

02-08-2001

(Rev. 6-93)

PATENT

OMB NO. 0651-0011(exp.4/94)

Patent and Trademark Office
S-2479

101608243

To the Honorable Commissioner of Patents and Trademarks
Please record the attached original documents.

1. Name of conveying party(ies):

Seichi SUZUKI
Yoshiyuki ONDA
Isao SATOH

2. Name and address of receiving party(ies):

Name: Nippon Shokubai Co., Ltd.

Internal Address:

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☐ No

3. Nature of Conveyance

- ☐
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other _____

Execution Date: January 15, 2001Street Address: 1-1, Koraibashi 4-chomeChuo-kuCity: Osaka State: Japan ZIP:Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date
of the application is: January 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: SHERMAN & SHALLOWAY

Internal Address: _____

Street Address: _____

413 North Washington StreetCity: Alexandria State: VAZIP: 223146. Total number of applications and patents
involved:..... [1]

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying
by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original documentLeonard W. Sherman
Name of Person Signing

Signature

January 30, 2001
Date

Total number of pages including cover sheet, attachments, and document [2]

02/01/2001 AZERGAW1 00000006 09771704

03 FC:581

40.00 DP

10682 U.S. PTO
09/771704
01/30/01

A S S I G N M E N T

WHEREAS, We, Seiichi SUZUKI, Yoshiyuki ONDA and Isao SATOH, all citizens of Japan,

residing at Yokohama-shi, Kanagawa-ken, Japan, Tokyo, Japan and Yokohama-shi, Kanagawa-ken, Japan, respectively

, (hereafter referred to as Inventors), have invented certain new and useful improvements in ETHYLENIMINE POLYMER, AQUEOUS SOLUTION OF ETHYLENIMINE POLYMER, PRODUCTION PROCESS FOR THE SAME AND REFINING PROCESS THEREFOR for which an application for United States Letters Patent was signed by us on even date herewith; and

WHEREAS, NIPPON SHOKUBAI CO., LTD.
a corporation of Japan, having a place of business at 1-1, Koraibashi 4-chome, Chuo-ku, Osaka, Japan (hereafter referred to as Company), is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, the receipt whereof is hereby acknowledged, and other valuable considerations, the said Inventors have sold, assigned and transferred, and by the presents do sell, assign and transfer unto said Company the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent, which may be granted thereof in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said Company as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of the said Company, its successors and assigns.

FURTHER, We agree that we will communicate to said Company or its representatives any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Company, make all rightful oaths and generally do everything possible to aid said Company, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, We have hereunto set our hands this 15th day of January, 2001.

Signed in the presence of:

Witness: Takeshi Yamamoto

Signed: Seiichi Suzuki

Witness: Takeshi Yamamoto

Signed: Yoshiyuki Onda

Witness: Takeshi Yamamoto

Signed: Isao Satoh

Witness:

Signed:

Witness:

Signed:

Witness:

Signed: