

02-09-2001

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CS00-091

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To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1-19-01  
LAP CHAN, ELGIN QUEK, RAVI SUNDARESAN, YANG PAN,  
JAMES YONG MENG LEE, YING KEUNG LEUNG, YELEHANKA  
RAMACHANDRAMURTHY PRADEEP, JIA ZHEN ZHENG

2. Name and address of receiving party(ies):

Name: Chartered Semiconductor Manufacturing Inc.

Internal Address:

Street Address:

1450 Mccandless Drive  
City: Milpitas State: CA  
Zip: 95035 Country: U.S.A.Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ other \_\_\_\_\_

Execution Date: 11/15/00Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/15, 11/20, 11/23,A. Patent Application No(s)B. Patent No.(s) 12/7/00 & 1/4/0109/764241 Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
patents involved: 1

concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: \_\_\_\_\_

Street Address: 20 MCINTOSH DRIVECity: POUGHKEEPSIE State: NY ZIP: 12603

6. Total no. of applications and

7. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit acct.8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying

by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

SB  
SignatureJan. 16, 2001

Date

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

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#### ASSIGNEE:

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**U.S.A.**  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE  
(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_%) interest for the United States and its territorial possessions  
(check the following box/f foreign rights are also to be assigned)  
☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

**A Method To Form A Recessed Source Drain On A Trench Side Wall With A Replacement Gate Technique**

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

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**U.S.A.**  
Nationality

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(complete one of the following)

- ☒ the entire right, title and interest
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in and to any and all improvements which are disclosed in the invention entitled:

**A Method To Form A Recessed Source Drain On A Trench Side Wall With A Replacement Gate Technique**

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☒ U.S. patent application executed on 11/15, 11/20, 11/23, 12/7/00 & 1/4/01
- (c) ☐ U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_
- (d) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
(also check (e) if foreign application(s) is also being assigned)
- (e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

11/15/00 (Date of signing)

**WARNING** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Lapchan  
Signature of ASSIGNOR(S)  
[Signature]  
Bar Sundaresan  
[Signature]

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on  
behalf of ASSIGNOR

\_\_\_\_\_  
Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

\_\_\_Notarization or Legalization Page Added.

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☒ U.S. patent application executed on 11/15, 11/20, 11/23, 12/1/00 & 1/4/01
- (c) ☐ U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_
- (d) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
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- (e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

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IN WITNESS WHEREOF, I / We have signed this

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[Signature]  
Signature of ASSIGNOR(S)

[Signature]  
[Signature]  
[Signature]

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

\_\_\_\_ Notarization of Legalization Page Added.