

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

FEB - 2 2001

02-08-2001



101608374  
PATENT

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

2.2.01

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**Submission Type**

☐ New

☐ Resubmission (Non-Recordation)  
Document ID#

☒ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

**U.S. Government**  
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☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Second Party**

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

4797763

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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02/08/2001 GTON11 00000067 4792263

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200.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 011485 FRAME: 0439**

**Correspondent Name and Address**

Area Code and Telephone Number (513) 241-2324

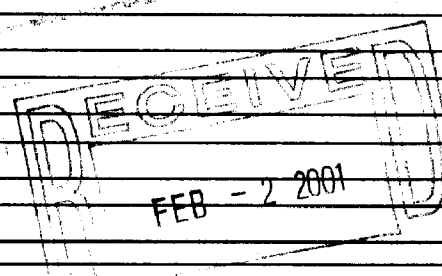
Name Donald F. Frei

Address (line 1) Wood, Herron & Evans

Address (line 2) 2700 Carew Tower

Address (line 3) 441 Vine Street

Address (line 4) Cincinnati, Ohio 45202



**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 7

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**


4792263	4715750	5427036
4930941	5584517	

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

**Number of Properties**

Enter the total number of properties involved.

# 5

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 200.00

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 23-3000

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Donald F. Frei

Name of Person Signing

*Donald F. Frei*

Signature

01/22/01

Date

12-01-1998



100910350

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

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PATENTS ONLY**

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☐ License ☐ Change of Name

☐ Merger ☐ Other

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(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name (line 1)  De La Rue Cash Systems

Name (line 2)

Execution Date  
Month Day Year  
10 9 98

**Second Party**

Name (line 1)  De La Rue Systems Americas Corporation

Name (line 2)

Execution Date  
Month Day Year  
10 9 98

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)  Mesler Inc

Name (line 2)

Address (line 1)  8509 Berk Boulevard

Address (line 2)

Address (line 3)  Hamilton  OH  45012-0793

City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name  Mesler Inc

Address (line 1)  8509 Berk Boulevard

Address (line 2)  Hamilton OH 45012-0293

Address (line 3)

Address (line 4)

11/30/1998 SSMITH 00000007 4753387

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E

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 011485 FRAME: 0441**

**Correspondent Name and Address**

Area Code and Telephone Number 212 909 8988

Name Alexia Richmond

Address (line 1) Debevoise & Plimpton

Address (line 2) 875 Third Avenue

Address (line 3) New York, NY 10022

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

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<div style="border: 1px solid black; padding: 2px;">4792263</div>	<div style="border: 1px solid black; padding: 2px;">5087982</div>	<div style="border: 1px solid black; padding: 2px;">474354</div>
<div style="border: 1px solid black; padding: 2px;">4930941</div>	<div style="border: 1px solid black; padding: 2px;">5427036</div>	<div style="border: 1px solid black; padding: 2px;">471575</div>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month  Day  Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <span style="border: 1px solid black; padding: 2px;"></span>	PCT <span style="border: 1px solid black; padding: 2px;"></span>	PCT <span style="border: 1px solid black; padding: 2px;"></span>
PCT <span style="border: 1px solid black; padding: 2px;"></span>	PCT <span style="border: 1px solid black; padding: 2px;"></span>	PCT <span style="border: 1px solid black; padding: 2px;"></span>

**Number of Properties**

Enter the total number of properties involved.

# 9

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

360.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Alexia Richmond  
Name of Person Signing

Alexia Richmond  
Signature

11/19/98  
Date

LIST OF PATENTS

<u>PATENT NO.</u>	<u>GRANT DATE</u>	<u>EXPIRATION DATE</u>	<u>TITLE</u>	<u>COUNTRY</u>
4,753,387	6/28/1988	7/29/2007	Bag depository for drive-Up banking	USA
4,792,263	12/20/1988	6/19/2006	Carrier for large capacity single overhead pneumatic tube system.	USA
4,930,941	6/05/1990	11/21/2008	Customer term for a single tube pneumatic system for banking and the like.	USA
4,747,354	5/31/88	3/30/2007	Envelope depository	USA
4,715,750	12/29/87	6/19/2006	Large capacity single overhead pneumatic system	USA
4,254,545	3/10/1981	4/27/1999	Manufacture of doors for safe deposit box nests	USA
5,087,982	2/11/1992	11/14/2010	Pulsed communication system	USA
5,427,036	6/27/1995	1/26/2014	Secure currency deposit units with removable security box	USA
5,584,517	12/17/96	6/16/2015	Secure Latch for double-wall structure	USA

## ASSIGNMENT OF PATENTS

THIS ASSIGNMENT OF PATENTS (this "Assignment"), dated as of September 30, 1998, is by De La Rue Cash Systems Inc., a Delaware corporation, and De La Rue Systems Americas Corporation, a Massachusetts corporation (together, "Assignors"), in favor of Mosler Inc., a Delaware corporation ("Assignee").

