

02-05-2001

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101606331

To the Honorable Commissioner of Patents at

1. Name of conveying party(ies):

David Hancock (deceased)
William Stephen Tremulis
Saypin Phonthalasa
Olin Palmer
Larry Voss
Gary A. Walker

1-23-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

X Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: January 22, 2001

2. Name and address of receiving party(ies):

Name: ENDOVASCULAR TECHNOLOGIES, INC.

Internal Address: _____

Street Address: 1525 O'Brien Drive

City: Menlo Park, CA

Country: US

Zip Code: 94025-1436

Additional name(s) & address(es) attached? ☐ Yes ☒ No

10930 U.S. PRO
09/768653
01/23/01

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: January 23, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09/768653

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John V. Hanley, Esq.

Internal Address: Fulwider Patton Lee & Utecht, LLP

Street Address: 6060 Center Drive, 10th Floor

City: Los Angeles State: CA ZIP 90045

6. Total No. of applications and patents involved 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

X Enclosed
X Authorized to be charged to deposit account
X Any deficiencies in enclosed fees

8. Deposit account number: 06-2425

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JOHN V. HANLEY
Name of Person Signing

Signature

Date

1/23/01
Total number of pages comprising Assignment and cover sheet: 6

OME No. 0651-0011 (exp. 4/94)

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PATENT
REEL: 011479 FRAME: 0365

ASSIGNMENT

This Assignment made by David Hancock of San Francisco, California; William Stephen Tremulis of Redwood City, California; Saypin Phonthalasa of San Francisco, California; Olin Palmer of Mountain View, California; Larry Voss of San Jose, California; and Gary A. Walker of Fremont, California, Assignors, to ENDOVASCULAR TECHNOLOGIES, INC. ("Assignee"), a Delaware corporation and a wholly-owned subsidiary of Guidant Corporation, Assignee having a place of business at 1525 O'Brien Drive, Menlo Park, CA 94025-1436.

WHEREAS, Assignors have invented a new and useful EXPANDABLE EMBOLI FILTER AND THROMBECTOMY DEVICE, for which an application for United States Letters Patent has been executed by them this day; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

--

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Sent by: JetFax M910e

408;

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Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Assignor(s):

Date: _____, 2000

DAVID HANCOCK

Date: May 4, 2000

WILLIAM STEPHEN TREMULIS

Date: 10-20, 2000

SAYPIN PHONTHALASA

Date: 20 October, 2000

OLIN PALMER

Date: 1/22/01, 2000

LARRY JOSS

Date: Oct 20, 2000

GARY A. WALKER

ASSIGNMENT OF INVENTION
BY ADMINISTRATOR(TRIX), EXECUTOR(TRIX)
OR LEGAL REPRESENTATIVE(S)

In consideration of the payment by Assignee to Assignor of the sum of Ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, Assignor, KATHERINE HANCOCK, 700 Church Street, #202, San Francisco, CA 94114, a citizen of the United States of America, who is executing this Assignment on behalf of DAVID HANCOCK, hereby sells, assigns and transfers to ENDOVASCULAR TECHNOLOGIES, INC., a Delaware Corporation, Assignee, having a place of business at 1525 O'Brien Drive, Menlo Park, CA 94025-1436, and the successors, assigns and legal representatives of the Assignee, the entire right, title and interest and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: EXPANDABLE EMBOLI FILTER AND THROMBECTOMY DEVICE

Name of Inventor(s): David Hancock; William Stephen Tremulis; Saypin Phonthalasa; Olin Palmer; Larry Voss and Gary A. Walker

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

PROOF OF AUTHORITY to act on behalf of the deceased/incapacitated inventor will be provided and submitted herewith.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 12th day of

December.

Date: December 12, 2000

Katherine Hancock
KATHERINE HANCOCK