

02-06-2001

ocket No.: 2731

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101605555

Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul W. Rockley
Kenneth E. Kadziauskas
James W. Staggs

2. Name and address of receiving party(ies):

Name: ALLERGAN SALES, INC

Address: 2525 Dupont

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 11, 2001

City: Irvine State/Prov.: CA

Country: USA ZIP: 92612

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/764814

If this document is being filed together with a new application, the execution date of the application is: January 11, 2001

Patent Application No.

Filing date

B. Patent No.(s)

02/05/2001 GTOM11 00000150 09764814

01 FC:581

40.00 OP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Walter A. Hackler, Ph.D

Registration No. 27,792

Address: 2372 S.E. Bristol, Suite B

City: Newport Beach State/Prov.: CA

Country: USA ZIP: 92660

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0114

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter A. Hackler

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

ASSIGNMENT

WHEREAS WE, Paul W. Rockley residing at 27974 Greenlawn Circle,
Laguna Niguel, California 92677 of Orange
COUNTY, CALIFORNIA and Kenneth E. Kadziauskas
residing at 49 Grassy Knoll, Las Flores, California
92688 of Orange
COUNTY, CALIFORNIA and James W. Staggs
residing at 29572 Seriana, Laguna Niguel, California
92677 of Orange
COUNTY, CALIFORNIA and _____
residing at _____
_____ of _____
COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNORS), have invented
and own a certain invention entitled: RAPID PULSE PHACO POWER FOR BURN
FREE SURGERY

for which application for Letter Patent of the United States has been executed on even date herewith.

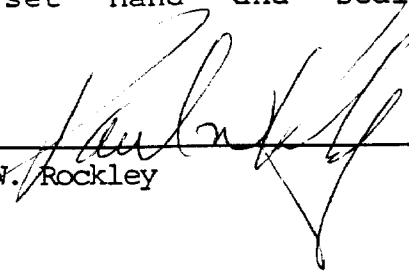
WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.


IN WITNESS WHEREOF, We have hereunto set hand and seal this
January 12th 2001

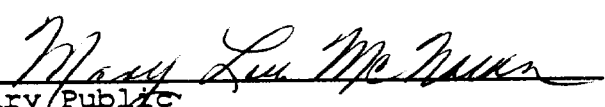

Paul W. Rockley

State of CALIFORNIA)
County of ORANGE) ss:
)

On JAN. 11, 2001 before me, MARY LOU MCNOWN, Notary Public
Personally appeared Paul W. Rockley

~~Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.~~

WITNESS my hand and official seal.

MARY LOU MCNOWN
Commission # 1229524
Notary Public - California
Orange County
My Comm. Expires Aug 16, 2003


Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this
January 11 2001



Kenneth E. Kadziauskas

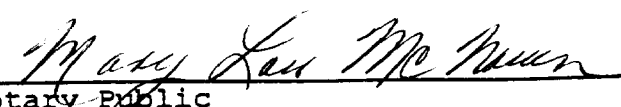
State of CALIFORNIA)
County of ORANGE) ss:
)

On JAN. 11, 2001 before me, MARY LOU MCNOWN, Notary Public
Personally appeared Kenneth E. Kadziauskas

~~Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.~~

WITNESS my hand and official seal.


MARY LOU MCNOWN
Commission # 1229524
Notary Public - California
Orange County
My Comm. Expires Aug 16, 2003


Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this
January 11 2001

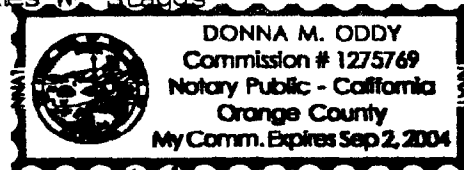
James W. Staggs
 James W. Staggs

State of California

County of Orange

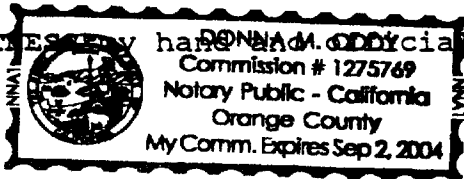
on January 11, 2001 before me, Donna M Oddy

Personally appeared James W. Staggs



Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.



Donna M Oddy
 Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this
20

State of _____)

County of _____)

On _____ before me,
 Personally appeared _____

Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.

 Notary Public