02-07-2001



FORM PTO- Expires 06/30/99 OMB 0651-0027	1619A P E		U.S. Department of Commerce Patent and Trademark Office PATENT				
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RECORDATION FORM COVER SHEET							
PATENTS ONLY							
TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). Submission Type Conveyance Type							
X New			rity Agreement				
Resubmis Documen	ssion (Non-Recordation) tt ID#	X License Char	ge of Name				
Correctio	n of PTO Error Frame #	Merger Othe					
Correctiv	e Document	(For Use ONLY by U.S.	ernment Government Agencies)				
Reel#	Frame #	Departmental F	ile Secret File				
Conveying F	Party(ies)	Mark if additional names of conv	eying parties attached Execution Date Month Day Year				
Name (line 1)	Schulze, Clayton E.		01 12 01				
Name (line 2)			Execution Date				
Second Party Name (line 1)	Schulze, Todd M.		Month Day Year				
Name (line 2)			767e213				
Receiving Party Mark if additional names of receiving parties attached							
Name (line 1)	Fukuvi USA		If document to be recorded is an assignment and the				
Name (line 2)			receiving party is not domiciled in the United States, an appointment				
Address (line 1)	7631 Progress Court		of a domestic representative is attached. (Designation must be a				
Address (line 2)			separate document from Assignment.)				
Address (line 3)	Huber Heights	OH	45424 Zip Code				
i e	epresentative Name and A	ddress Enter for the first Rec					
Name	•						
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Address (line 1)							
Address (line 2)							
Address (line 3)							
Address (line 4)							
FOR OFFICE USE ONLY							
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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

PATENT

REEL: 011485 FRAME: 0006

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Add	Iress Area Code and Telephone Number	937/223-2050
Name Killworth, Gottman, Haga	an & Schaeff, L.L.P.	
Address (line 1) One Dayton Centre		
Address (line 2) One South Main Street, S	uite 500	
Address (line 3) Dayton, Ohio 45402		
Address (line 4)		
Pages Enter the total numb including any attack	per of pages of the attached conveyance documents.	ment # 8
Patent Application Numb If this document is being filed together with a signed by the first named executing inventor. Patent Cooperation Treaty (PC Enter PCT application numonly if a U.S. Application has not been assigned.	Patent Number (DO NOT ENTER BOTH numbers ser(s) Paten 5829213 new Patent Application, enter the date the patent application. PCT PCT PCT PCT PCT PCT PCT PC	t Number(s)
Method of Payment:	Amount for Properties Listed (37 CFR 3.41): Enclosed X Deposit Account Interpretation of the account Deposit Account Number: Authorization to charge additional fees:	#
Statement and Signature		

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

James E. Beyer Signature Name of Person Signing

January 29, 2001

Date

EXCLUSIVE LICENSE - U.S. PATENT NO. 5,829,213 AND TRANSFER OF EXTRUSION DIES TO FUKUVI, USA, INC.

IN THIS AGREEMENT, made and entered into as of the <u>fined</u> day of <u>recorded</u>, 2001 ("Effective Date"), Clayton E. Schulze and Todd M. Schulze, both of 8282 McKee Road, Albion, Pennsylvania 16401 ("Schulze"), and Fukuvi, USA, Inc., an Ohio corporation having offices at 7631 Progress Court, Huber Heights, Ohio ("Fukuvi, USA"), agrees as follows:

- 1-1 "Schulze" owns all rights, title and interest in and to U.S. PATENT NO. 5,829,213 ("the '213 Patent"), and the invention disclosed and claimed therein. "Schulze" also owns certain extrusion dies related to producing products covered by "the '213 Patent" and certain rights in trademarks related to the products identified in Attachment A, including the mark "Slab Saver."
- 1-2 "Fukuvi USA" desires to acquire an exclusive license in the "the '213 Patent" And the noted trademarks. "Fukuvi, USA" also desires to acquire the stated extrusion dies.
- 1-3 "Schulze" hereby grants to "Fukuvi, USA" and its subsidiaries, affiliates, and controlled companies, under the "the '213 Patent", and for the life of such patent, an exclusive license to make, use, offer to sell, and import the inventions claimed therein throughout the United States, its territories, and possessions. "Schulze" also hereby transfers ownership of the stated extrusion dies to "Fukuvi, USA" and grants to "Fukuvi, USA" and its subsidiaries, affiliates, and controlled companies, the exclusive right to use the noted trademarks, including the mark "Slab Saver."
- 1-4 "Fukuvi, USA" shall make Royalty Payments by certified check, corporate check, wire transfer, or other means agreed to by "Fukuvi, USA" and "Schulze", in the manner set forth in Attachment "A" hereto.
- 1-5 "Fukuvi, USA" and "Schulze" agree that the present exclusive license of the "the '213 Patent" to "Fukuvi, USA" does not in any way carry with it a reservation of rights by "Schulze" to manufacture, use, sell, offer to sell, or import products covered by "the '213 Patent" or to use trademarks related to the products identified in Attachment "A", including the mark "Slab Saver."

