

02-09-2001



Docket No. 251/117

To the Honorable Con

101609579

**M COVER SHEET
ONLY**

1.17-01

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

se record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Wallace Yoshito Kunimoto, Angela May Ho, Elna Ruri Saito,
Arturo Soto, and Gregory Ronald Harrah
Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☐ Other _____

Execution Date: January 5, 2001

2. Name and address of receiving party:
Name: Superconductor Technologies, Inc.

Internal Address: _____

City: _____ State: _____ Zip: _____

Street Address: 460 Ward Drive

City: Santa Barbara State: California Zip: 93111-2310

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 17, 2001

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

09765178

5. Name and address of party to whom correspondence
concerning document should be mailed:
Name: Kenneth S. Roberts

Internal Address: LYON & LYON LLP
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
☐ Enclosed

☒ Charge this Deposit Account if any additional fee is
required

8. Deposit Account Number: 12-2475

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the
original document.

Kenneth S. Roberts, Reg No. 38,283

Date: January 17, 2001

Total number of pages including cover sheet: 8

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1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011),
Washington, D.C. 20503

ASSIGNMENT

WHEREAS, we, Wallace Yoshito Kunitomo, a citizen of the United States, residing at Post Office Box, 822, Goleta, California 93116, Angela May Ho, a citizen of the United States, residing at 214 Teri Sue Lane, Buellton, California 93427, Elna Ruri Saito, a citizen of the United States, residing at 3607 Tierra Bella, Santa Barbara, California 93105, Arturo Soto, a citizen of the United States, residing at 1953 Indiana Drive, Oxnard, California 93030, and Gregory Ronald Harrah, a citizen of the United States, residing at 480 Walnut Lane, California Santa Barbara, California 93111, (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled Evacuation Port and Closure for Dewars for which application for Letters Patent of the United States of America has been executed on even date herewith; and

WHEREAS, Superconductor Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at 460 Ward Drive, Santa Barbara, California 93111-2310, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said

invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Polila National Bank, Palmdale, California, this
5TH day of January, 2001.

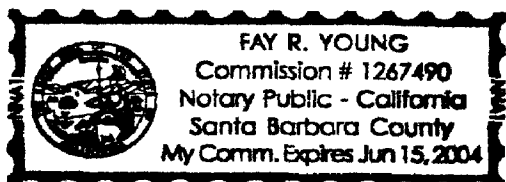
Wallace Yoshito Kunimoto
Wallace Yoshito Kunimoto

STATE OF CALIFORNIA)
) ss
COUNTY OF Santa Barbara

On January 5, 2001 before me, Fay R. Young, Notary Public, personally appeared
Wallace Yoshito Kunimoto

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory
evidence to be the person ~~is~~ whose name ~~is~~ is/ ~~are~~ subscribed to the within instrument and
acknowledged to me that he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature ~~on~~ on the instrument the person ~~is~~, or the entity
upon behalf of which the person ~~acted~~ acted, executed the instrument.

WITNESS my hand and official seal.



Fay R. Young
Notary Public in and for said County and
State

WITNESS my hand at Goleta National Bank, Goleta California, this

5TH day of January 2001
2000.

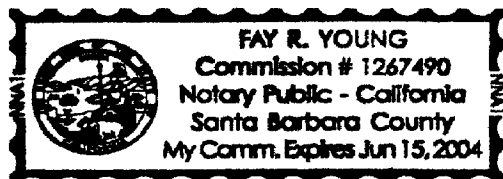
Angela May Ho
Angela May Ho

STATE OF CALIFORNIA)
) ss
COUNTY OF Santa Barbara

On January 5, 2001 before me, Fay R Young, Notary Public, personally appeared
Angela May Ho

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory
evidence to be the person(~~s~~) whose name(~~s~~) is/~~are~~ subscribed to the within instrument and
acknowledged to me that ~~he~~/she/~~they~~ executed the same in ~~his~~/her/~~their~~ authorized
capacity(~~ies~~), and that by ~~his~~/her/~~their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity
upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.



Fay R Young
Notary Public in and for said County and
State

WITNESS my hand at Golden National Bank, Golden, California, this

5TH day of January 2001
2000.

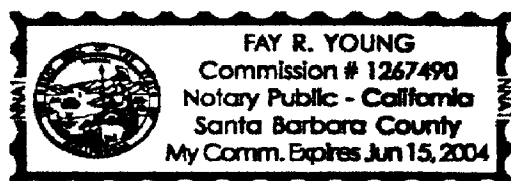
Elna R. Saito
Elna Ruri Saito

STATE OF CALIFORNIA)
) ss
COUNTY OF Santa Barbara

On January 5, 2001 before me, Fay R. Young Notary Public, personally appeared
Elna Ruri Saito

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person~~s~~ whose name~~s~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that ~~he~~/she/~~they~~ executed the same in ~~his~~/her/~~their~~ authorized capacity~~(ies)~~, and that by ~~his~~/her/~~their~~ signature~~s~~ on the instrument the person~~s~~, or the entity upon behalf of which the person~~s~~ acted, executed the instrument.

WITNESS my hand and official seal.



Fay R. Young
Notary Public in and for said County and State

WITNESS my hand at Wells Fargo, Goleta, CA, this

3rd day of January, 2000
2001

Arturo Soto
Arturo Soto

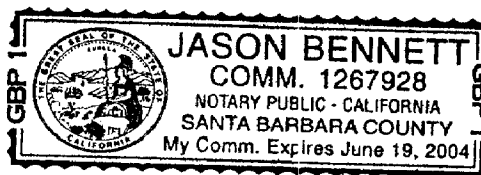
STATE OF CALIFORNIA)
COUNTY OF Santa Barbara) ss

On JAN 3rd, 2001 before me, JASON BENNETT, personally appeared
Arturo Soto

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

J. Bennett
Notary Public in and for said County and State



WITNESS my hand at Golita National Bank, Golita California, this

5TH day of January, 2001
2000.

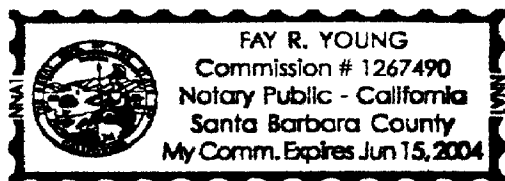
Gregory Ronald Harrah

STATE OF CALIFORNIA)
) ss
COUNTY OF Santa Barbara

On January 5, 2001 before me, Fay R. Young, Notary Public, personally appeared
Greg Ronald Harrah

☐ personally known to me - **OR** - ☒ proved to me on the basis of satisfactory
evidence to be the person~~s~~ whose name~~s~~ is/are subscribed to the within instrument and
acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized
capacity~~(ies)~~, and that by his/~~her/their~~ signature~~s~~ on the instrument the person~~s~~, or the entity
upon behalf of which the person~~s~~ acted, executed the instrument.

WITNESS my hand and official seal.



Fay R. Young
Notary Public in and for said County and
State