OULE CIVE MM

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp.4/94)
Copyright 1996-97 LegalStar
P08A/REV9?

04-17-2001



Docket No.: XA-9378

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Tab settings → → ▼ 10164	17838, <b>√ √ √</b>	
	: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies):  Kazuvoshi TORII, Yasuhiro SHIMAMOTO, Hiroshi MIKI,	Name and address of receiving party(ies):	
Keiko KUSHIDA, and Yoshihisa FUJISAKI	Name: Hitachi, Ltd.	
	Address: 6, Kanda Surugadai 4-chome	
Additional names(s) of conveying party(ies)	Chiyoda-ku	
3. Nature of conveyance:		
	City: Tokyo	
☐ Security Agreement ☐ Change of Name	City: Tokyo State/Prov.:	
☐ Other	Country: JAPAN ZIP:	
Execution Date: <u>9/28/00, 9/29/00, and 10/4/00</u>	Additional name(s) & address(es) ☐ Yes ☒ No	
4. Application number(s) or registration numbers(s):		
If this document is being filed together with a new application	n, the execution date of the application is:	
Patent Application No. Filing date	B. Patent No.(s)	
09/674,392 October 31, 2000		
3,10,1,0,2		
Additional numbers	☐ Yes ☒ No	
Name and address of party to whom correspondence	6. Total number of applications and nataria involved.	
concerning document should be mailed:	6. Total number of applications and patents involved: 1	
Name: Mitchell W. Shapiro	7. Total fee (37 CFR 3.41):\$ 40.00	
Registration No. 31,568	Enclosed - Any excess or insufficiency should be	
Address: Vorys, Sater, Seymour and Pease LLP	credited or debited to deposit account	
1828 L Street, N. W.	☑ Authorized to be charged to deposit account	
Eleventh Floor		
City: Washington State/Prov.: D.C.	8. Deposit account number:	
	22-0585	
Country: U.S.A. ZIP: 20036-5109	-	
DO NOT USE THIS SPACE		
9. Statement and signature.		
To the best of my knowledge and belief, the foregoing inform of the original document.	nation is true and correct and any attached copy is a true copy	
Mitchell W. Shapiro, Reg. No. 31,568	W. Say February 15, 2001	
Name of Person Signing	Signature Date	

Total number of pages including cover sheet, attachments, and

**PATENT** 

**REEL: 011487 FRAME: 0607** 

RIC 581.40 Docket No. 0x4 136 74 392

	PRODANA DOTOTO 1 TOTAL TIMES	
**CHMA PT-0:1595 (Modrfied)	Patent and Trademark Office	
JAMEN HOUST COULT (1929 4994) Low propri 1996-97 LegalStar Y. A/REVC2	_ 10 31 · co	
7ab settings → → ▼ To the Honorable Commissioner of P 101535	252	
1 Name of conveying party(ies): Kazuyoshi TORII	2. Name and address of receiving party(ies):	
Yasuhiro SHIMAMOTO	Name: Hitachi, Ltd.	
Hiroshi MIKI Kelko KUSHIDA	Address: 6, Kanda Surugadai 4-chome	
Additional names(s) of conveying party(ies)	Chiyoda-ku	
3. Nature of conveyance:		
☐ Security Agreement ☐ Change of Name	City: Tokyo State/Prov.:	
☐ Other	Country: Japan ZIP:	
Execution Date: 9/28/00, 9/29/00, and 10/4/00	Additional name(s) & address(es)	
4. Application number(s) or registration numbers(s):		
If this document is being filed together with a new applicatio	n, the execution date of the application is:	
Patent Application No. Filing date	B. Patent No.(s)	
PCT/JP98/02274 May 25, 1998		
	09474392	
( 10 0000 NO. 1 % 00000048 29674308 40.00 fg		
Additional numbers	Yes 🛭 No	
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>	6. Total number of applications and patents involved:	
Name: Mitchell W. Shapiro	7. Total fee (37 CFR 3.41):\$ 40.00	
Registration No. 31,568	☐ Enclosed - Any excess or insufficiency should be	
Address: 1828 L Street, N.W.	credited or debited to deposit account	
Eleventh Floor	Authorized to be charged to deposit account	
	8. Deposit account number:	
City: Washington State/Prov.: D.C.	22-0585	
Country: United States ZIP: 20036		
DO NOT USE THIS SPACE		
9 Statement and signature.		
To the best of my knowledge and belief, the foregoing inform of the original document.	mation is true and correct and any attached copy is a true copy	
Mitchell W. Shapiro	12 N. Star October 31, 2000	
Name of Parson Signing	Signature	

**PATENT** 

**REEL: 011487 FRAME: 0608** 

## ASSIGNMENT ( 譲 後 証 )

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by HITACHI, LYD., a corporation organized under the laws of Japan, located at 6, Kanda Surugadal 4-chome, Chiyoda-ku, Tokyo, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said HITACHI, LTD., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

## SEMICONDUCTOR DEVICE AND PROCESS FOR MANUFACTURING THE SAME

Invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said HITACHI, LTD., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or relasue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to Issue said Letters Patent to said HITACHI, LTD.

Signed on the date(s) indicated aside our signatures:

.....

INVENTOR(5) (発明者フルネームサイン)	Oate Signed (著名日)
1) Lazurashici	9/28/2000
2) Gamkiro Shimomile	9/28/2000
3) Niroh Mich	9/ 28/ 2000
4) Kisho Kusheda	9/ 29/ 2000
5) - 1: - 1 dans Professer	10/0K/2000
6)	
n	
8)	
9)	·
10)	

PATENT REEL: 011487 FRAME: 0609

## ASSIGNMENT (譲渡証)

As a below named inventor, I hereby declare that:

RECORDED: 02/16/2001

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by HITACHI, LTD., a corporation organized under the laws of Japan, located at 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said HITACHI, LTD., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

## SEMICONDUCTOR DEVICE AND PROCESS FOR MANUFACTURING THE SAME

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said HITACHI, LTD., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said HITACHI, LTD.

Signed on the date(s) indicated aside our signatures:

INVENTOR(S)	Date Signed
(発明者フルネームサイン)	(署名日)
1) Lazurashi C	9/28/2000
2) Gasukiro Shimamilo	9/28/2000
3) Hirosh Mife	9/28/2000
4) <u>Keiko Kushida</u>	9/29/2000
5) Mors Golaga Mujesa	10/04/2000
6)	
7)	
8)	
9)	
10)	

PATENT REEL: 011487 FRAME: 0610