- 1-6 "Fukuvi, USA" shall have the exclusive right under "the '213 Patent" to grant sublicenses and termination of the license granted to "Fukuvi, USA" in this Agreement shall terminate all sublicenses that may have been granted by "Fukuvi, USA."
- 1-7 While and as long as its license under this Agreement remains exclusive, "Fukuvi, USA" is empowered (a) to bring suit in its own name, or if required by law, jointly with "Schulze", for infringment of "the '213 Patent"; (b) in any such suit, to enjoin infringment and to collect for its own use, damages, profits, and awards of whatever nature recoverable for such infringment; and (c) to settle any claim or suit for infringment of "the '213 Patent" by granting the infringing party a sublicense under the applicable provision of this Agreement.
- 1-8 "Schulze will provide testimony in connection with any proceeding affecting the right, title, interest, or benefit of "Fukuvi, USA" in and to "the '213 Patent" and to perform any other acts deemed necessary to carry out the intent of this Agreement. "Fukuvi, USA" shall reimburse "Schulze" for any and all costs incurred by "Schulze" in performance under this paragraph.
- 1-9 The license granted in this Agreement shall be binding on any successor of "Schulze" in ownership or control of "the '213 Patent", and the obligations of "Fukuvi, USA" including but not limited to the obligation to make reports and pay royalties, shall run in favor of any such successor and of any assignee of "Schulze's" benefits under this Agreement.
- 1-10 "Fukuvi, USA's" rights under this Agreement and the license herein granted shall pass to any assigns for the benefit of the creditors of "Fukuvi, USA" and to any receiver of its assets, or Products, as defined in Attachment "A", as a result of sale, consolidation, reorganization, or otherwise, provided such assignee, receiver, person, or corporation shall, without delay, accept in writing the provisions of this Agreement and agree to become in all respects bound thereby in the place and stead of "Fukuvi, USA."
- 1-11 In the event "Schulze" seeks or is involuntarily placed under the protection of the bankruptcy laws, Title XI, U.S. Code, and the trustee in bankruptcy rejects this Agreement, "Fukuvi, USA" hereby elects, pursuant to Section 365(n), to retain all rights granted to it under this Agreement to the extent permitted by law.
- 1-12 In the event Patented Product sales, as defined in Attachment "A", do not exceed \$10,000.00 in net sales in any four consecutive quarters during the life of this Agreement, either party to this Agreement may terminate this Agreement and "Fukuvi, USA" will be relieved of any obligation to pay royalties which would otherwise be due for the given quarter and subsequent quarters. Once terminated, the license granted to "Fukuvi, USA" herein will

be revoked and any future Patented Product sales by "Fukuvi, USA" shall not constitute licensed sales unless provided for in a separate agreement.

- 1-13 This Agreement may be terminated by either party for a material breach by the other party of the provisions hereof. Such termination shall be effective ninety (90) days after written notice to the other party specifying the breach. If the specified breach is cured before the effective date of termination, the agreement shall not be terminated and the notice thereof shall have no effect.
- 1-14 If, in any proceeding in which the validity, infringement, or priority of Invention of any claim of "the '213 Patent" is in issue, a judgment or decree Is entered that holds any claim invalid or is adverse to the patent as to Inventorship, "Fukuvi, USA" may terminate this Agreement and will be Relieved of any obligation to pay royalties, which would otherwise be due for The quarter in which the judgement is entered and for subsequent quarters. If there are two or more conflicting judgements with respect to the same Claim the decision of the higher tribunal shall be followed. If the tribunals Be of equal dignity, then the decision less favorable to the claim shall be Followed.
- 1-15 "Schulze" represents and warrants that (a) no consents of any other parties Are necessary or appropriate under any agreements concerning "the '213 Patent" in order for the license of "the '213 Patent" under this Agreement To be legally effective; (b) in respect to "the '213 Patent" that it legal power To extend the rights granted to "Fukuvi, USA" in this Agreement and that it Has not made and will not make any commitments to others inconsistent with or in derogation of such rights; and (c) to the best of "Schulze's" knowledge, "Schulze" has good and marketable title to "the '213 Patent", free and clear of any and all liens, mortgages, encumbrances, pledges, security interests, licenses, or charges of any nature whatsoever.
- 1-16 This Agreement shall be governed by and construed in accordance with the Laws of Pennsylvania and of the United States of America.

ATTACHMENT "A"

ROYALTY PAYMENTS

First Year: \$25,000.00 plus percentage of certain sales.

Payable Quarterly within one month of the conclusion of each quarter of the First Year of this Agreement:

(1) \$6,250.00 and (2) A royalty amount equal to Five Percent (5%) of That portion of the Net Sale Amount for Patented Products sold in the First Year of this Agreement in excess of 10,000 Units. No royalty amount shall be due until after the number of units sold in the given year exceeds 10,000 Units. The royalty amount shall only be calculated as a percentage of the Net Sale Amount for units sold in excess of 10,000 Units.

Second Year: \$19,000.00 plus percentage of certain sales.

Payable Quarterly within one month of the conclusion of each quarter of the Second Year of this Agreement:

(1) \$4,750.00; (2) A royalty amount equal to Five Percent (5%) of that Portion of the Net Sale Amount for Patented Products sold in the Second Year of this Agreement in excess of 7,500 Units. No royalty amount shall be due until after the number of Units sold in the given year exceeds 7,500 Units. The royalty amount shall be calculated as a percentage of the Net Sale Amount for units sold in excess of 7,500 Units.

Third Year: \$12,000.00 plus percentage of certain sales.

Payable Quarterly within one month of the conclusion of each quarter of the Third Year of this Agreement:

(1) \$3,000.00; (2) A royalty amount equal to Five Percent (5%) of that Portion of the Net Sale Amount for Patented Products sold in the Third Year of this Agreement in excess of 5,000 units. No royalty amount shall be due until after the number of units sold in the given year exceeds 5,000 Units. The royalty amount shall be calculated as a

percentage of the Net Sale Amount for units sold in excess of 5,000 Units.

Fourth through Eighth Years: Quarterly portion of \$5,000.00 or percentage of certain sales: whichever is greater.

Payable Quarterly within one month of the conclusion of each quarter of the Applicable year in this Agreement:

The greater of (1) \$1,250.00 or (2) A royalty amount equal to Five Percent (5%) of that portion of the Net Sale Amount for Patented Products sold in the applicable year of this Agreement

Ninth Year and Subsequent Years: Percentage of Certain Sales.

Payable Quarterly within one month of the conclusion of each quarter of the Applicable year in this Agreement:

A royalty amount equal to Five Percent (5%) of that portion of the Net Sale Amount for Patented Products sold in the applicable year of This Agreement.

REPORTS

Concurrent with each of the above-noted quarterly payments, "Fukuvi, USA" will make written reports to "Schulze", stating in each such report the number, description, and aggregate Net Sale Amount of Slab Savers and Patented Products. "Fukuvi, USA" will permit its books and records to be examined from time to time to the extend necessary to verify the reports provided, such examination to be made at the expense of "Schulze" only the amount of Royalty Payments payable for the period under audit. Slab Savers and Patented Products shall be considered to be sold when billed out. Payments made on sales of Slab Savers and Patented Products that are not accepted by the customer shall be credited to "Fukuvi, USA."

DEFINITIONS

- 1-1 <u>UNITS:</u> A unit shall comprise a Slab Saver or other Patented Product sold as a cut length. (one piece 24" long)
- 1-2 <u>NET SALE AMOUNT:</u> "Fukuvi, USA's" invoice price, less those taxes, duties, and shipping charges separately stated on the invoice for Slab Savers and Patented Products sold in this country and all other countries.
- 1-3 <u>SLAB SAVER:</u> All devices and drawings covered by the claims of "the '213 Patent."
- 1-4 <u>PATENTED PRODUCTS:</u> All devices, including the Slab Saver, covered by the claims of "the '213 Patent."
- 1-5 **FIRST YEAR:** The one-year period from the "Effective Date."
- 1-6 <u>SECOND YEAR:</u> The one-year period from the conclusion of the First Year.
- 1-7 THIRD YEAR: The one-year period from the conclusion of the Second Year.
- 1-8 FOURTH YEAR: The one-year period from the conclusion of the Third Year.
- 1-9 <u>FIFTH YEAR AND SUBSEQUENT YEARS:</u> The one-year periods from the Respective conclusions of the previous one-year period, not to exceed the term of "the '213 Patent."

This instrument contains the entire and only Agreement between the parties and supersedes all preexisting agreements between them respecting its subject matter. Any representation, promise, or condition in connection with such subject matter that is not incorporated in this Agreement shall not be binding on either party. No modification, renewal, extension, or waiver, and no termination of this Agreement or any of its provisions, shall be binding on the party against whom enforcement of such modification, renewal, extension, waiver, or termination is sought, unless made in writing and signed by such party or on behalf of such party by one of its officers or representatives.

IN TESTIMONY WHEREOF, we hereunto execute the assignment as follows:

a quinte and a contract of the		01-22-2001 .
Kyozaburo Takagi	•	Date .
Vice President Fukuvi, USA, Inc.		
State of hill	•	
County of Menitgome	ry.	
	ate, personally appe	before me a Notary Public in and ared the above named Kyozaburo ntification
and acknowledged the execu	tion of the foregoing	g assignment as a free act and deed
for the purpose herein set for	rth.	
Lie Whalen	<u> </u>	
Notary Public	LICE COME Noting to	***
My commission expires		·

SEAL

Fred M. S January 11	2001 .
Fodd M. Schulze Date	
State of NONTA CAWLINA	
On this // day of Jaway , 200. Notary Public in and for the above County and State, personally above named Todd M. Schulze personally known to me or provided identification , and acknowledged the foregoing assignment as a free act and deed for the purpose h	led
Notary Public Color	
My commission expires September 20, 2005	•
SEAL CLAYTONA. SCHULZE SEAL DATE	TAN 12, 2001
County of FRICE.	
identification	d the execution of
NOTARY PUBLIC	
NOTARY PUBLIC My commission expires 2-26-64	•
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RECORDED: 02/01/2001