



101606533

Atty. Docket No. 3136.02A

Box Assignment
Commissioner of Patents and Trademarks
Washington, D.C. 20231

ASSIGNMENT (DOCUMENT) COVER LETTER
PATENT OR PATENT APPLICATION

1. **NAMES OF PARTY(IES) MAKING TRANSFER**

Name 1: John R. Flaherty
Name 2: Bradley P. Gehring

2. **IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE**

This transfer is being made to:
Name: Ecotechnology, Inc.
Address: 6355 Riverside Boulevard, Suite 2L
Sacramento, California 95831 U.S.A.

3. **INTENTION OF DOCUMENT**

A brief description of what the accompanying document intends to accomplish is that it is an:

- ☒ Assignment
☐ License
☐ Security Interest
☐ Other

4. **IDENTIFICATION OF PATENT OR APPLICATION**

Particulars of the patent or application to which the accompanying document applies are:

Inventor(s): John R. Flaherty and Bradley P. Gehring

S/N 09/329,980

Page 1 of 3

For (title): APPARATUS AND METHOD FOR DESICCATING AND DEAGGLOMERATING WET, PARTICULATE MATERIALS

- (a) U.S. patent application executed on .
(b) x U.S. patent application serial no. 09/329,980 filed on 06/10/99.
(c) U.S. patent no. issued on .

5. **NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED**

Please address all correspondence and return the recorded document to:

John P. Costello
Weintraub Genshlea Chediak Sproul
400 Capitol Mall, 11th Floor
Sacramento, California 95814

6. **NUMBER OF APPLICATIONS OR PATENTS, AND TOTAL FEE DUE**

The number of applications or patents identified herein is: 1

Total Fee Due \$ 80.00

7. **DATE DOCUMENT WAS EXECUTED**

The accompanying documents were executed on John R. Flaherty, June 26, 1999 and Bradley P. Gehring on September 27, 1999.

8. **FEE PAYMENT**

Fee payment is provided for as follows:

 New Application transmittal (Item 13 - INCLUDED IN FILING FEE)

 FWC Transmittal (Item VII)

 Transmittal of Filing under 37 CFR 1.60(b) (Item 11)

 X Attached is a check in the sum of \$80.00.

 X Charge Account No. 501176 if any additional fee is due **for this assignment only.**

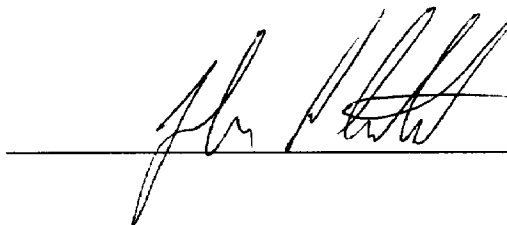
 Charge Account No. in the sum of A duplicate of this transmittal is attached.

9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**

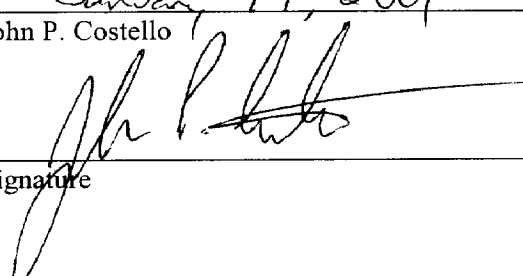
Dated: January 19, 2001



John P. Costello, Reg. No. 36,110
Weintraub Genshlea Chediak Sproul
400 Capitol Mall, 11th Floor
Sacramento, CA 95814
916/558-6107

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "First Class Mail" under 37 C.F.R. §1.8 in an envelope addressed to: Box Assignment, Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231 on this date January 19, 2001

January 19, 2001
John P. Costello


Signature

Practitioner's Docket No. 3136.02A**PATENT**

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or
entity(ies) who own the
invention

John R. Flaherty*(type or print name(s) of ASSIGNOR(S))*532 Spruce Street, #8

Address

Philadelphia, Pennsylvania 19106United States Citizen

Nationality

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:Ecotechnology, Inc.*(type or print name(s) of ASSIGNEE(S))*6355 Riverside Boulevard, Ste 2L

Address

Sacramento, California 95831U.S.A.

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

APPARATUS AND METHOD FOR DESICCATING AND DEAGGLOMERATING WET, PARTICULATE MATERIAL

Name of inventor(s) John R. Flaherty and Bradley P. Gehring

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

(c) ☒ U.S. ~~PROVISIONAL~~ application naming the above inventor(s) for the above-entitled invention.

☒ Express mail label no.: EJ385046701

Mailed: June 10, 1999

☒ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☐ U.S. application no. _____ / _____
filed on _____

(e) ☐ International application no. PCT/ _____ / _____

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention [16-3]—page 2 of 3)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ day
of _____
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date:

June 24, 1999

Signature of ASSIGNOR(S)

Date:

Date:

Date:

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)

Practitioner's Docket No. 3136.02A**PATENT**

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
 ☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or
entity(ies) who own the
invention

Bradley P. Gehring
(type or print name(s) of ASSIGNOR(S))

210 Galahad Place North
Address

Hudson, Wisconsin 54016

United States Citizen
Nationality

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____ Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Ecotechnology, Inc.
(type or print name(s) of ASSIGNEE(S))

6355 Riverside Boulevard, Ste. 2L
Address

Sacramento, California 95831

U.S.A.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, ✓
in and to any and all improvements which are disclosed in the invention entitled:
APPARATUS AND METHOD FOR DESICCATING AND DEAGGLOMERATING WET, PARTICULATE
MATERIALS
Name of inventor(s) John R. Flaherty and Bradley P. Gehring

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☒ U.S. ~~provisional~~ application naming the above inventor(s) for the above-entitled invention.

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filed on _____

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(f) ☐ U.S. patent no. _____ issued _____

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(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

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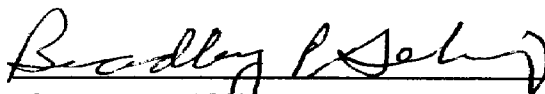
(Assignment of Invention [16-3]—page 2 of 3)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ day
of _____
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: 9/27/99



Signature of ASSIGNOR(S)

Date: _____

Date: _____

Date: _____

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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