

02-02-2001

FORM PTO-3071-1006, 1006.1, 1006.2



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

101603157

To the Honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.

1. Name of conveying party(ies): Electro-Mechanics Corporation

1-26 01

2. Name and address of receiving party(ies):

Name: EMC Document Systems, Inc. Internal Address: Street Address: 701 North Raddant Road City: Batavia State: IL Zip: 60510-4218

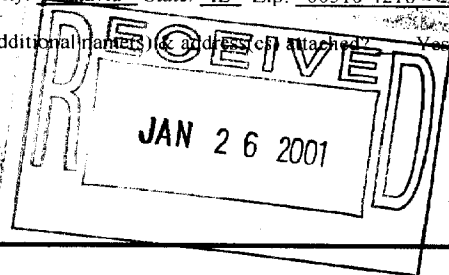
Additional name(s) of conveying party(ies) attached? Yes X No

3. Nature of conveyance:

- Assignment Merger Security Agreement X Change of Name Other

Execution Date: April 1, 1999

Additional name(s) of receiving party(ies) attached? Yes X No



4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. (s)

08/401,184 09/165,577

B. Patent No. (s)

5,816,771

Additional numbers attached: Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glenn W. Ohlson Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & Ohlson Street Address: P.O. Box 2786 City: Chicago State: Illinois Zip: 60690-2786

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41) \$ 120.00

X Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

12-0913

(Attach duplicate copy of this form if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glenn W. Ohlson

Signature of Glenn W. Ohlson

January 24, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document 7

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

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File Number 5520-731-3

State of Illinois  
Office of  
The Secretary of State

Whereas, ARTICLES OF AMENDMENT TO THE ARTICLES OF  
INCORPORATION OF  
ELECTRO-MECHANICS CORPORATION  
INCORPORATED UNDER THE LAWS OF THE STATE OF ILLINOIS HAVE BEEN  
FILED IN THE OFFICE OF THE SECRETARY OF STATE AS PROVIDED BY THE  
BUSINESS CORPORATION ACT OF ILLINOIS, IN FORCE JULY 1, A.D. 1984.

Now Therefore, I, Jesse White, Secretary of State of the State of Illinois, by virtue of the powers vested in me by law, do hereby issue this certificate and attach hereto a copy of the Application of the aforesaid corporation.

In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, at the City of Springfield, this 18TH day of MAY A.D. 1999 and of the Independence of the United States the two hundred and 23RD



*Jesse White*

Secretary of State

C-2125

PATENT  
REEL: 011474 FRAME: 0099

PAID

Form **BCA-10.30**

**ARTICLES OF AMENDMENT**

(Rev. Jan. 1999)

File # 5520-731-3  
MAY 19 1999

Jesse White  
Secretary of State  
Department of Business Services  
Springfield, IL 62756  
Telephone (217) 782-1832

**FILED**

MAY 18 1999

JESSE WHITE  
SECRETARY OF STATE

This space for use by  
Secretary of State

Date 5-18-99

Franchise Tax \$

Filing Fee \$25.00

Penalty \$ 1

Approved: [Signature]

Remit payment in check or money  
order, payable to "Secretary of State."

The filing fee for restated articles of  
amendment - \$100.00

http://www.sos.state.il.us

1. CORPORATE NAME: ELECTRO-MECHANICS CORPORATION ✓ (Note 1)

2. MANNER OF ADOPTION OF AMENDMENT:

The following amendment of the Articles of Incorporation was adopted on April 1  
(Month & Day)

1999 in the manner indicated below. ("X" one box only)  
(Year)

By a majority of the incorporators, provided no directors were named in the articles of incorporation and no directors have been elected; (Note 2)

By a majority of the board of directors, in accordance with Section 10.10, the corporation having issued no shares as of the time of adoption of this amendment; (Note 2)

By a majority of the board of directors, in accordance with Section 10.15, shares having been issued but shareholder action not being required for the adoption of the amendment; (Note 3)

By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. At a meeting of shareholders, not less than the minimum number of votes required by statute and by the articles of incorporation were voted in favor of the amendment; (Note 4)

By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by shareholders having not less than the minimum number of votes required by statute and by the articles of incorporation. Shareholders who have not consented in writing have been given notice in accordance with Section 7.10; (Notes 4 & 5)

By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by all the shareholders entitled to vote on this amendment. (Note 5)

3. TEXT OF AMENDMENT:

a. When amendment effects a name change, insert the new corporate name below. Use Page 2 for all other amendments.

