

OMB No. 0651-0011 (exp. 4/94)

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Sandoz Nutrition Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 7, 1997

## 2. Name and address of receiving party(ies)

Name: Novartis Nutrition AG

Internal Address: \_\_\_\_\_

Street Address: Monbijoustrasse 118

City: Berne State: Switzerland ZIP: 3007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

5,322,073 D360,030

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

## 6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41) \$80

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Melvyn M. Kassenoff

Name of Person Signing  
Reg. No. 26,389*Melvyn M. Kassenoff*

Signature

April 11, 2001

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

700004803

PATENT  
REEL: 011485 FRAME: 0878

**CERTIFICATE****Extract of the Register of Companies**

**Company Name:** Novartis Nutrition AG  
(since December 17, 1996) Novartis Nutrition SA  
Novartis Nutrition Inc.

**Former Company Name:** Sandoz Ernährung AG  
Sandoz Nutrition SA  
Sandoz Nutrition Ltd.

**Company No.:** CH-035.3.000.299-4

**Legal Form:** Company limited by shares

**Legal Seat:** Berne, Switzerland

**Place of Business:** Monbijoustrasse 118, CH-3007 Berne

**First Articles of Association:** December 12, 1923

**Last change of Articles of Association:** December 17, 1996

**Share Capital:** Swiss Francs 40'000'000.--  
80'000 shares of CHF 500.--

**Business Purpose:** Undertaking of financial business of all kinds especially in participation and co-operation with other parties. Consultation and co-ordination of nutrition business especially in the areas of research and development, production, technology etc.

**Date of Registration in the register of Companies of Berne:** April 29, 1977

Berne, April 7, 1997

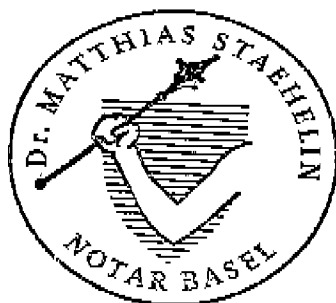
**Register of Companies  
BERNE-MITTELLAND**

Head-representative of the Register



For true photocopy

Basel, this July 17 (seventeen) 1997 (nineteenhundredandninetyseven)



*M. Staehelin Notar*

Dr. M. Staehelin  
Notar

Leg. Prot: *1140 / 1997*