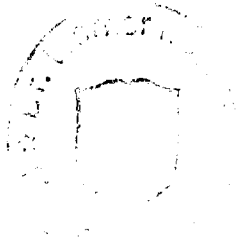
On this _____ day of _____, 20____, before
me personally came, Gunnar Fock to me known to be the individual described in
and who executed the foregoing instrument, and acknowledged execution of the
same.

Notary Public

On this 6th day of October, 2000, before me personally came, DIRK GUNNAR FOCK, born 03.04.1971, address: 52074 Aachen, Kullenhofwinkel 28, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

He identified himself by passport.

My function as notary expires with my death.



A large, stylized handwritten signature in black ink, slanted upwards to the right.

(Dr. Becker)
NOTARY PUBLIC

Schulz - Rittich
Peter Schulz-Rittich

Date: 6th day of October, 2000

On this _____ day of _____, 20____, before
me personally came, Peter Schulz-Rittich to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.

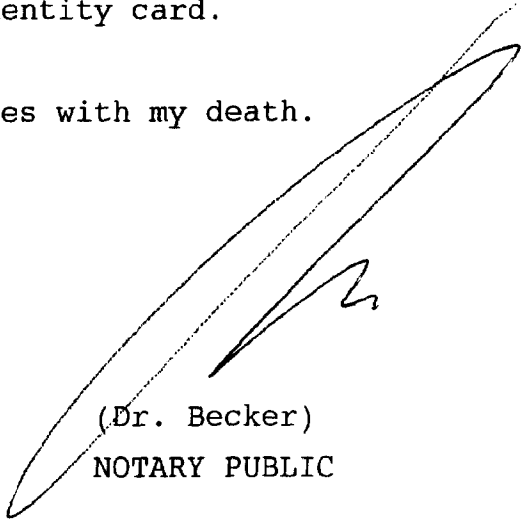
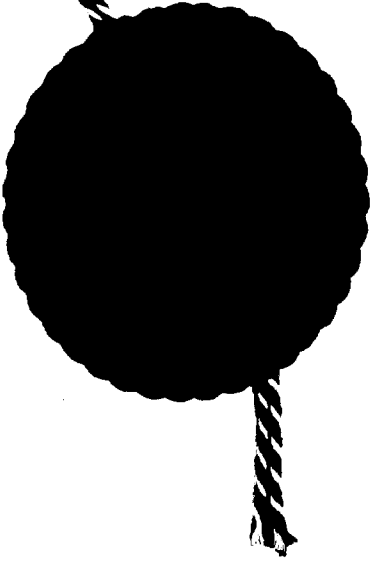
Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636

On this 6th day of October, 2000, before me personally came, PETER SCHULZ-RITTICH, born 30.10.1972, address: 52074 Aachen, Am Weißenberg 6, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

He identified himself by identity card.

My function as notary expires with my death.



(Dr. Becker)
NOTARY PUBLIC

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Land: Bundesrepublik Deutschland

Diese öffentliche Urkunde

2. ist unterschrieben von Dr. Becker

3. In seiner Eigenschaft als Notar in Aachen

4. sie ist versehen mit dem ~~Stempel~~ Siegel des ~~(des)~~ Notars

Bestätigt

5. in Aachen 6. am 10. Okt. 00

7. durch den Präsidenten des Landgerichts in Aachen

8. unter Nr. 91a E - 6.924

9. Stempel/~~Stempel~~



10. Unterschrift:

Gerber

(Gerber)