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Document ID# 101433379☐ **Correction of PTO Error**☐ **Reel # _____ Frame # _____**☐ **Corrective Document**☐ **Reel # _____ Frame # _____****Conveyance Type**☒ **Assignment**☐ **License**☐ **Merger**☐ **U.S. Government only**
☐ **Department File**☐ **Security Agreement**☐ **Change of Name**☐ **Other**☐ **Secret File****Conveying Party(ies)**☐ **Mark if additional names of conveying parties attached****Execution Date**
Month/Day/Year**First Party: Name** Joseph Mardell KahnJanuary 29, 2001**Second Party: Name** Keangpo HoJanuary 29, 2001**Third Party Name** _____**Fourth Party Name** _____**Receiving Party**☐ **Mark if additional names of conveying parties attached****Name** StrataLight Communications, Inc.
Address (line 1) StrataLight Communications, Inc.
Address (line 2) 23260 Ravensbury Avenue
Address (line 3) Los Altos Hills, California 94024☐ **If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)****Domestic Representative Name and Address****Name** _____**Address (line 1)** _____**Address (line 2)** _____**Address (line 3)** _____**FOR OFFICE USE ONLY**

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10972 U.S. PTO
09/774288
01/29/01Docket No.: STR282**PATENT**
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PATENT

Correspondent Name and Address

Area Code and Telephone Number 650-853-0189

Name David R. Gildea
Address (line 1) Menlo Patent Agency LLC
Address (line 2) 435 Hermosa Way
Address (line 3) Menlo Park, California 94025

Pages

Enter the total number of pages of the attached conveyance document
including any attachments

1

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

_____	_____	_____	_____
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_____	_____	_____	_____

If this document is being filed together with a new patent application, enter the date that the patent application was signed by the first named inventor.

Month Day Year
January 29, 2001

Patent Cooperation Treaty

Enter PCT application number
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has not been assigned

PCT	_____	PCT	_____	PCT	_____
PCT	_____	PCT	_____	PCT	_____
PCT	_____	PCT	_____	PCT	_____

Number of Properties

Enter the total number of properties involved.

1 *

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40

Method of Payment:

Enclosed X

Deposit Account X

Enter deposit account number for payment by deposit account
or if additional fees can be charged to the account.

Deposit Account Number 071248

Authorization to charge additional fees: yes X no ___

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account, are authorized as indicated herein.

David R. Gildea
Name of Person Signing

David R. Gildea
Signature

January 29, 2001
Date

Docket No.: STR282

PATENT
REEL: 011488 FRAME: 0273

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Joseph Mardell Kahn of 2828 Tramanto Drive, San Carlos, California 94070 and Keangpo Ho of 1324 South Winchester Boulevard, San Jose, Apt. 59, California 95128, hereafter referred to as "Assignors", have invented certain new and useful inventions as described and set forth in the below identified application for the United States Letter Patent entitled:

Transmission and Reception of Duobinary Multilevel Pulse-Amplitude-Modulated Optical Signals Using Finite-State Machine Based Encoder

X filed herewith; or
Serial No. *** filed on ***;

WHEREAS, StrataLight Communications, Inc. of 23260 Ravensbury Avenue, Los Altos Hills, California 94024, hereinafter referred to as "Assignee", is desirous of acquiring an interest in the invention and application and in any Letters Patent which may be granted on the same;

FOR good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign all right, title and interest in and to the invention and application, all foreign counterparts (including patent, utility model and industrial designs), and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or any division, renewal, continuation, in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to the Assignee, but at the Assignee's expense, (a) cooperate with Assignee in the prosecution of the U. S. patent application and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor hereunto signs.

Joseph Mardell Kahn
signature of Joseph Mardell Kahn

1/29/01
date

Keangpo Ho
signature of Keangpo Ho

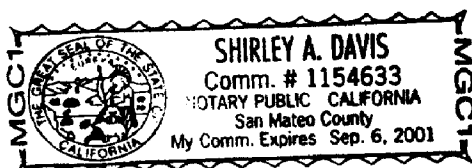
1/29/01
date

County of Santa Clara
State of California

Before me this 29th day of January 2001 personally appeared Joseph Mardell Kahn who is personally known to me or X proved to me on the basis of satisfactory evidence and Keangpo Ho is personally known to me or X proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons or the entity upon behalf of which the persons acted executed the document.

Notary Stamp/Seal

Shirley A. Davis
Notary Public



Docket No.: STR282

RECORDED: 01/29/2001

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