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1. Name of conveying party(ies):

Kenji NAKANE, Yasunori NISHIDA, Hiroshi OGAWA,
Yoshihiro KAWAKAMI

Additional name(s) of conveying party(ies) attached?

☐ yes☒ no

2. Name and address of receiving party(ies):

SUMITOMO CHEMICAL COMPANY, LIMITED
5-33, Kitahama 4-Chome
Chuo-ku, Osaka, Japan

Additional name(s) & address(es) attached?

☐ yes☒ no

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Names☐ OtherExecution Date: January 4, 2001, December 27, 2000,
January 5, 2001, and December 27, 2000, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)/Confirmation No.(s)

09/690,758/

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

J. Frank Osha, Esq.
SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Suite 800
Washington, D.C. 20037-3213

6. Total number of applications and registration involved:

1

7. Total Fee (37 CFR 3.41): \$40.00

☒ Enclosed. Please charge any underpayment in
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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Frank Osha

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February 2, 2001

Date

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS AND DOCUMENT: 2

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Box Assignment

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02/06/2001 ETULU1 00000007 09690758

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PATENT
REEL: 011490 FRAME: 0399

Assignment

Whereas, I/We, Kenji NAKANE, Yasunori NISHIDA, Hiroshi OGAWA and Yoshihiro KAWAKAMI of
 2-13-1-4-103, Umezono, Tsukuba-shi, Ibaraki, JAPAN,
 2-13-10-201, Amakubo, Tsukuba-shi, Ibaraki, JAPAN,
 1973-53, Mihomuratsuchiya, Inashiki-gun, Ibaraki, JAPAN and
 5-7-2, Keyakidai, Moriya-machi, Kitasoma-gun, Ibaraki, JAPAN
 , hereinafter called assignor(s), have invented certain improvements in
 ACTIVE MATERIAL FOR NON-AQUEOUS SECONDARY BATTERY, AND
 NON-AQUEOUS SECONDARY BATTERY USING THE SAME

and executed an application for Letters Patent of the United States of America therefor on

, 20 ; and

Whereas, Sumitomo Chemical Company, Limited of
 5-33, Kitahama 4-Chome, Chuo-ku, Osaka, Japan
 (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to
 be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. § 119, and I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without any further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

I/We authorize and request my/our attorneys SUGHRUE, MION, ZINN, MACPEAK & SEAS of 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202 to insert here in parentheses (Application number 09/690758, filed Oct. 18, 2000) the filing date and application number of said application when known.

Date: January 4, 2001 s/ Kenji Nakane

Date: December 27, 2000 s/ Yasunori Nishida

Date: January 5, 2001 s/ Hiroshi Ogawa

Date: December 27, 2000 s/ Yoshihiro Kawakami

Date : s/ _____

(Notarization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

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