

02-08-2001

No.: DA-3125-N1

U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Ralph R. Dammell**  
**Robert A. Norwood**

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 5/3/99 and 5/10/99

2. Name and address of receiving party(ies):

Name: Clariant International Ltd

Internal Address: \_\_\_\_\_

Street Address: Rothausstrasse 61

CH-4132 Muttenz 1, Switzerland

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/264,616

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sangya Jain

Internal Address: Clariant Corporation

Street Address: 70 Meister Avenue

City: Somerville State: NJ ZIP: 08876

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

03-2060

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

SANGYA JAIN

Name of Person Signing

Signature

January 19, 2001

Date

Total number of pages including cover sheet, attachments, and document: 5

PATENT

REEL: 011491 FRAME: 0370

A S S I G N M E N T

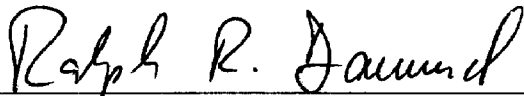
WHEREAS, UNDERSIGNED, Ralph R. Dammell, citizen of Germany, residing at 8 Quimbly Lane, Flemington, New Jersey 08822, USA; and Robert A. Norwood, citizen of the United States, residing at 203 North Union Avenue, Cranford, NJ 07016, USA; respectively, have invented certain new and useful improvements (INVENTIONS) disclosed, shown and described in an APPLICATION for Letters of Patent of the United States entitled LIGHT-ABSORBING ANTIREFLECTIVE LAYERS WITH IMPROVED PERFORMANCE DUE TO REFRACTIVE INDEX OPTIMIZATION, Docket No. DA-3125, which was filed on March 8, 1999 as Application Serial No. 09/264.616, said APPLICATION being duly executed concurrently herewith,

WHEREAS, Clariant International ,Ltd. a corporation organized under the laws of Switzerland, Rothausstrasse 61,CH-4132 Mitemmz1.Switzerland (ASSIGNEE) is desirous of acquiring the entire right, title and interest in and to the INVENTION, in this and any foreign country, and in and to any Letters Patent of the United States or any foreign country which may be granted embracing the INVENTION,


NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, UNDERSIGNED by these presents, sells, assigns, and transfers onto ASSIGNEE, its legal representatives, successors, and assigns, the entire right, title and interest for the United States or all foreign countries, in and to the INVENTION, and in and to the said APPLICATION, and in and to any applications for Letters Patent which have been or any may be filed in any foreign country on said INVENTION, and in and to any and all priority rights generated by the filing of said APPLICATION in the United States or any foreign country, which may be granted covering the INVENTION, including any continuations, substitutions, divisions, reissues, or renewals thereof, said Letter of Patent whether of this country or any foreign country to be held and enjoyed by ASSIGNEE for its interest, for its own use and behoof, and for its legal representatives, successors, and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by UNDERSIGNED had this assignment and sale not been made.

IN WITNESS WHEREOF, this assignment has been executed by the UNDERSIGNED on the date opposite his name.

DATE: 5/10/99

 (LS)  
Ralph R. Dammell

DATE: 5/3/99

 (LS)  
Robert A. Norwood

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Ralph R. Dammel et al**

Docket No.

**DA-3125-N1**

Serial No.

**09/264,616**

Filing Date

**03/08/99**

Examiner

**Kelly, C.**

Group Art Unit

**1743**Invention: **LIGHT-ABSORBING ANTIREFLECTIVE LAYERS WITH IMPROVED PERFORMANCE DUE TO REFRACTIVE INDEX OPTIMIZATION**

I hereby certify that the following correspondence:

**ASSIGNMENT***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

**January 19, 2001***(Date)***Vivian Austin***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EK861572825US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**