Article 1: The name of the corporation is:

EMC DOCUMENT SYSTEMS, INC. ✓

(NEW NAME)

All changes other than name, include on page 2  
(over)

51K  
5-17-99

- b. *(If amendment affects the corporate purpose, the amended purpose is required to be set forth in its entirety. If there is not sufficient space to do so, add one or more sheets of this size.)*

4. The manner, if not set forth in Article 3b, in which any exchange, reclassification or cancellation of issued shares, or a reduction of the number of authorized shares of any class below the number of issued shares of that class, provided for or effected by this amendment, is as follows: (If not applicable, insert "No change")

No Change

5. (a) The manner, if not set forth in Article 3b, in which said amendment effects a change in the amount of paid-in capital (Paid-in capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts) is as follows: (If not applicable, insert "No change")

No Change

(b) The amount of paid-in capital (Paid-in Capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts) as changed by this amendment is as follows: (If not applicable, insert "No change")

No Change

	Before Amendment	After Amendment
Paid-in Capital	\$ _____	\$ _____

(Complete either Item 6 or 7 below. All signatures must be in BLACK INK.)

6. The undersigned corporation has caused this statement to be signed by its duly authorized officers, each of whom affirms, under penalties of perjury, that the facts stated herein are true.

Dated	<u>April 1</u> , <u>1999</u>	<u>ELECTRO-MECHANICS CORPORATION</u>
	(Month & Day) (Year)	(Exact Name of Corporation at date of execution)
attested by	<u>Victoria A. Stevens</u>	by <u>Kenneth A. Stevens</u>
	(Signature of Secretary or Assistant Secretary)	(Signature of President or Vice President)
	<u>Victoria A. Stevens, Secretary</u>	<u>Kenneth A. Stevens, President</u>
	(Type or Print Name and Title)	(Type or Print Name and Title)

7. If amendment is authorized pursuant to Section 10.10 by the incorporators, the incorporators must sign below, and type or print name and title.

OR

If amendment is authorized by the directors pursuant to Section 10.10 and there are no officers, then a majority of the directors or such directors as may be designated by the board, must sign below, and type or print name and title.

The undersigned affirms, under the penalties of perjury, that the facts stated herein are true.

Dated	_____	_____
	(Month & Day)	(Year)
	_____	_____
	_____	_____
	_____	_____

**NOTE 1:** State the true exact corporate name as it appears on the records of the office of the Secretary of State, BEFORE any amendments herein reported.

**NOTE 2:** Incorporators are permitted to adopt amendments ONLY before any shares have been issued and before any directors have been named or elected. (§ 10.10)

**NOTE 3:** Directors may adopt amendments without shareholder approval in only seven instances, as follows:

- (a) to remove the names and addresses of directors named in the articles of incorporation;
- (b) to remove the name and address of the initial registered agent and registered office, provided a statement pursuant to § 5.10 is also filed;
- (c) to increase, decrease, create or eliminate the par value of the shares of any class, so long as no class or series of shares is adversely affected.
- (d) to split the issued whole shares and unissued authorized shares by multiplying them by a whole number, so long as no class or series is adversely affected thereby;
- (e) to change the corporate name by substituting the word "corporation", "incorporated", "company", "limited", or the abbreviation "corp.", "inc.", "co.", or "ltd." for a similar word or abbreviation in the name, or by adding a geographical attribution to the name;
- (f) to reduce the authorized shares of any class pursuant to a cancellation statement filed in accordance with § 9.05,
- (g) to restate the articles of incorporation as currently amended. (§ 10.15)

**NOTE 4:** All amendments not adopted under § 10.10 or § 10.15 require (1) that the board of directors adopt a resolution setting forth the proposed amendment and (2) that the shareholders approve the amendment.

Shareholder approval may be (1) by vote at a shareholders' meeting (*either annual or special*) or (2) by consent, in writing, without a meeting.

To be adopted, the amendment must receive the affirmative vote or consent of the holders of at least 2/3 of the outstanding shares entitled to vote on the amendment (*but if class voting applies, then also at least a 2/3 vote within each class is required*).

The articles of incorporation may supersede the 2/3 vote requirement by specifying any smaller or larger vote requirement not less than a majority of the outstanding shares entitled to vote and not less than a majority within each class when class voting applies. (§ 10.20)

**NOTE 5:** When shareholder approval is by consent, all shareholders must be given notice of the proposed amendment at least 5 days before the consent is signed. If the amendment is adopted, shareholders who have not signed the consent must be promptly notified of the passage of the amendment. (§§ 7.10 & 10.20)



STATE OF ILLINOIS  
Office of the Secretary of State  
I hereby certify that this is a true and  
correct copy, consisting of Five  
pages, as taken from the original on file in  
this office.

*Jesse White*

JESSE WHITE  
SECRETARY OF STATE

DATED: January 04, 2000

BY: Barbara Lappas