

02-08-2001



FORM PTO-1595

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S. DEPARTMENT OF COMMERCE

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
 KENICHI TAIRA  
 NORIYUKI KAWASHIMA  
 TAKASHI NOGUCHI  
 DHARAM PAL GOSAIN  
 SETSUO USUI  
*1. 31.01*  
 Additional name(s) of conveying party(ies) attached?  
 Yes  No

2. Name and address of receiving party(ies):  
 Name: Sony Corporation  
 Internal Address: \_\_\_\_\_  
7-35, Kitashinagawa 6-chome  
Shinagawa-ku, Tokyo 141, Japan  
 Street Address: \_\_\_\_\_

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
 Execution Date January 15, 2001

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Additional Name(s) & Address(es) attached  Yes  No

4. Application (number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
 A. Patent Application No.(s) U.S. Serial No. 09/663,006 filed September 15, 2000  
 Attorney Docket No. 09792909-0413  
 B. Patent No.(s)  
 Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
**SONNENSCHN NATH & ROSENTHAL**  
 P.O. Box #061080  
 Wacker Drive Station  
 Sears Tower  
 Chicago, IL 60606-1080  
 Customer ID No. 26263

6. Total number of applications and patents involved  
 \_\_\_\_\_  
1

7. Total Fee (37 CFR 3.41) ..... \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account any Additional fees

8. Deposit Account Number:  
19.3140  
 (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature:  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
 #45,034  
Christopher P. Rauch *Christopher P. Rauch* January 26, 2001  
 Name of Person Signing Signature Date  
 Total number of pages 3

Mail documents to be recorded and required cover sheet information to:  
 Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231+

02/07/2001 GT0N11 00000101 09663006  
 01 FC:581 40.00 00

## ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in METHOD FOR FABRICATING MEMORY DEVICES

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/663,006, Filing Date: 09/15/2000.

This assignment executed on the dates indicated below.

KENICHI TAIRA

Name of first or sole inventor

Execution date of U.S. Patent Application

KANAGAWA, JAPAN

Residence of first or sole inventor

January 15, 2001

*(Kenichi Taira)*  
Signature of first or sole inventor

January 15, 2001 K.T.  
Date of this assignment

NORIYUKI KAWASHIMA

Name of second inventor

Execution date of U.S. Patent Application

KANAGAWA, JAPAN

Residence of second inventor

January 15, 2001

Signature of second inventor

*Noriyuki Kawashima*

~~7/15/00~~  
January 15, 2001 Date of this assignment

TAKASHI NOGUCHI

Name of third inventor

Execution date of U.S. Patent Application

KANAGAWA, JAPAN

Residence of third inventor

Jan. 15, 2001

Signature of third inventor

*Takashi Noguchi*

Date of this assignment

DHARAM PAL GOSAIN

Name of fourth inventor

Execution date of U.S. Patent Application

KANAGAWA, JAPAN

Residence of fourth inventor

January 15, 2001

Signature of fourth inventor

*Dharam Pal Gosain*

Date of this assignment

SETSUO USUI

Name of fifth inventor

Execution date of U.S. Patent Application

KANAGAWA, JAPAN

Residence of fifth inventor

January 15, 2001

Signature of fifth inventor

*Setso Usui*

Date of this assignment