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FORM PTC



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rd the attached original documents or copy thereof.

1. Name of conveying party(ies).

John Robert Gittins
David John James
Elizabeth Rachel Hiles

1.31.01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 28 October, 2000 and
18 October 2000 and 6 December 2000

2. Name and address of receiving party(ies):

Name: THE MINISTER OF AGRICULTURE FISHERIES AND
FOOD IN HER BRITANNIC MAJESTY'S GOVERNMENT
OF THE UNITED KINGDOM OF GREAT BRITAIN AND
NORTHERN IRELAND

Internal Address _____

Street Address: Whitehall PlaceCity: London State/Country: United KingdomPostal Code: SW1A 2HH

Additional name(s) & address(es) attached?

☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/415,161

B. Patent No.(s)

40 E

Additional Application/Patent Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen D. Rigaut, Ph.D., J.D.Address: DANN, DORFMAN, HERRELL AND SKILLMAN1601 Market Street, Suite 720Philadelphia, Pennsylvania 19103-2307

Telephone: (215) 563-4100

Facsimile: (215) 563-4044

6. Total number of applications and patents involved:.....

17. Total Fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. In the event the check enclosed is improper, or the fee calculation is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen D. Rigaut, Ph.D., J.D.

Name of Attorney or Agent

Kathleen D. Rigaut

Signature of Attorney or Agent

Total number of pages comprising cover sheet: 3January 29, 2001

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PATENT
REEL: 011489 FRAME: 0451

ASSIGNMENT OF UNITED STATES PATENT APPLICATION**Recitals:****WHEREAS**

(1) **JOHN ROBERT GITTINS**, residing at Department of Chemistry, Biochemistry and Biophysics, Gothenburg University, PO Box 462, S-405 30 Gothenburg, SWEDEN and formerly of Horticulture Research International, East Malling, West Malling, Kent ME19 6BJ, UNITED KINGDOM

(2) **DAVID JOHN JAMES**, residing at Horticulture Research International, East Malling, West Malling, Kent ME19 6BJ, UNITED KINGDOM and

(3) **ELIZABETH RACHEL HILES**, residing at Horticulture Research International, East Malling, West Malling, Kent ME19 6BJ, UNITED KINGDOM (together/collectively hereinafter referred to as "the Inventors") have invented certain new and useful improvements in:

INDUCIBLE PLANT PROMOTERS

the specification of which was filed as United States Patent Application No. 09/415161, filed in United States Patent and Trade Mark Office on 8 October 1999, (hereinafter referred to as "the Application")

AND WHEREAS THE MINISTER OF AGRICULTURE FISHERIES AND FOOD IN HER BRITANNIC MAJESTY'S GOVERNMENT OF THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND, a corporation sole of Whitehall Place, London SW1A 2HH, United Kingdom (hereinafter referred to as "the Assignee") is desirous of acquiring the entire right, title and interest, for the United States of America, in and to the Application and the invention and improvements therein disclosed, and any Letters Patent which may issue therefor in the United States of America and all divisionals, continuations, reissues, re-examinations, renewals, and extensions thereof.

Operative Provisions:

NOW THEREFORE in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged the Inventors hereby **ASSIGN** absolutely unto the Assignee all their right, title and interest in and to the Application and the invention and improvements therein disclosed, for the United States of America, and any patents granted on the Application including any divisionals, continuations

re-issues, re-examinations, renewals, and extensions thereof, and including the right to sue for and recover damages in respect of past infringement, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the Assignee to the full end of the term for which the said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by the Inventors if this assignment had not been made.

And the Inventors authorize and request the Commissioner of Patents to issue the said Letters Patent to the Assignee.

And for the consideration aforesaid, the Inventors agree that the Inventors will, upon request, communicate to the Assignee or the representatives thereof any facts known to the Inventors respecting the invention or improvements of the Application, and will, upon request, but without expense to the Inventors, testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, reissue, re-examination, renewal and extension applications, and generally do all other and further lawful acts deemed necessary or expedient by the Assignee or by counsel for the Assignee to assist or enable the Assignee to obtain and enforce full benefits from the rights and interests herein assigned.

This assignment shall be binding upon the Inventors' heirs, executors, administrators, successors and assigns, and shall inure to the benefit of administrators, successors and assigns, as the case may be, of the Assignee.

(1) Signature of J. R. Gittins
JOHN ROBERT GITTINS

Date: 28/10/00

(2) Signature of D. James
DAVID JOHN JAMES

Date: 18/10/00

(3) Signature of E. R. Hiles
ELIZABETH RACHEL HILES

Date: 6/12/00