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Docket 108-C1553US

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Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

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☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Dr. Peter Kuhlmann

Execution Date  
Month Day Year  
01152001

Name (line 2) Zwingenberger Weg 46, D-42489 Wulfrath, Germany

Second Party

Execution Date  
Month Day Year  
01152001

Name (line 1) Reinhard Winter

Name (line 2) Maikammer 14, D-42489 Wulfrath, Germany

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Ashland Sudchemie-Kernfest GmbH

Name (line 2) A German Company

Address (line 1) Werk Wulfrath, Dieselstrasse 35-41

Address (line 2)

Address (line 3) D-42489 Wulfrath

Germany

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name David R. Cleveland

Address (line 1) 332 Minnesota Street, Suite E-1324

Address (line 2) Saint Paul, Minnesota 55101

Address (line 3) USA

Address (line 4)

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PATENT  
REEL: 011473 FRAME: 0524

**Correspondent Name and Address**

Area Code and Telephone Number **651-251-2250**

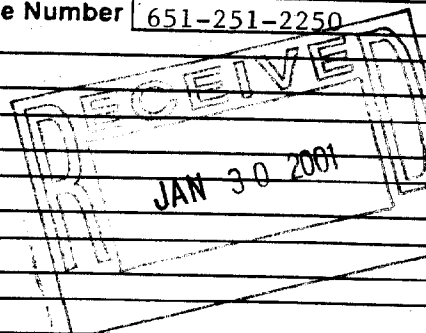
Name **David R. Cleveland**

Address (line 1) **332 Minnesota Street, Suite E-1324**

Address (line 2) **Saint Paul, MN 55101**

Address (line 3)

Address (line 4)



**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **3**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**09/700,901**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# **50-1208**

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

**David R. Cleveland**

Name of Person Signing

Signature

**01/24/2001**

Date

## ASSIGNMENT

Whereas I, as a below named inventor, with residence and citizenship as stated below next to my name; have made an invention entitled:

### **ALKYL RESIN EMULSIONS AND UTILIZATION OF THE SAME**

and have executed an application for Letters Patent of the United States of America based thereon, which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 09/700,901, filed November 17, 2000 (the "Application");

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto Ashland-Südchemie-Kernfest GmbH, a German company, having its principal office at Werk Wülfrath, Dieselstrasse 35-41, D-42489 Wülfrath, Germany (the "Company"), its successors and assigns, the entire right, title, and interest in and to the said invention and Application, and in and to any division or continuation (in whole or in part) of the Application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during my employment by the Company or derived from confidential information or trade secrets disclosed to me because of my employment by the Company), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, Registered Designs or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or Application or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made,

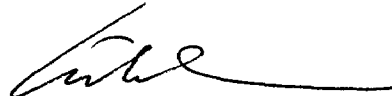
I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments and other documents as may be deemed necessary by the Company, its successors, assigns, and nominees; to communicate all related material facts known to us as may be deemed necessary by the Company, its successors, assigns, and nominees; and to perform such other related acts (including the giving of testimony) as I lawfully may do and as may be deemed necessary by the Company, its successors, assigns, and nominees; all in order fully to secure to and vest in the Company, its successors, assigns, and nominees all right, title, and interest as aforesaid and to permit them to obtain or maintain Letters Patent, Utility Models, Registered Designs or Inventors' Certificates in any and all countries;

I covenant and agree with the Company, its successors, assigns and nominees that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to any third party by me, and that the full right to convey the same as expressed herein is possessed by me.

And I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted thereon to the Company (or to its designated successor or nominee), as the assignee of the entire right, title, and interest therein.

IN WITNESS WHEREOF, I have signed my name on this Assignment on the date set forth below.

Date: 01/15/2001

By: 

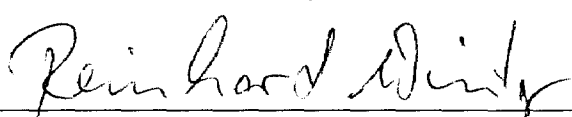
Name: Dr. Peter Kuhlmann  
Residence: Zwingenberger Weg 46  
D-42489 Wülfrath  
Citizenship: Germany

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Witness

IN WITNESS WHEREOF, I have signed my name on this Assignment on the date set forth below.

Date: 01/15/01

By: 

Name: Reinhard Winter  
Residence: Maikammer 14  
D-42489 Wülfrath  
Citizenship: Germany

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Witness