### Recitals

A. Assignors and Assignee are parties to that certain Asset Purchase Agreement dated as of even date herewith respecting the purchase by Assignee of certain assets of Assignors (the "Asset Purchase Agreement"). Capitalized terms used herein and not otherwise defined shall have the meanings given them in the Asset Purchase Agreement.

B. Assignors have agreed to assign to Assignee certain letters patent which have been issued by the United States Patent and Trademark Office.

### Assignment

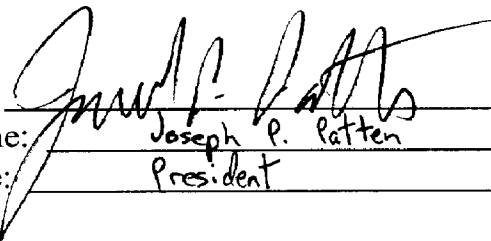
NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, Assignors agree as follows:

1. Assignment. Assignors by these presents do hereby sell, assign, and transfer to Assignee the full and exclusive right to the inventions in the United States and the entire right, title and interest in and to the letters patent described on Exhibit A ("Patents").
2. Authorization. Assignors authorize the Commissioner of Trademarks and Patents to issue any letters patent for the Patents to Assignee, as the assignee of the entire right, title, and interest in and to the same, to the end of the term thereof, as fully and entirely as the same would have been held by Assignors had this Assignment not been made.
3. Governing Law. This Assignment shall be governed by and construed in accordance with the internal laws of the State of New York (without reference to the choice of law provisions of New York law).

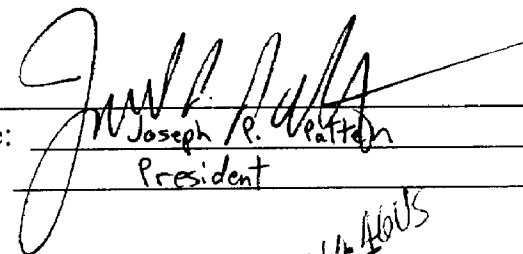
\* \* \*

IN WITNESS WHEREOF, Assignors have duly executed and delivered this Assignment as of the day and year first above written.

DE LA RUE CASH SYSTEMS INC., a Delaware corporation

By:   
Name: Joseph P. Patten  
Title: President

DE LA RUE SYSTEMS AMERICAS CORPORATION, a Massachusetts corporation

By:   
Name: Joseph P. Patten  
Title: President

Express Mail mailing label No. E482732624605  
Date of Deposit 11/24/93  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mailing" Office to address service under 37 CFR 1.10 of the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20591.  
Alexia Richmond  
(Typed or printed name of person mailing paper or fee)  
Alexia Richmond  
(Signature of person mailing paper or fee)

S-1

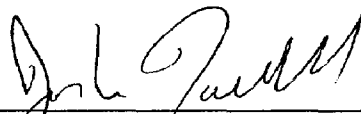
PATAM3.DOC

Acknowledgment

State of New York )

County of New York )

On this 9<sup>th</sup> day of October, 1998, appeared  
Joseph P. Patten, the President of De La Rue Cash Systems Inc., and  
acknowledged the foregoing instrument of assignment as the voluntary act and deed of said  
corporation.

  
\_\_\_\_\_  
Notary Public  
Commission Expires: \_\_\_\_\_

JOSHUA L. TARGOFF  
Notary Public, State of New York  
No. 31-5089033  
Qualified in New York County  
Commission Expires Sept. 8, 1999

[Seal]

S-2

PATAM3.DOC

PATENT  
REEL: 011485 FRAME: 0446




Acknowledgment

State of New York )

County of New York)

On this 9<sup>th</sup> day of October, 1998, appeared  
Joseph P. Patten, the President of De La Rue Systems Americas  
Corporation, and acknowledged the foregoing instrument of assignment as the voluntary act and  
deed of said corporation.

  
\_\_\_\_\_  
Notary Public  
Commission Expires: \_\_\_\_\_

JOSHUA L. TARGOFF  
Notary Public, State of New York  
No. 31-5089033  
Qualified in New York County  
Commission Expires Sept. 8, 1999

[Seal]

S-3

PATAM3.DOC

PATENT  
REEL: 011485 FRAME: 0447

**EXHIBIT A**

**Letters Patent**

See attached.

PATAM3.DOC

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11/29/98

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Alexia Richmond

(Typed or printed name of person mailing paper or fee)

Alexia Richmond

(Signature of person mailing paper or fee)